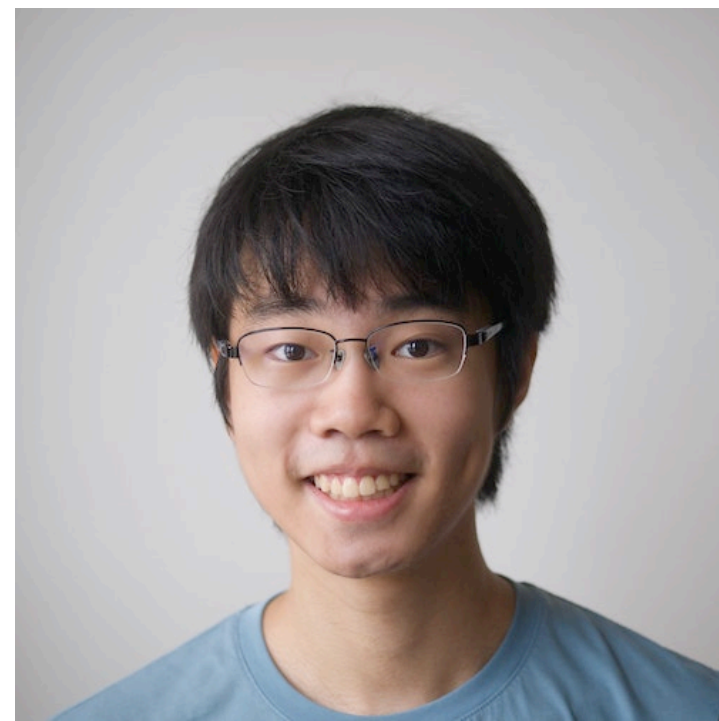
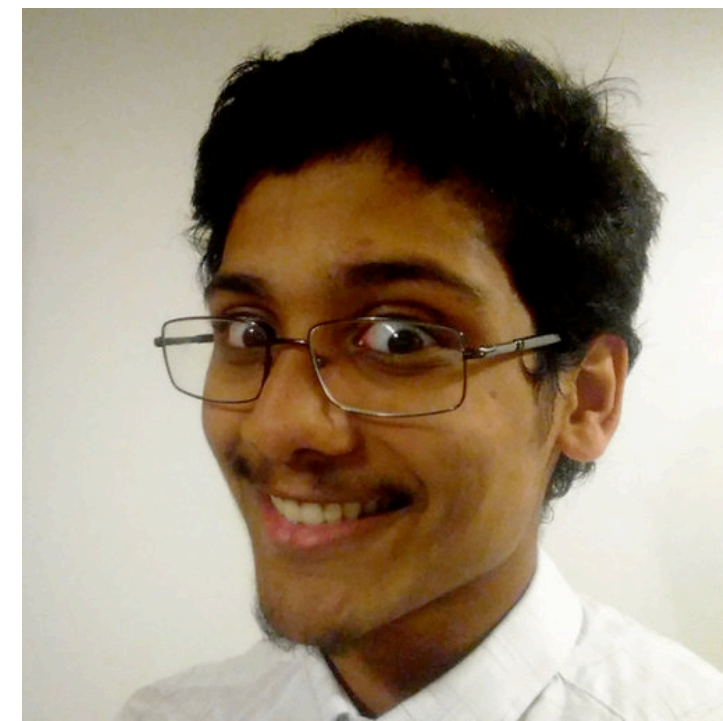


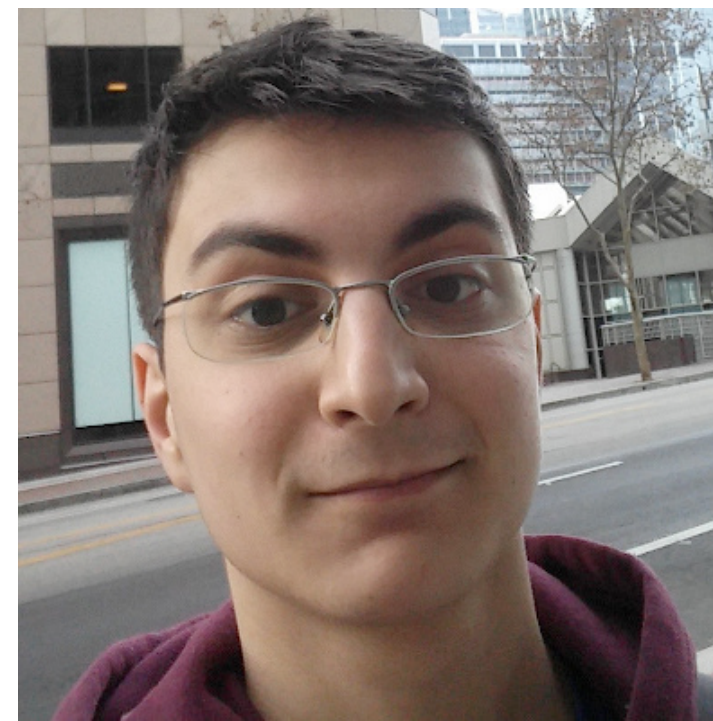
# Certifying the Synthesis of Heap-Manipulating Programs



Yasunari Watanabe



Kiran Gopinathan



George Pîrlea

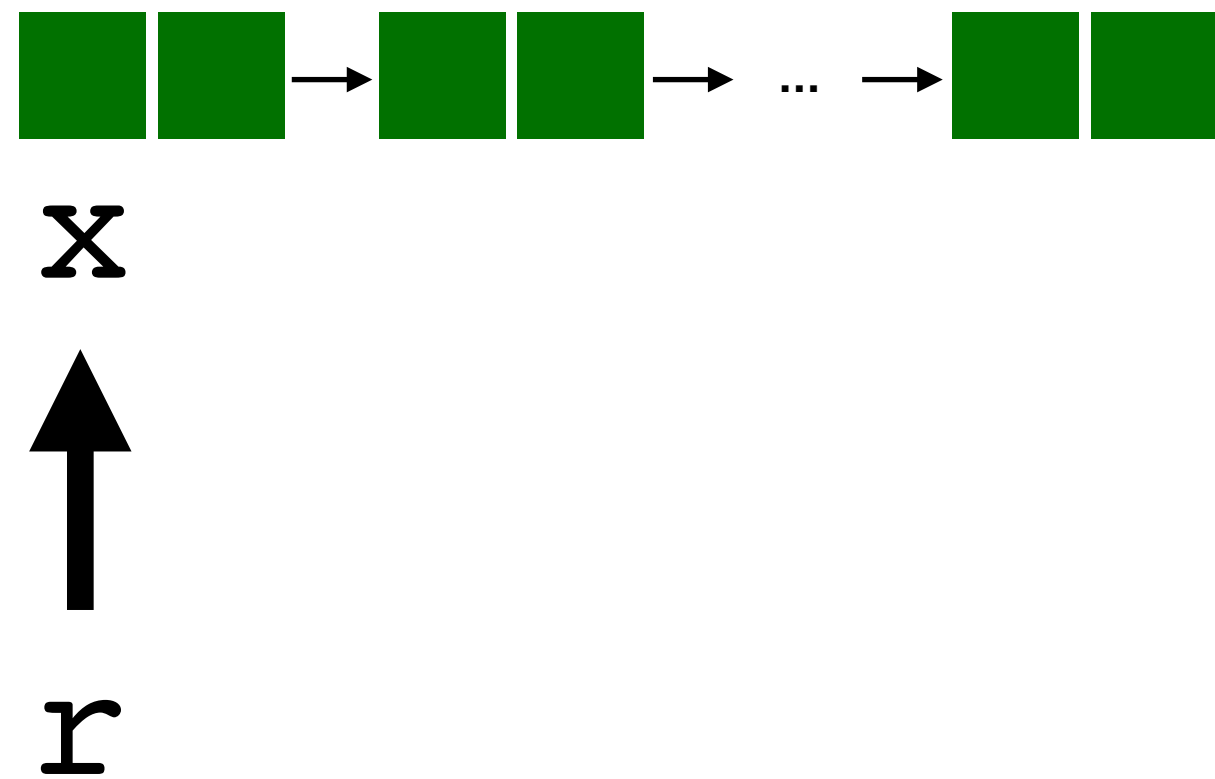


Nadia Polikarpova



Ilya Sergey

# Copying a linked list

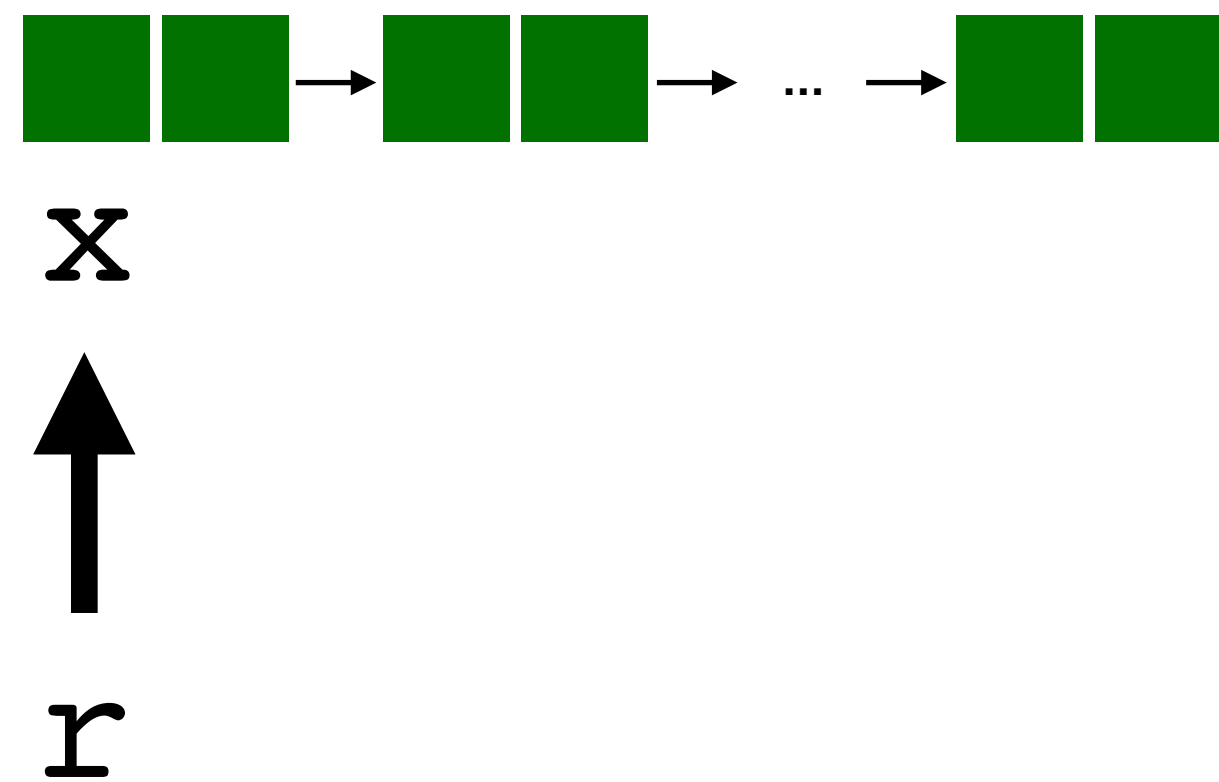


$\{r \mapsto x * \text{sll}(x, s)\}$

**void** sll\_copy(**loc** r)

$\{r \mapsto y * \text{sll}(x, s) * \text{sll}(y, s)\}$

# Copying a linked list



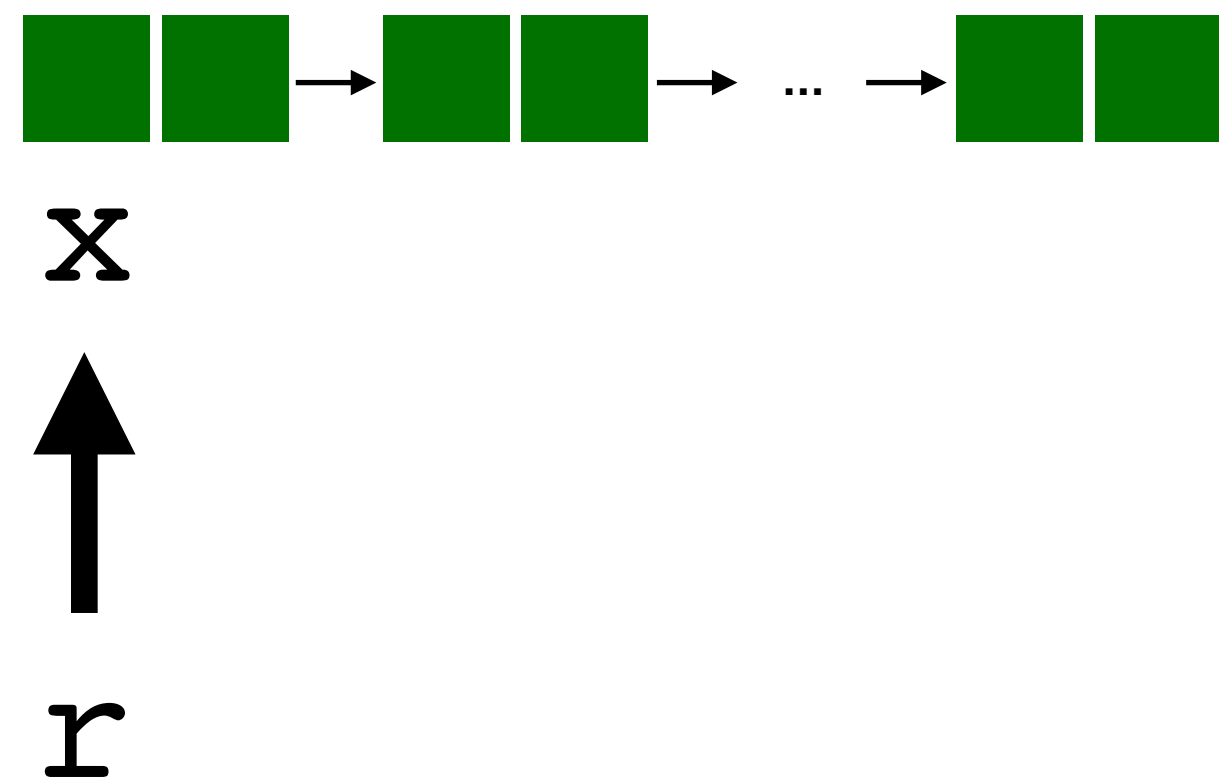
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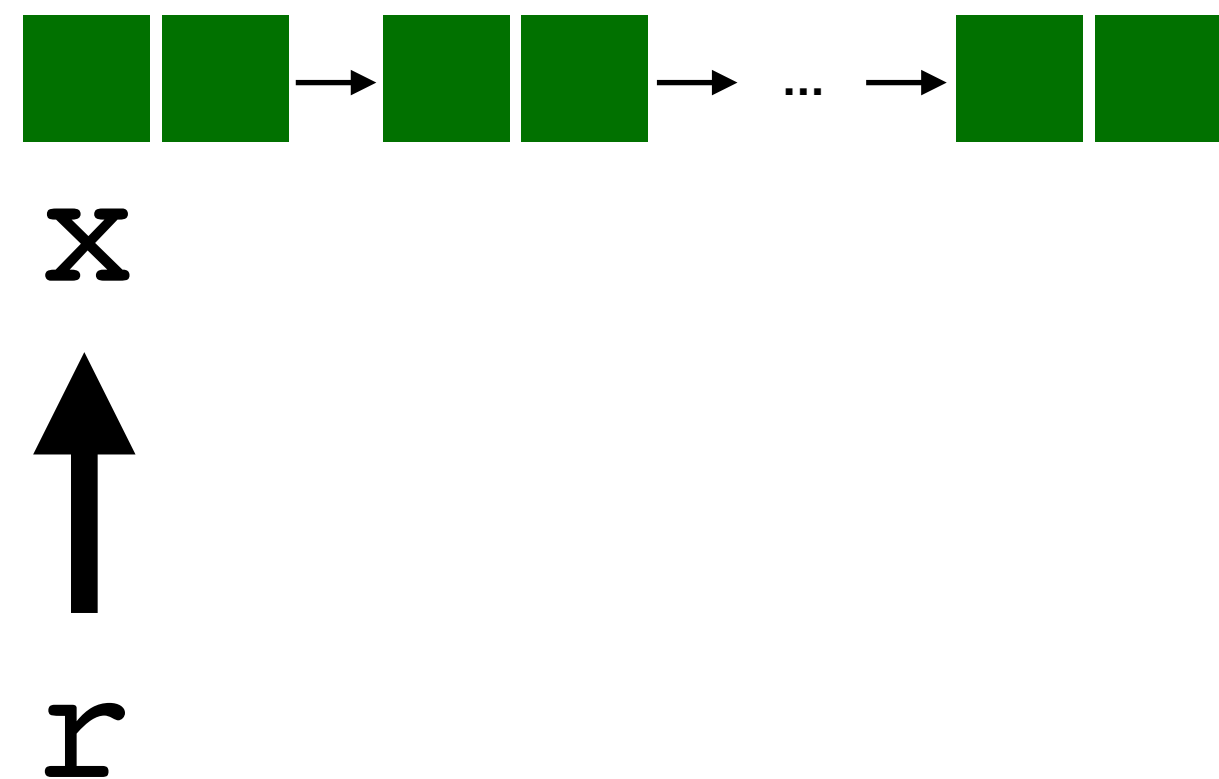
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inductive predicate  
"singly-linked list"

`void sll_copy(loc r)`

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# Copying a linked list



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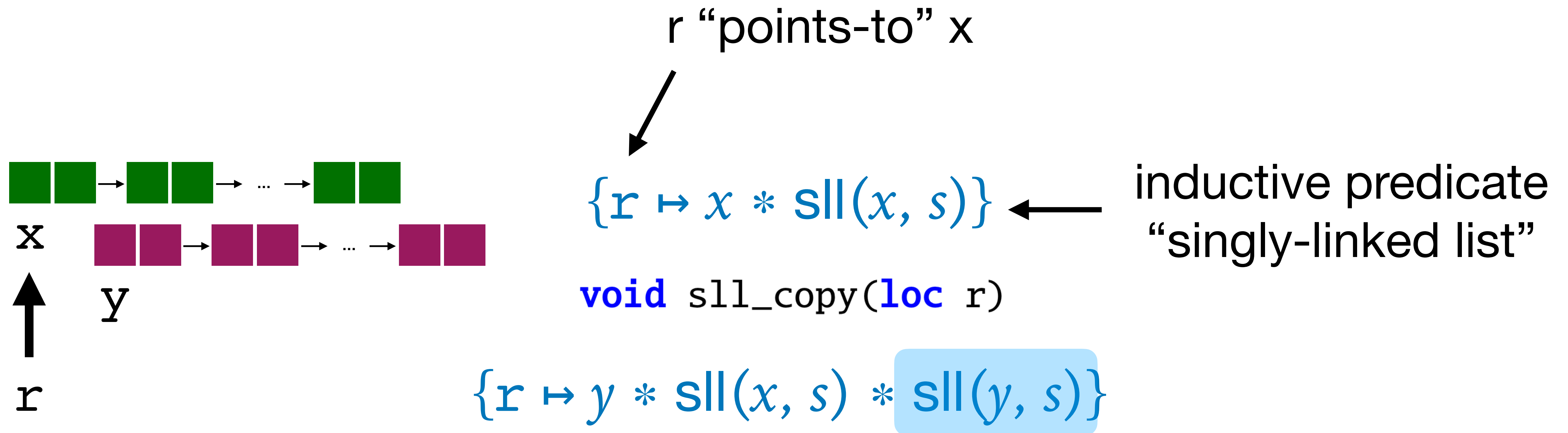
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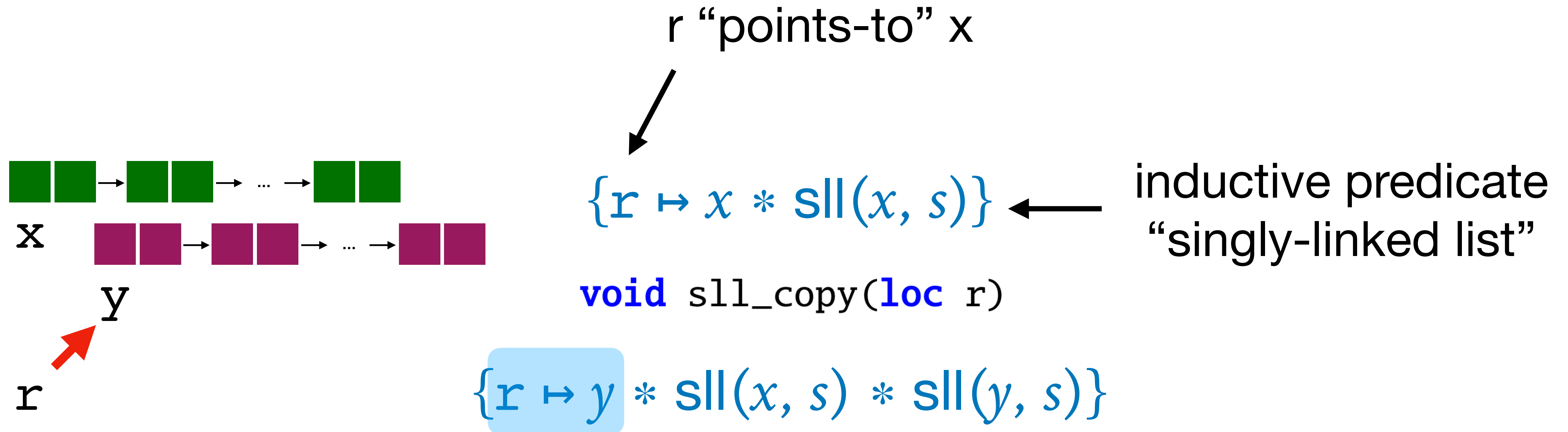
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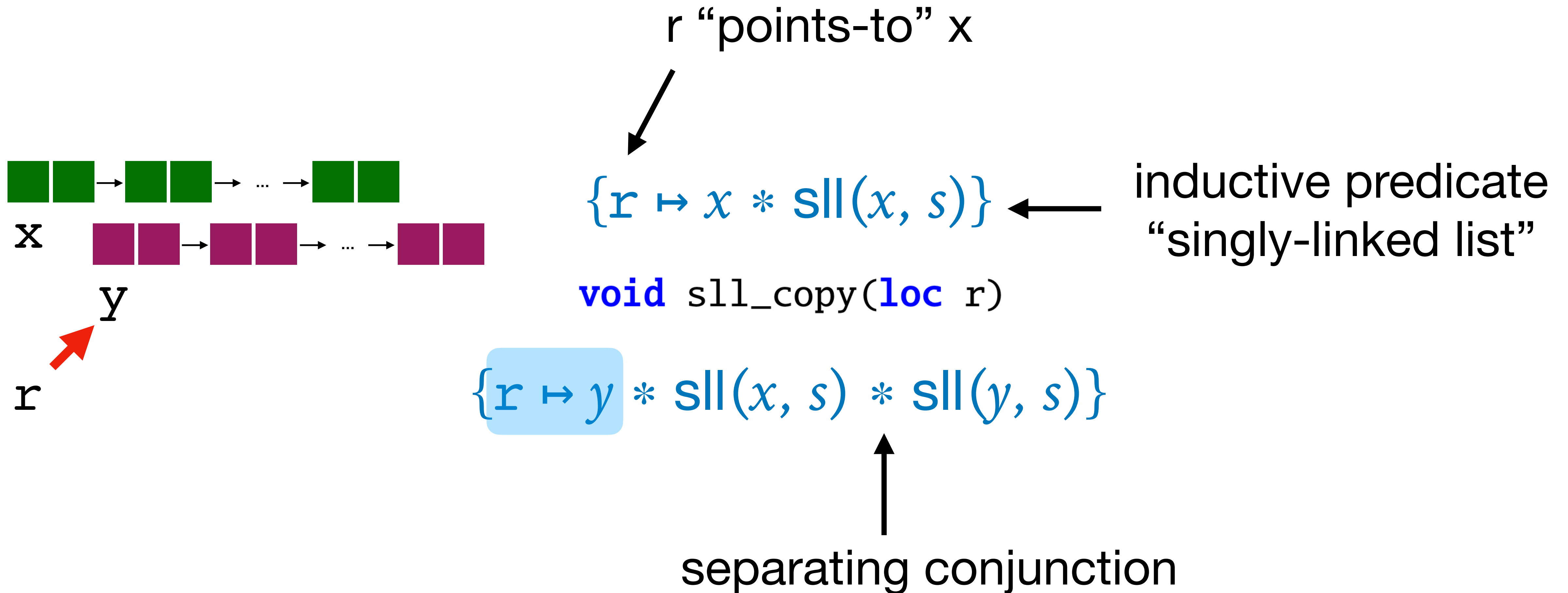
# Copying a linked list



# Copying a linked list



# Copying a linked list





# The linked list predicate

$$\mathbf{sll}(x, s) \triangleq x = 0 \wedge \{s = \emptyset, \mathbf{emp}\}$$

$$| x \neq 0 \wedge \{s = \{v\} \cup s_1 \wedge [x, 2] * x \mapsto v * (x + 1) \mapsto \mathit{next} * \mathbf{sll}(\mathit{next}, s_1)\}$$

# The linked list predicate

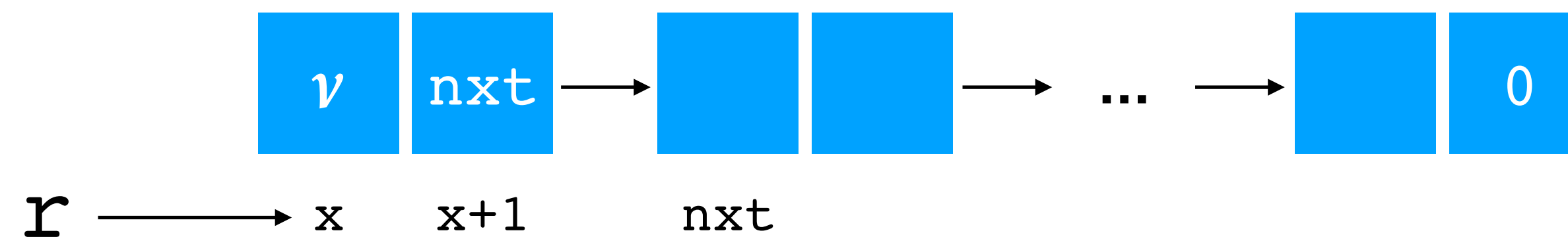
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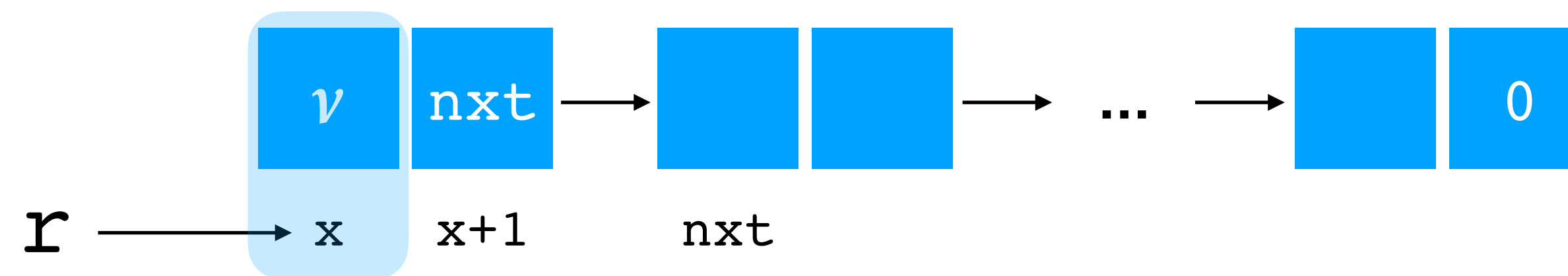
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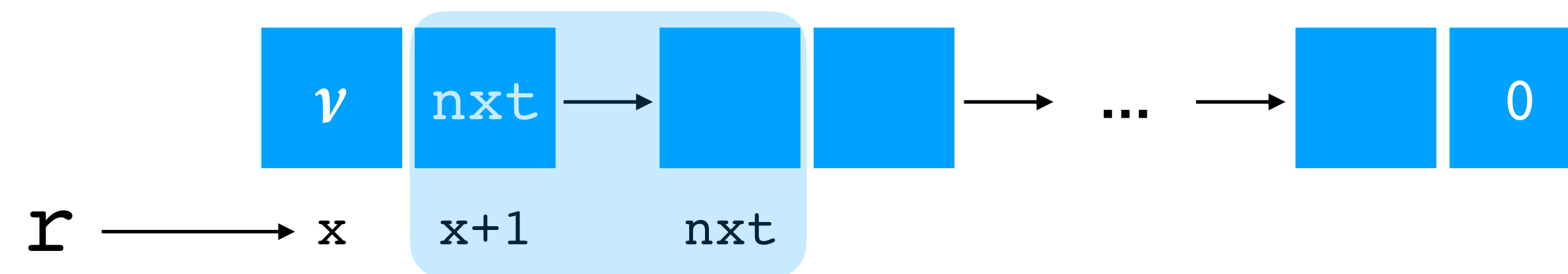
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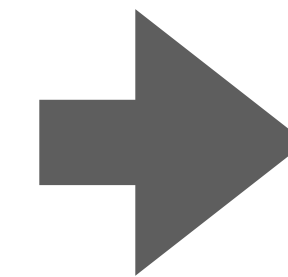
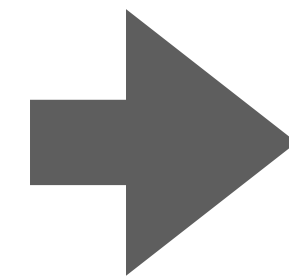
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# Write your own code?

Spec



Program

```
{r ↦ x * sll(x, s)}
```

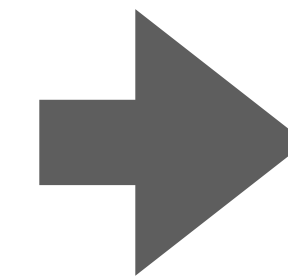
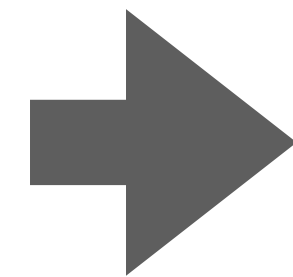
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void sll_copy(loc r)
```

```
{r ↦ y * sll(x, s) * sll(y, s)}
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# Write your own code?

Spec



Program

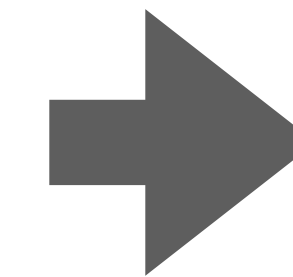
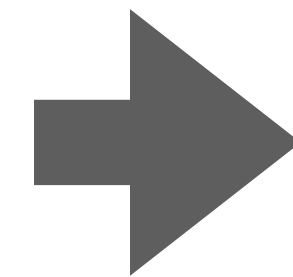
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# Synthesize with SuSLIK<sup>1</sup>!

Spec



Program

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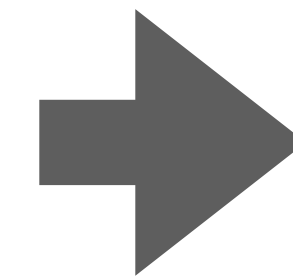
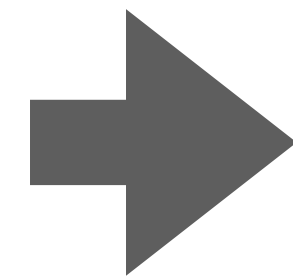
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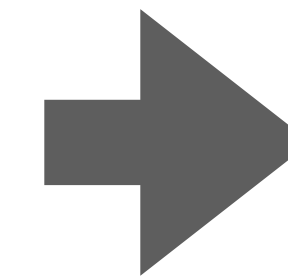
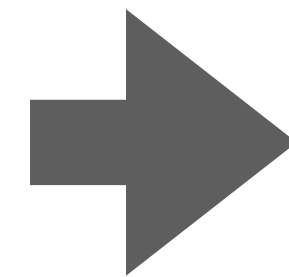
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Automatically produce  
an implementation

<sup>1</sup> Polikarpova and Sergey '19

# Synthesize with SuSLIK<sup>1</sup>!

Spec



Program

```
{r ↦ x * sll(x, s)}
```

```
void sll_copy(loc r)
```

```
{r ↦ y * sll(x, s) * sll(y, s)}
```

Automatically produce  
an implementation

```
void sll_copy (loc r) {  
  let x2 = *r;  
  if (x2 == 0) {}  
  else {  
    let v = *x2;  
    let nxt = *(x2 + 1);  
    *r = nxt;  
    sll_copy(r);  
    let y12 = *r;  
    let y2 = malloc(2);  
    *r = y2;  
    *(y2 + 1) = y12;  
    *y2 = v;  
  }  
}
```

<sup>1</sup> Polikarpova and Sergey '19

# Can I trust this result?

```
void sll_copy (loc r) {
    let x2 = *r;
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    *y2 = v;  
  }  
}
```

What if there's a bug  
in the synthesizer?



# WANTED



A formal guarantee  
of correctness

# This work

# This work

**Formally** guarantee correctness of synthesized programs

# This work

**Formally** guarantee correctness of synthesized programs  
with **proof certificates**

# This work

**Formally** guarantee correctness of synthesized programs

with **proof certificates**

generated via **deductive insight** from synthesis

# Shifting the burden of trust

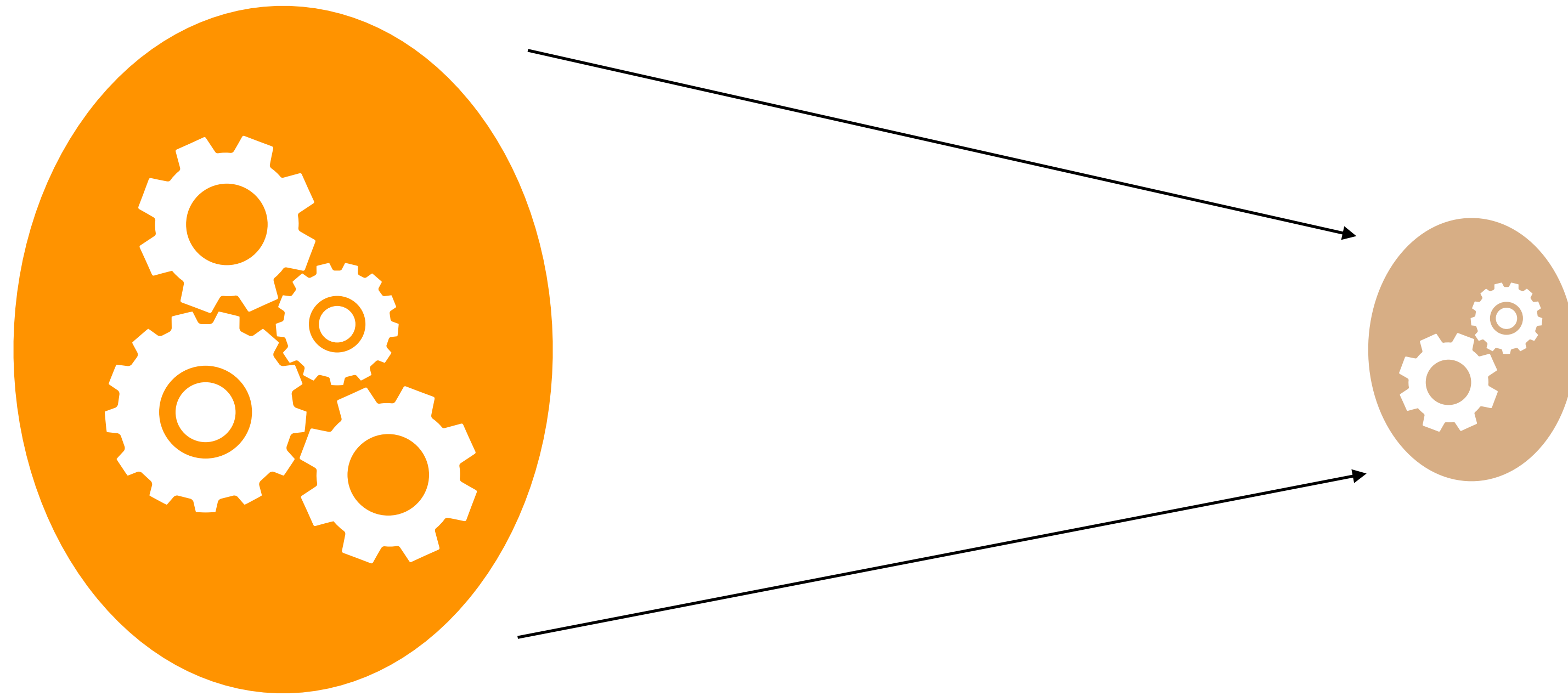


# Shifting the burden of trust



SuSLiK: Large TCB

# Shifting the burden of trust

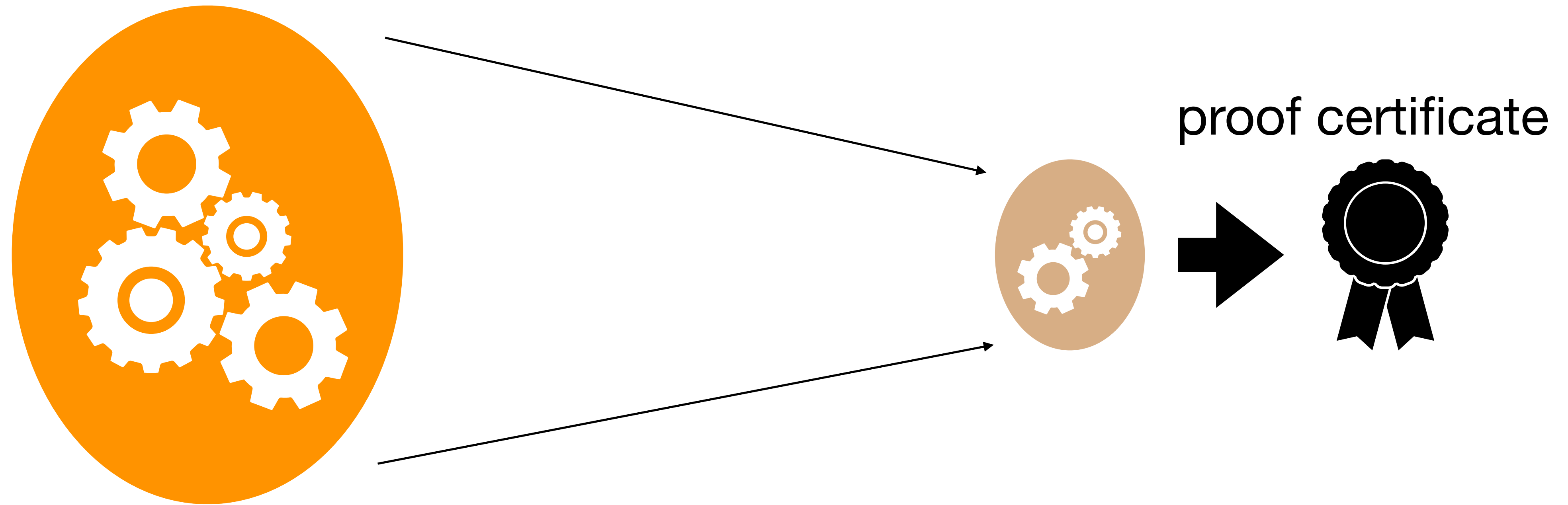


SuSLiK: Large TCB



Coq: Small TCB

# Shifting the burden of trust



SuSLiK: Large TCB



Coq: Small TCB

# SUSLIK codebase: too large to verify

```
protected def synthesize(goal: Goal)
  (stats: SynStats): Option[Solution] = {
  init(goal)
  processWorkList(stats, goal.env.config)
}

@tailrec final def processWorkList(implicit
  stats: SynStats,
  config: SynConfig): Option[Solution] = {

  // Check for timeouts
  if (!config.interactive && stats.timedOut) {
    throw SynTimeoutException(s"\n\nThe derivation took too long: more than ${config.timeOut} seconds.\n")
  }

  val sz = worklist.length
  log.print(s"Worklist ($sz): ${worklist.map(n => s"${n.pp()}[${n.cost}]").mkString(" ")", Console.YELLOW)
  log.print(s"Succeeded leaves (${successLeaves.length}): ${successLeaves.map(n => s"${n.pp()}").mkString(" ")", Console.YELLOW)
  log.print(s"Memo (${memo.size}) Suspended (${memo.suspendedSize})", Console.YELLOW, 2)
  stats.updateMaxWLSz(sz)

  if (worklist.isEmpty) None // No more goals to try: synthesis failed
  else {
    val (node, addNewNodes) = popNode // Select next node to expand
    val goal = node.goal
    implicit val ctx: log.Context = log.Context(goal)
    stats.addExpandedGoal(node)
    log.print(s"Expand: ${node.pp()}[${node.cost}]", Console.YELLOW) // <goal: ${node.goal.label.pp}>
    log.print(s"${goal.pp}", Console.BLUE)
    trace.add(node)

    // Lookup the node in the memo
    val res = memo.lookup(goal) match {
      case Some(Failed) => { // Same goal has failed before: record as failed
        log.print("Recalled FAIL", Console.RED)
        trace.add(node, Failed, Some("cache"))
        node.fail
        None
      }
      case Some(Succeeded(sol, id)) =>
        { // Same goal has succeeded before: return the same solution
          log.print(s"Recalled solution ${sol._1.pp}", Console.RED)
        }
    }
  }
}
```



```
object OperationalRules extends SepLogicUtils with RuleUtils {

  val exceptionQualifier: String = "rule-operational"

  import Statements._

  /*
  Write rule: create a new write from where it's possible

  
$$\frac{\Gamma ; \{\varphi ; x.f \rightarrow l' * P\} ; \{\psi ; x.f \rightarrow l' * Q\} \longrightarrow S \quad GV(l) = GV(l') = \emptyset}{\Gamma ; \{\varphi ; x.f \rightarrow l * P\} ; \{\psi ; x.f \rightarrow l' * Q\} \longrightarrow *x.f := l' ; S} \text{ [write]}$$


  */
  object WriteRule extends SynthesisRule with GeneratesCode with InvertibleRule {
    def toString: Ident = "Write"

    def apply(goal: Goal): Seq[RuleResult] = {
      val pre = goal.pre
      val post = goal.post

      // Heaps have no ghosts
      def noGhosts(heaps: Heaplet => Boolean) = {
        def pointsTo(x@Var(_), _, e) => !goal.isGhost(x) && e.vars.forall(v => !goal.isGhost(v))
        case _ => false
      }

      // When do two heaplets match
      def isMatch(hl: Heaplet, hr: Heaplet) = sameLhs(hl)(hr) && !sameRhs(hl)(hr) && noGhosts(hr)

      findMatchingHeaplets(_ => true, isMatch, goal.pre.sigma, goal.post.sigma) match {
        case None => Nil
        case Some((hl@PointsTo(x@Var(_), offset, e1), hr@PointsTo(_, _, e2))) =>
          val newPre = Assertion(pre.phi, goal.pre.sigma - hl)
          val newPost = Assertion(post.phi, goal.post.sigma - hr)
          val subGoal = goal.spawnChild(newPre, newPost)
          val kont: StmtProducer = PrependProducer(Store(x, offset, e2)) >> ExtractHelper(goal)

          List(RuleResult(List(subGoal), kont, this, goal))
        }
      }
    }

    def ruleAssert(assertion = false, s"Write rule matched unexpected heaplets ${hl.pp} and ${hr.pp}")
  }
}
```

# Deductive insight

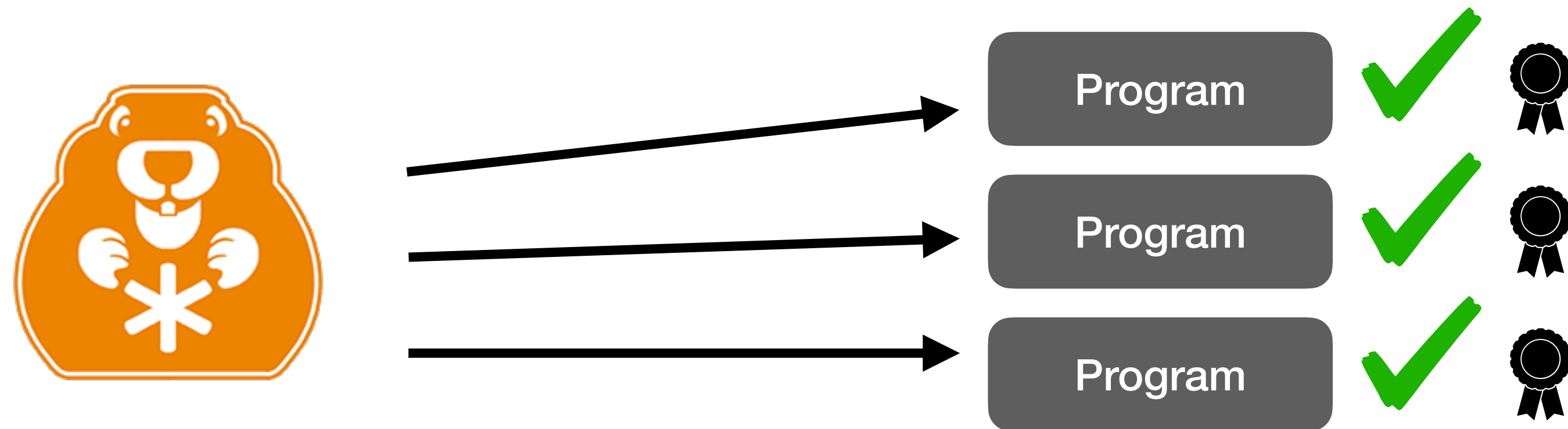


# Deductive insight → post-hoc certification



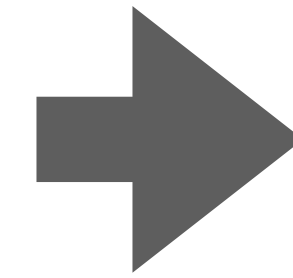
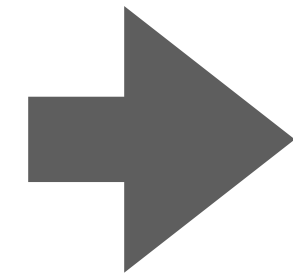


# Deductive insight → post-hoc certification



# Program synthesis with SUSLIK

Spec



Program

# Synthetic separation logic (SSL)



SSL rule

# Synthetic separation logic (SSL)

Initial goal  $\{P\} \rightsquigarrow \{Q\}$

SSL rule

# Synthetic separation logic (SSL)

Initial goal  $\{P\} \rightsquigarrow \{Q\}$

SSL rule

Transformed goal  $\{P'\} \rightsquigarrow \{Q'\}$

# Synthetic separation logic (SSL)

Initial goal  $\{P\} \rightsquigarrow \{Q\}$

SSL rule



program  
statement

Transformed goal  $\{P'\} \rightsquigarrow \{Q'\}$

# Searching for a program

Initial specification

$\{r \mapsto x * \text{sll}(x, s)\}$

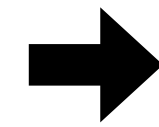
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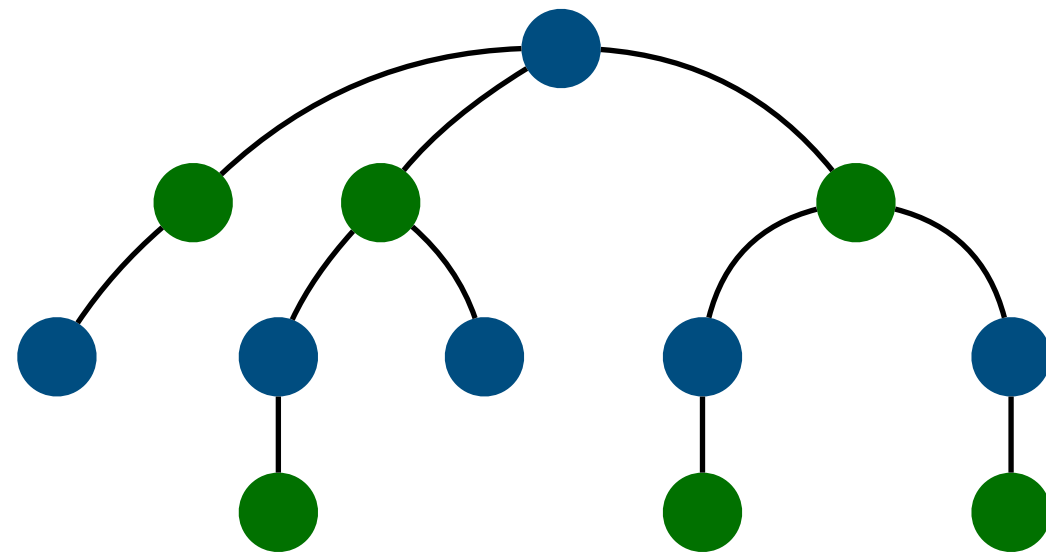
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```



Enumerative  
proof search





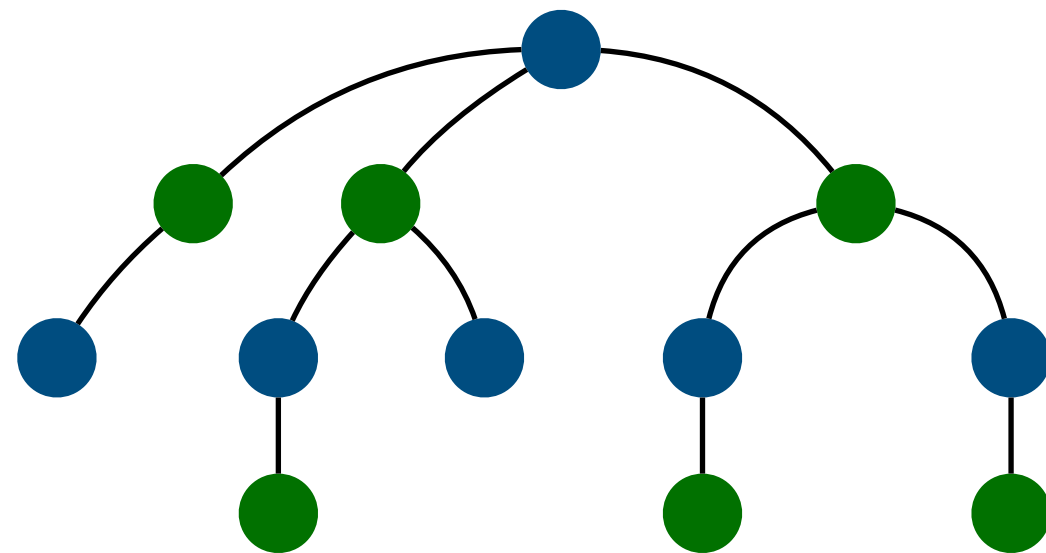
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Initial specification

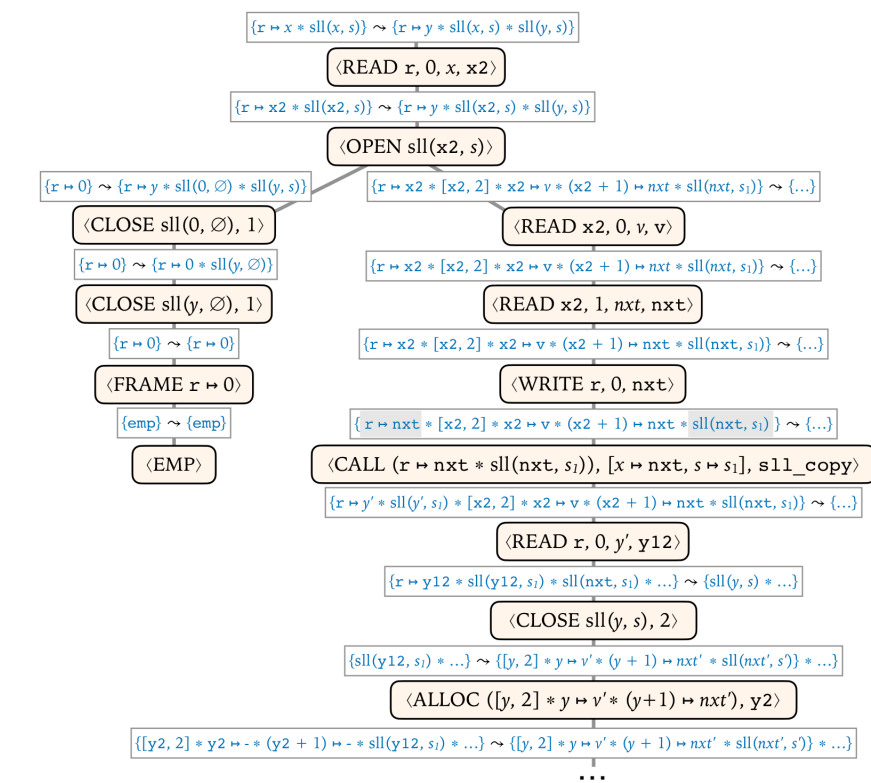
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Enumerative  
proof search



Proof tree



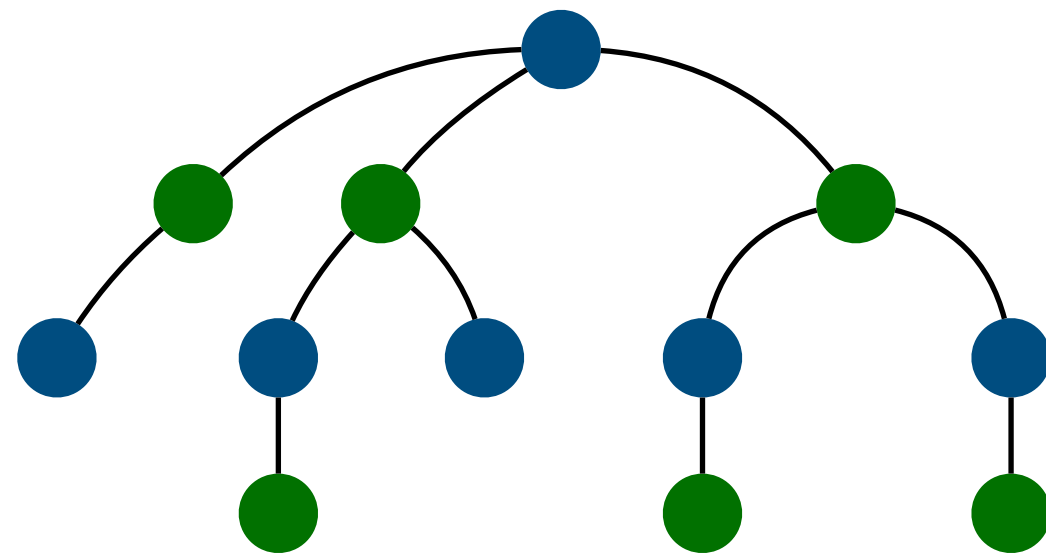
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Initial specification

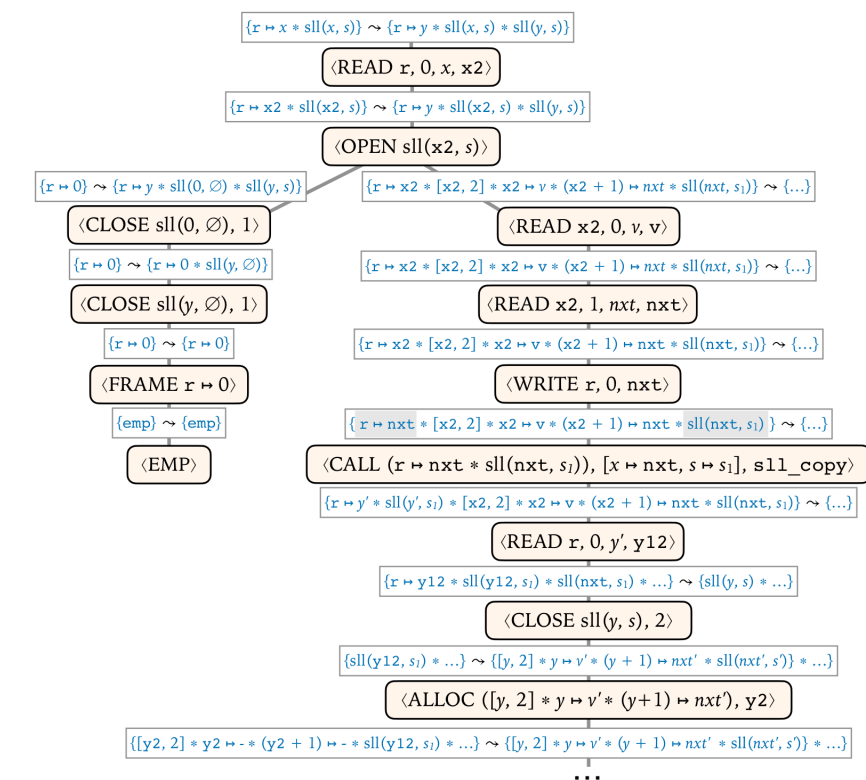
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```

Enumerative  
proof search



Proof tree



Program (byproduct)

```

void sll_copy (loc r) {
  let x2 = *r;
  if (x2 == 0) {}
  else {
    let v = *x2;
    let nxt = *(x2 + 1);
    *r = nxt;
    sll_copy(r);
    let y12 = *r;
    let y2 = malloc(2);
    *(y2 + 1) = y12;
    *y2 = v;
  }
}
    
```

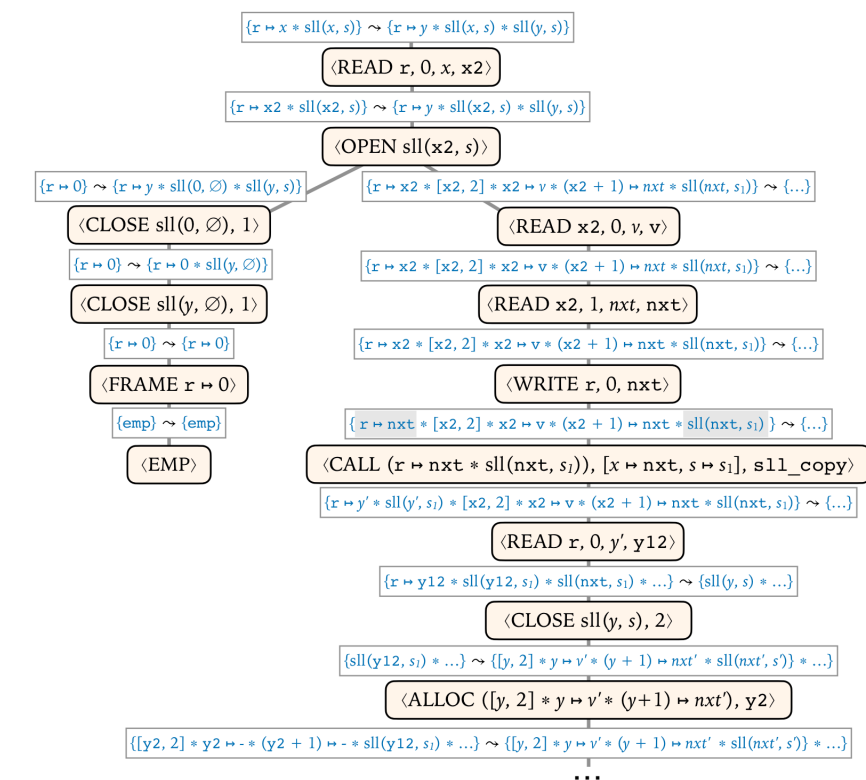
# Searching for a program

Initial specification

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void sll_copy(loc  
{r ↦ y * sll(x, s) * sll(y, s)}
```

All we need to  
build a certificate?

Proof tree



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  }  
}
```

**And yet, a fundamental gap**

**synthesis**  **verification**

**And yet, a fundamental gap**

**synthesis**  **verification**

# Verification: symbolic execution

known program structure

```
let a = *x;
```

```
*x = b;
```

# Verification: symbolic execution

Symbolically execute  
to transform  
the *precondition* only

```
let a = *x;
```

```
*x = b;
```

# Verification: symbolic execution

Symbolically execute  
to transform  
the *precondition* only

**let** a = \*x;



**apply:** bnd\_readR=>//=.

$\{P_0\} \rightsquigarrow \{Q\}$

$\{P_1\} \rightsquigarrow \{Q\}$

\*x = b;



**apply:** bnd\_writeR=>//=.

$\{P_2\} \rightsquigarrow \{Q\}$

⋮

**apply:** val\_ret.

$\{P_m\} \rightsquigarrow \{Q\}$



# Verification: symbolic execution

Symbolically execute  
to transform  
the *precondition* only

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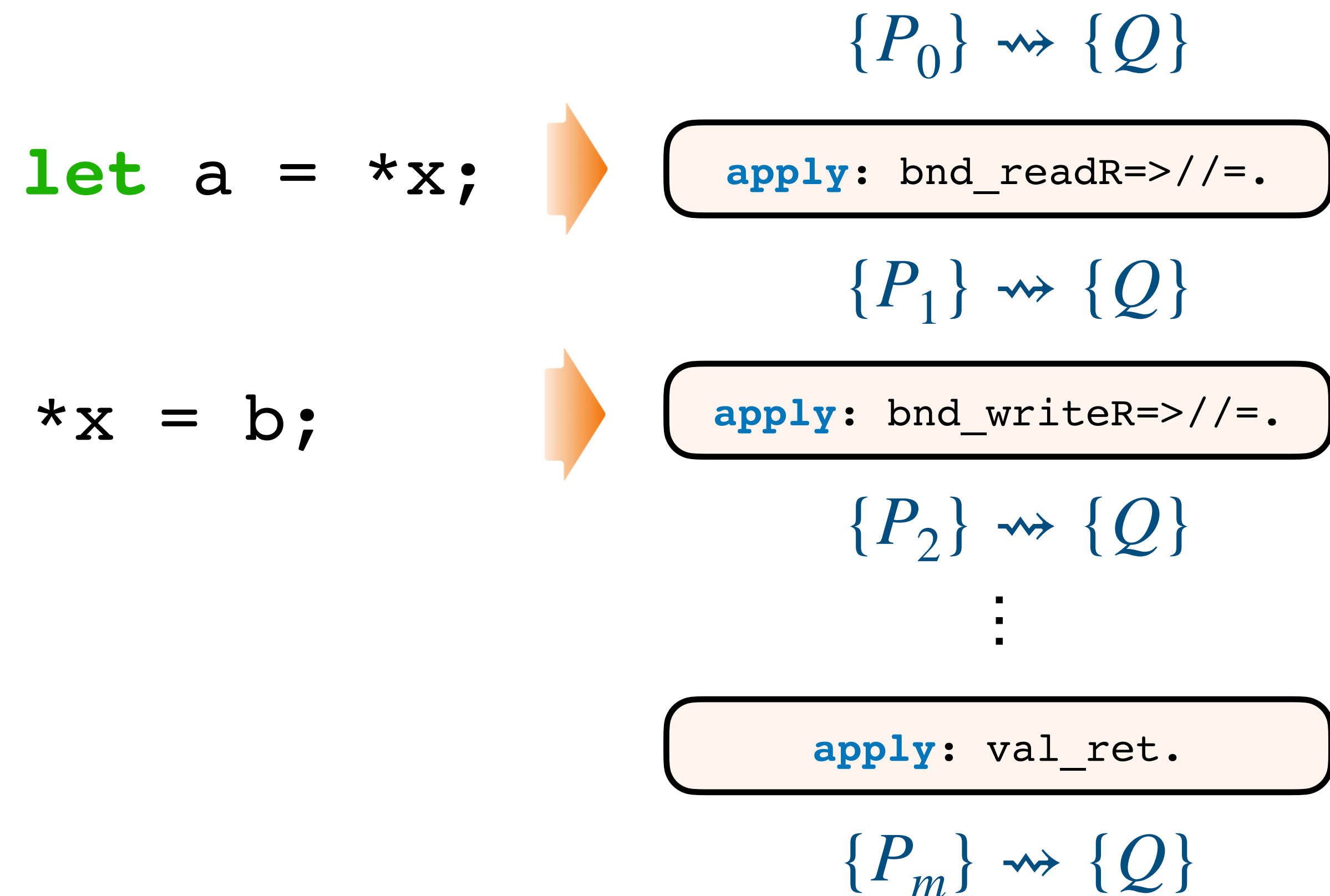
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**apply:** val\_ret.

$\{P_m\} \rightsquigarrow \{Q\}$

# Verification: symbolic execution



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$\{P_0\} \rightsquigarrow \{Q\}$

**apply:** bnd\_readR=>//=.

$\{P_1\} \rightsquigarrow \{Q\}$

\*x = b;



**apply:** bnd\_writeR=>//=.

$\{P_2\} \rightsquigarrow \{Q\}$

⋮

**apply:** val\_ret.

$\{P_m\} \rightsquigarrow \{Q\}$

# Verification: symbolic execution

Postcondition unification  
delayed to the end!

$$P_m \vdash Q$$

**let** a = \*x;

\*x = b;

$$\{P_0\} \rightsquigarrow \{Q\}$$

**apply:** bnd\_readR=>//=.

$$\{P_1\} \rightsquigarrow \{Q\}$$

**apply:** bnd\_writeR=>//=.

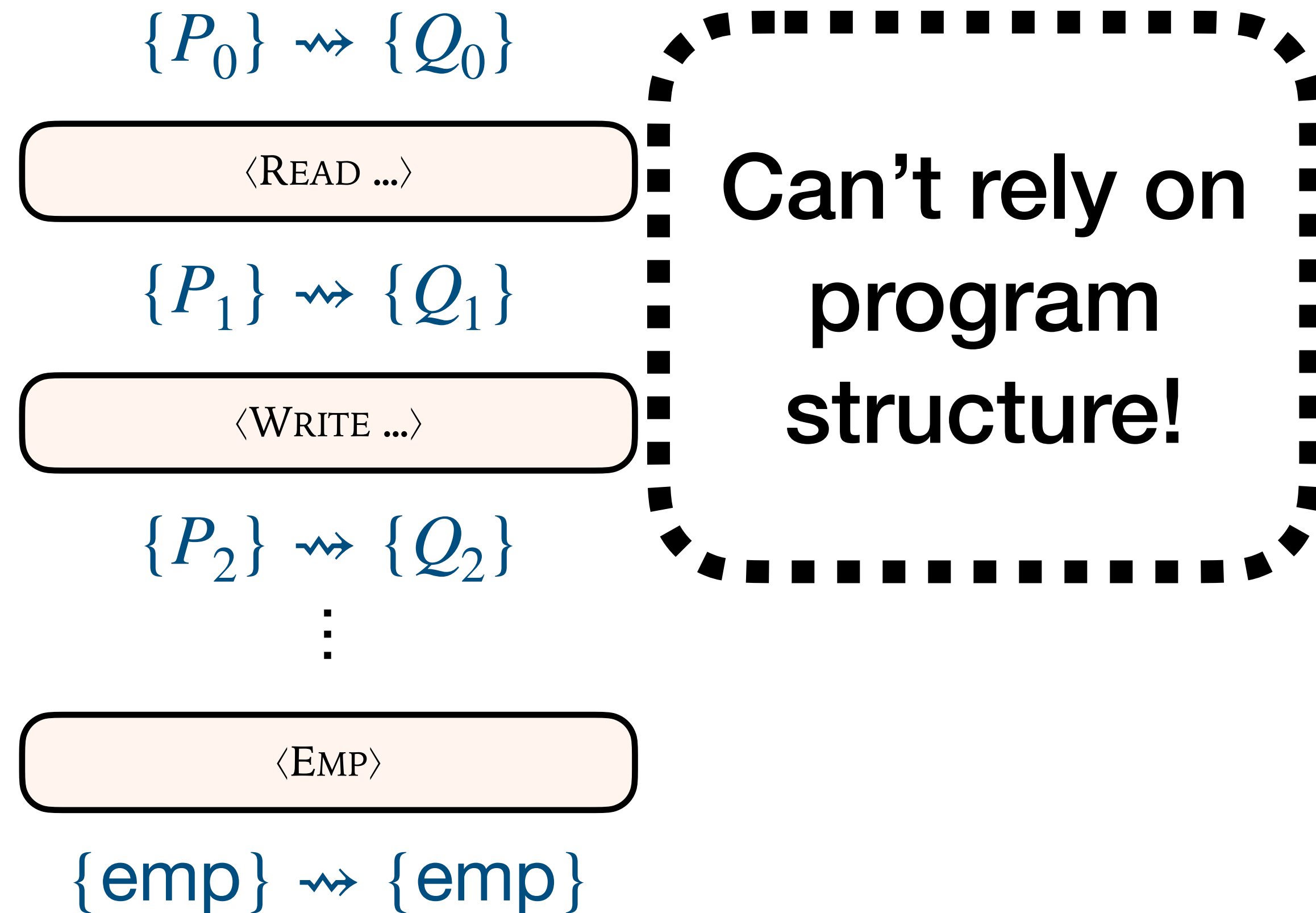
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⋮

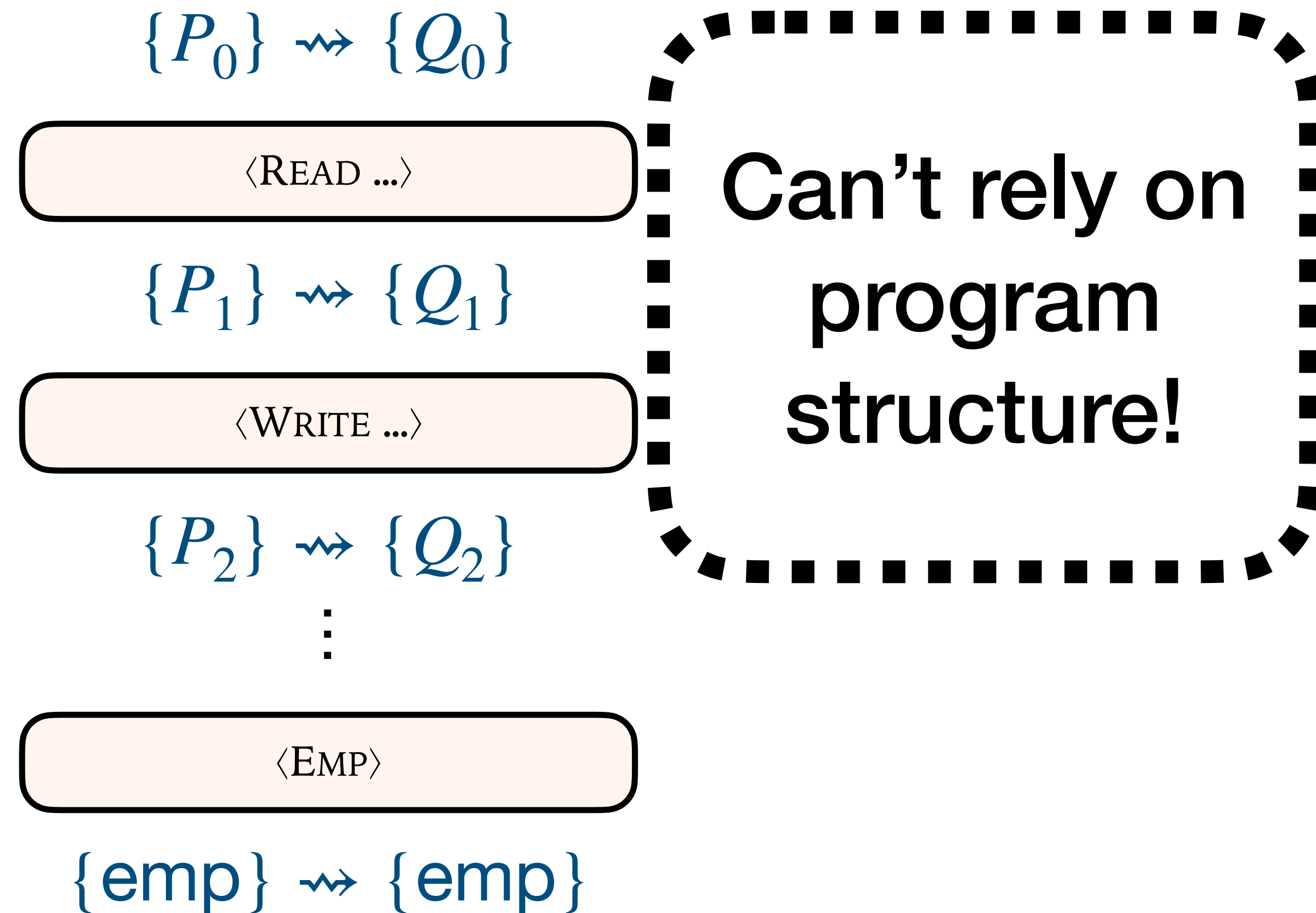
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$$\{P_m\} \rightsquigarrow \{Q\}$$

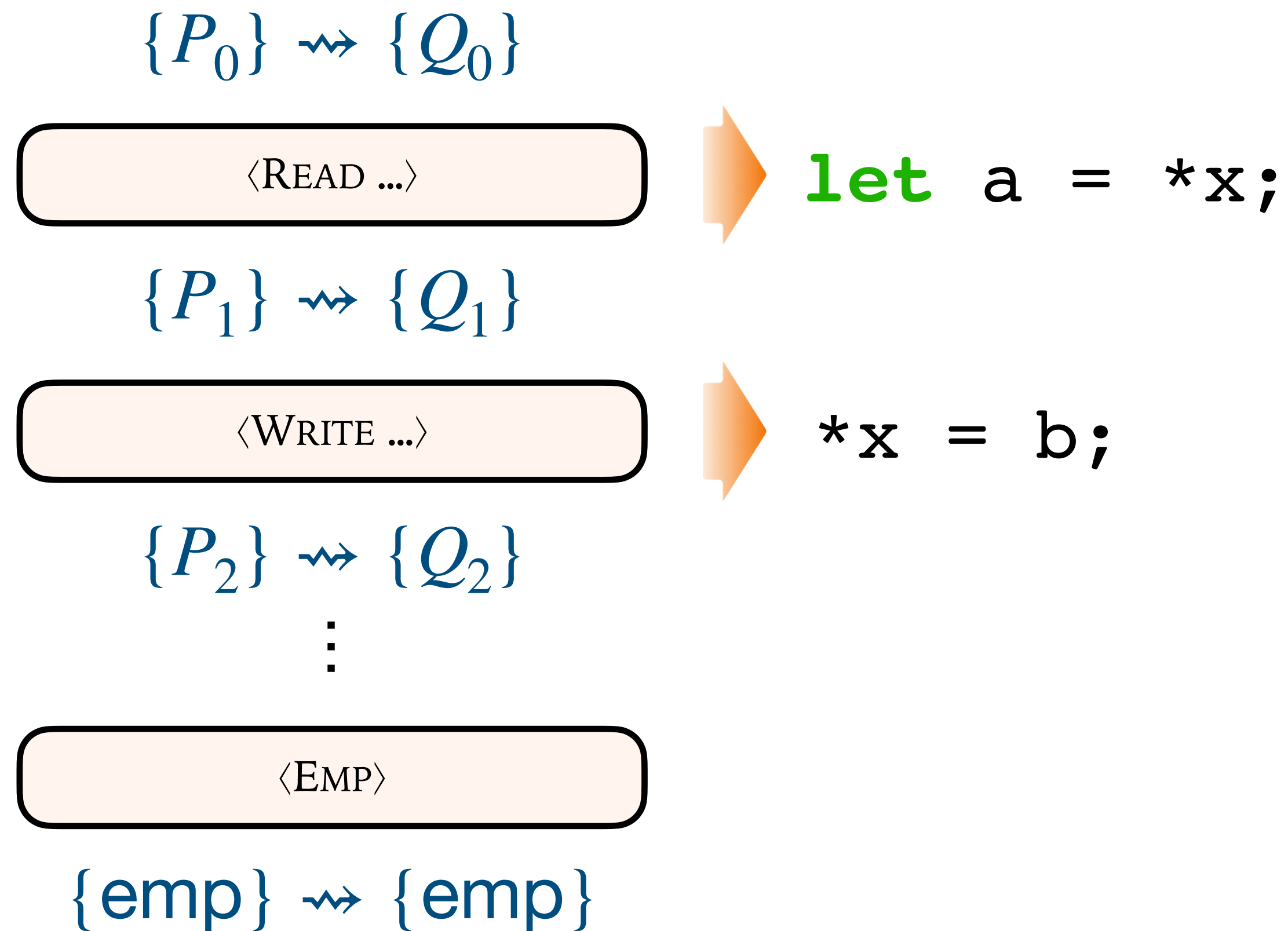
# Synthesis: transforming the whole goal



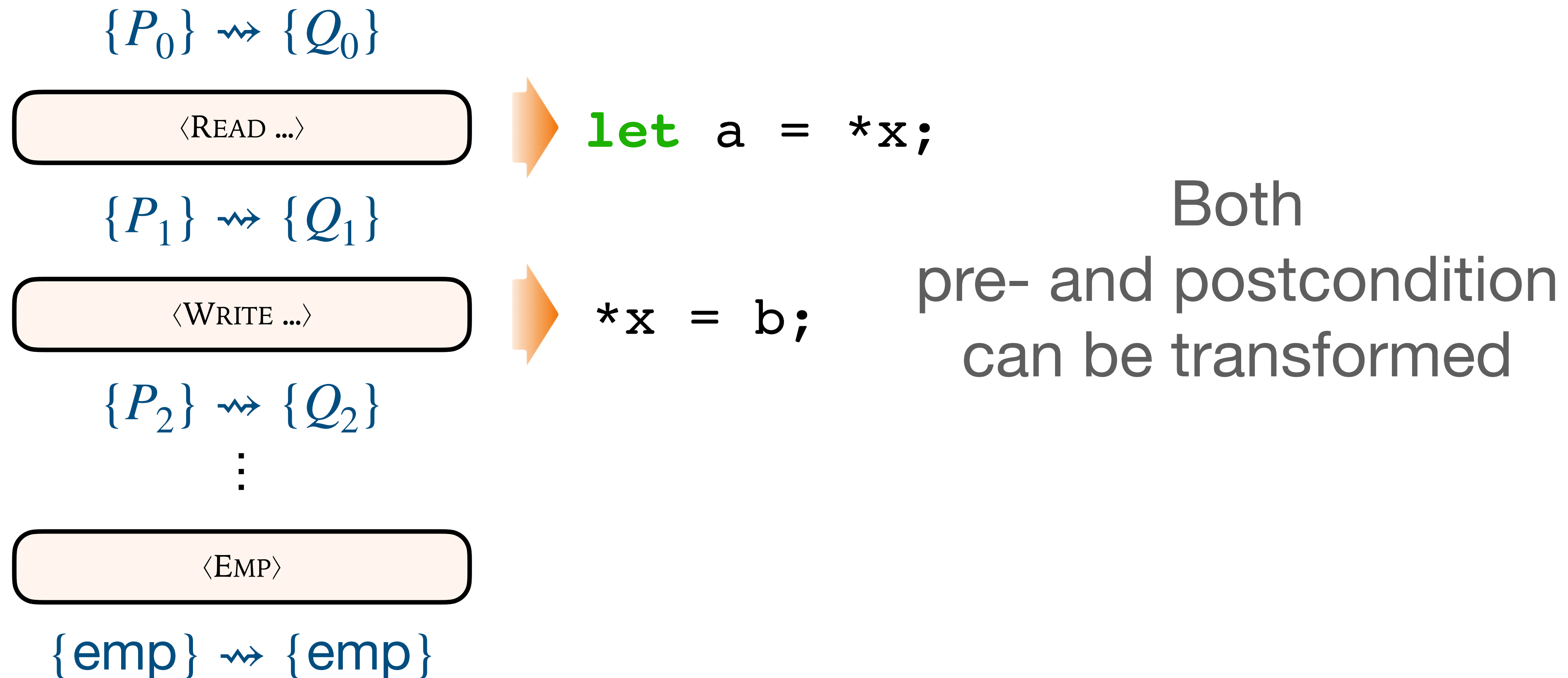
# Synthesis: transforming the whole goal



# Synthesis: transforming the whole goal



# Synthesis: transforming the whole goal





# A question

# A question

Rules that transform the postcondition

# A question

Rules that transform the postcondition  
need to *delay* their insight

# A question

Rules that transform the postcondition  
need to *delay* their insight

→ **How to bridge the gap?**

# Another question

# Another question

Multiple program verifiers available

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Multiple program verifiers available

1. Hoare Type Theory (HTT) — Nanevski et al. '10

# Another question

## Multiple program verifiers available

1. Hoare Type Theory (HTT) — Nanevski et al. '10
2. Verified Software Toolchain (VST) — Appel '11



# Another question

## Multiple program verifiers available

1. Hoare Type Theory (HTT) — Nanevski et al. '10
2. Verified Software Toolchain (VST) — Appel '11
3. IRIS — Jung et al. '18; Krebbers et al. '17

# Another question

## Multiple program verifiers available

1. Hoare Type Theory (HTT) — Nanevski et al. '10
2. Verified Software Toolchain (VST) — Appel '11
3. IRIS — Jung et al. '18; Krebbers et al. '17

**→ How to support verifiers uniformly?**

# Two motivating challenges...

## How to bridge the gap?

## How to support verifiers uniformly?

# Two motivating challenges...

**How to bridge the gap?**

“interpret” synthesis trace into verification proof

**How to support verifiers uniformly?**

# Two motivating challenges...

## How to bridge the gap?

“interpret” synthesis trace into verification proof

## How to support verifiers uniformly?

an abstract framework that each verifier can instantiate

# Our contributions

# Our contributions

- **An abstract proof evaluator framework**

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- An **abstract proof evaluator** framework
- Instantiations for **3 target verifiers**  
(HTT, VST, IRIS)



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- **An abstract proof evaluator framework**
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- **Evaluation** on characteristic benchmarks

# Our contributions

- An **abstract proof evaluator** framework
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# Custom proof step interpreters

**HTT** Interpreter

**IRIS** Interpreter

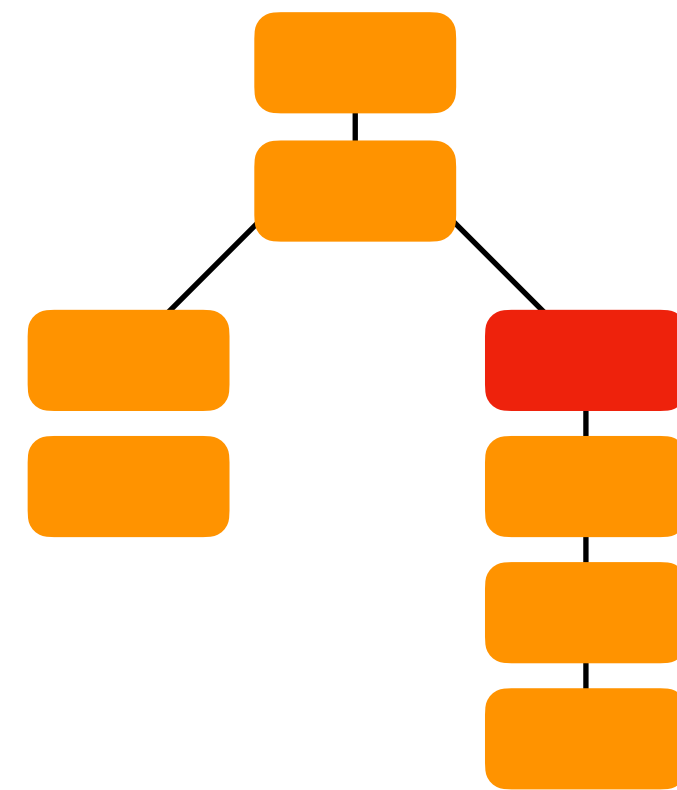
**VST** Interpreter

# Custom proof step interpreters

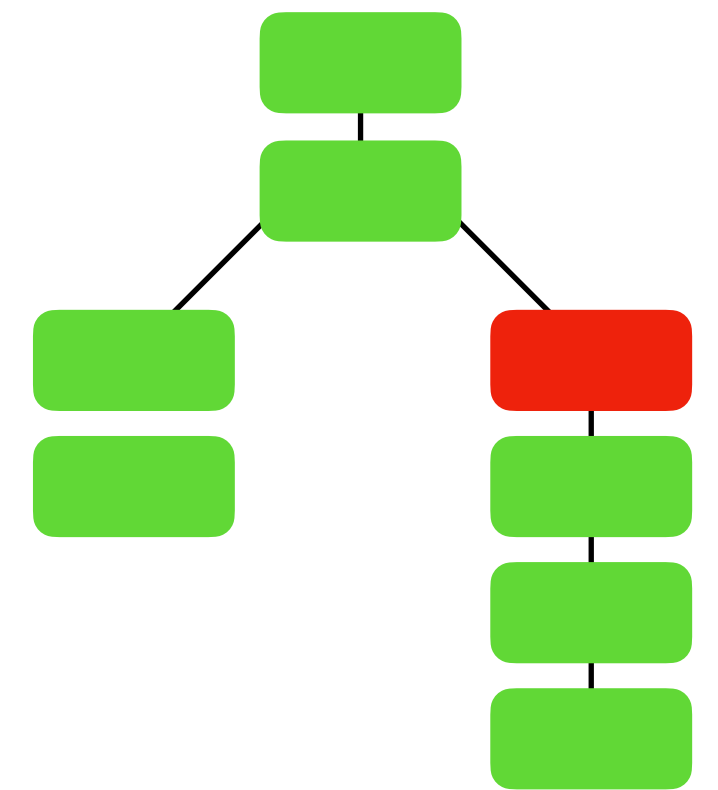
$$I_{htt} : \text{Step}_{ssl} \longrightarrow \text{Step}_{htt}$$

HTT Interpreter

Abstract Evaluator



synthesis proof tree



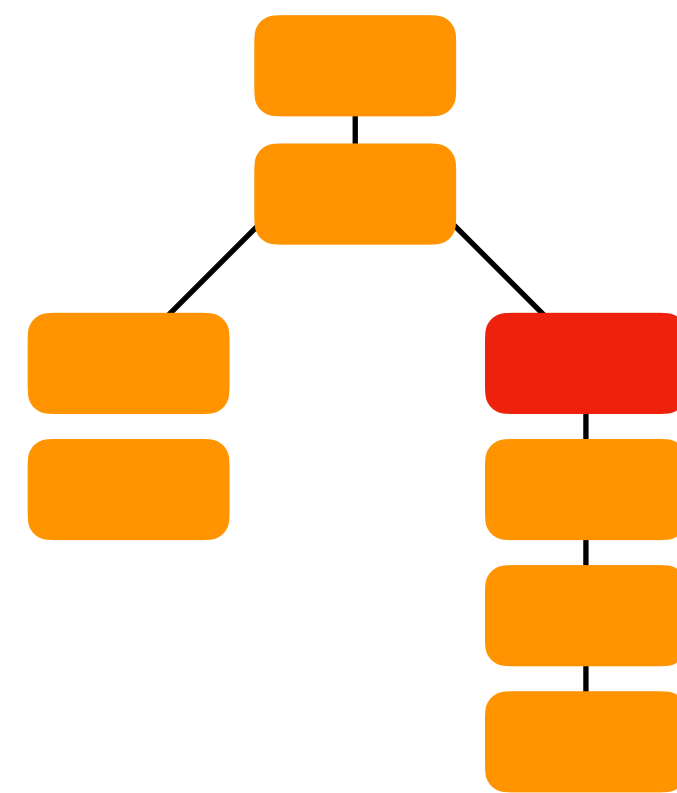
HTT certificate

# Custom proof step interpreters

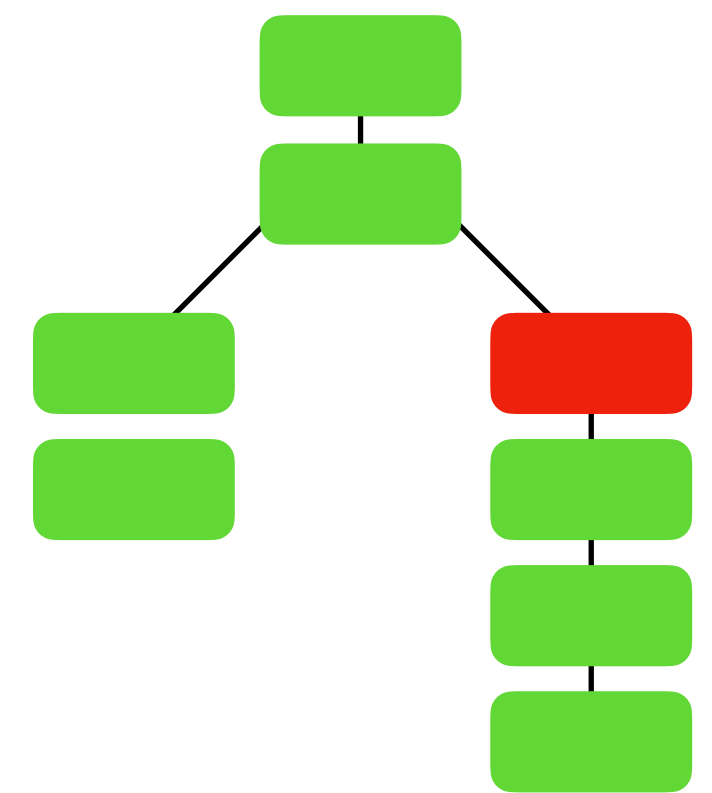
$$I_{htt} : \text{Step}_{ssl} \longrightarrow \text{Step}_{htt}$$

HTT Interpreter

Abstract Evaluator



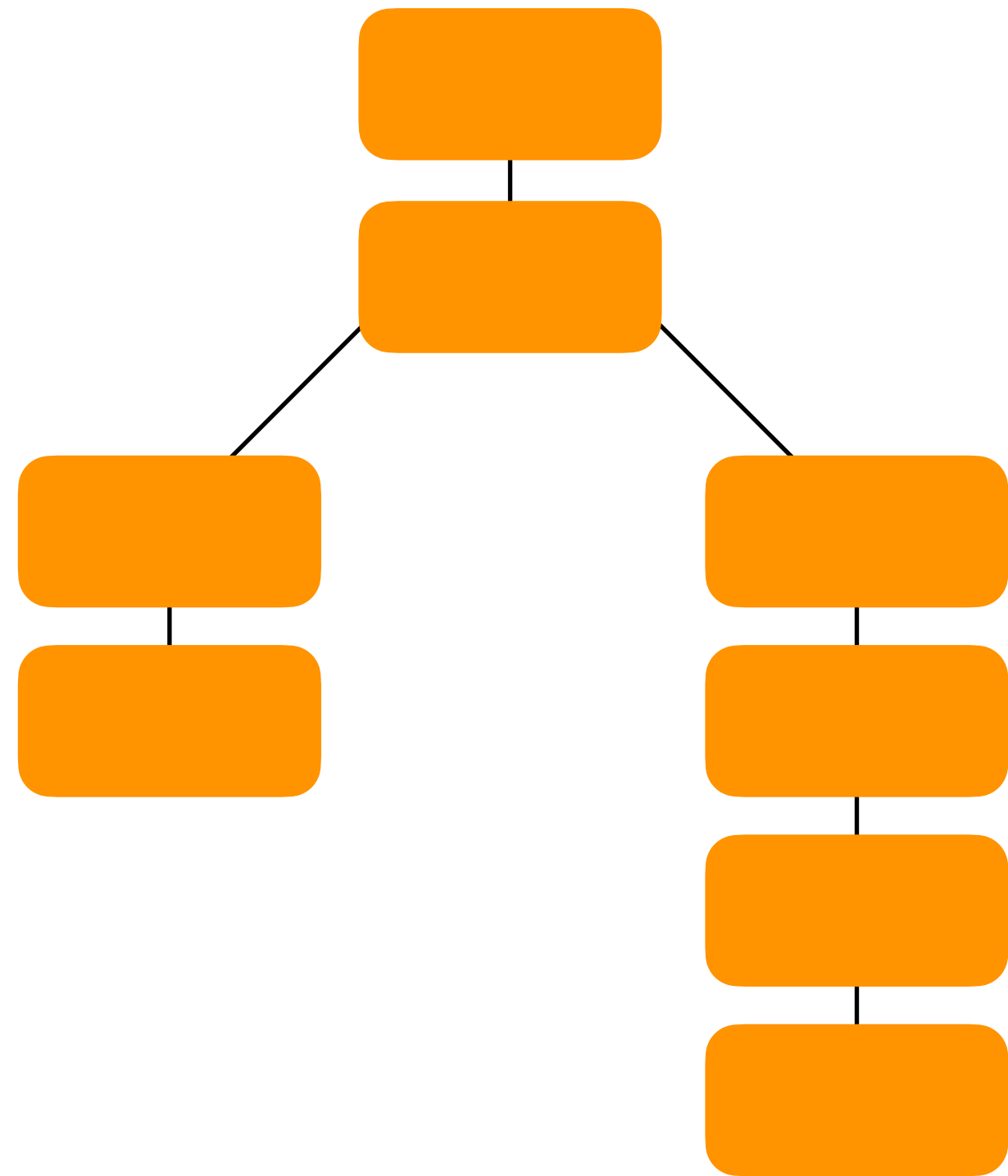
synthesis proof tree



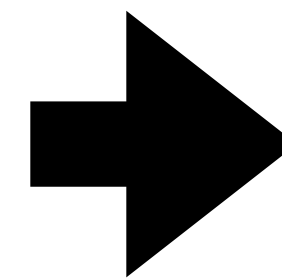
HTT certificate

# The evaluator in action

SuSLik proof tree

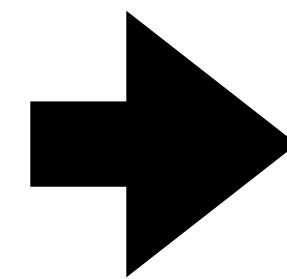
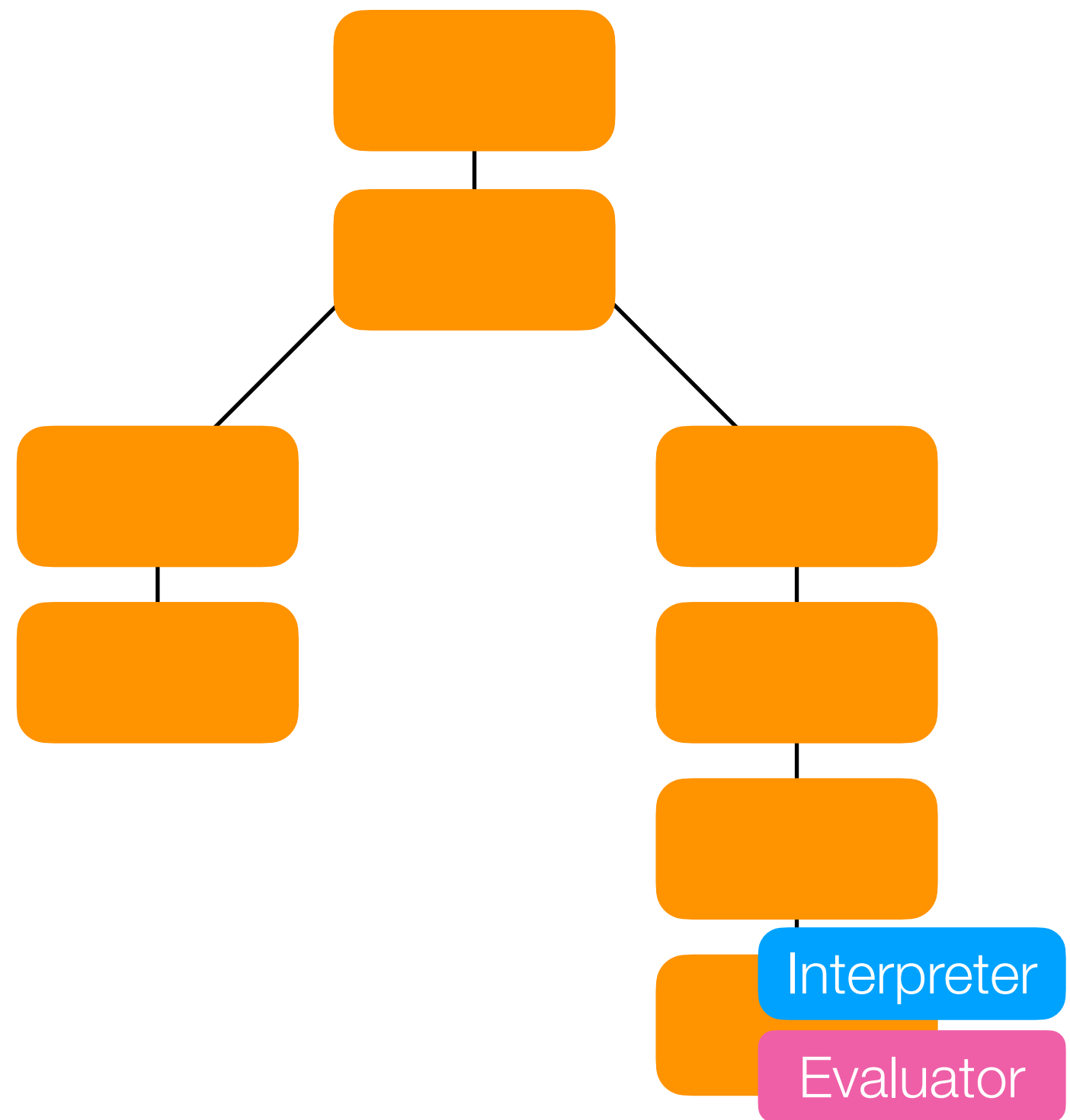


Coq proof certificate

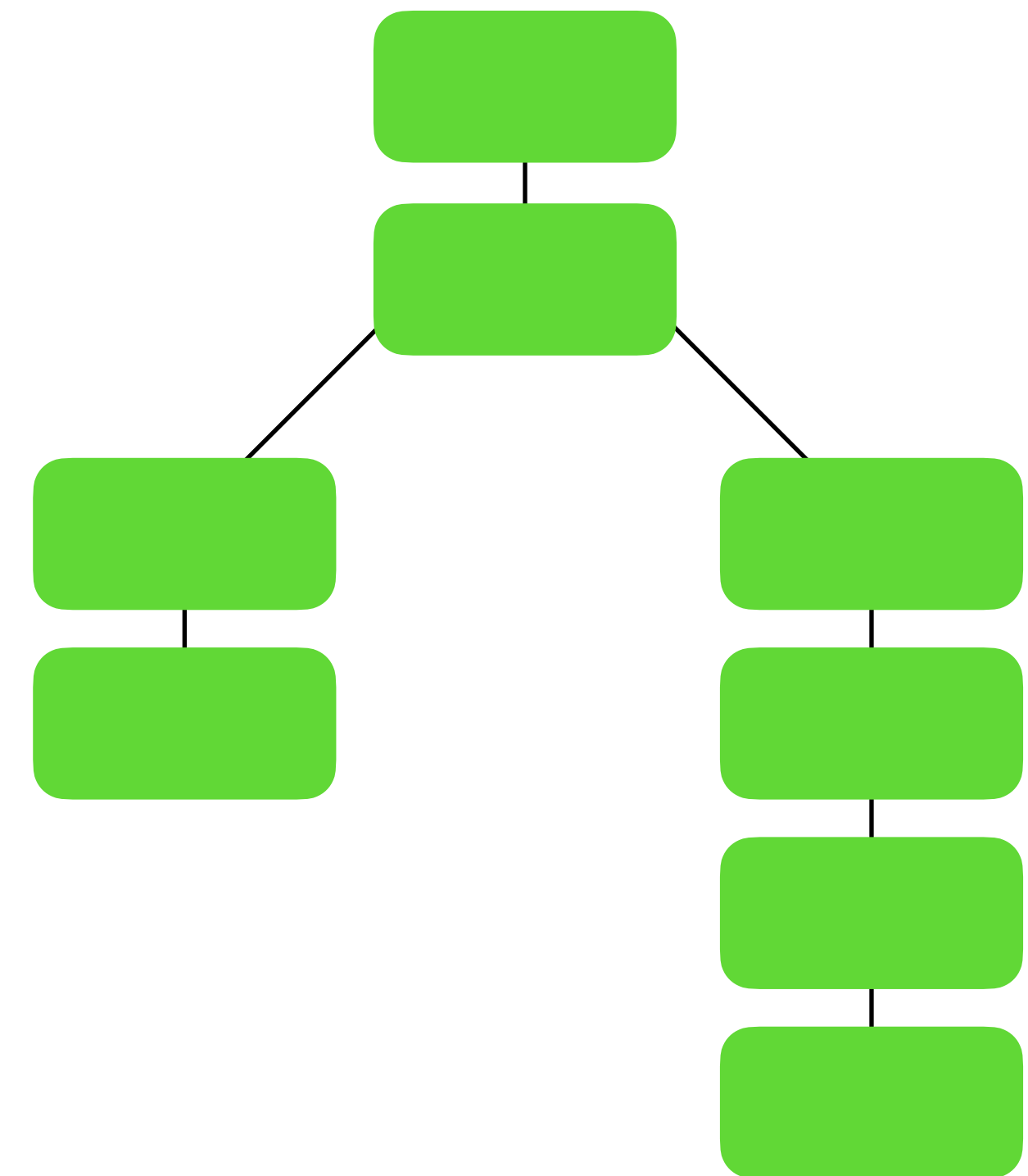


# The evaluator in action

SuSLik proof tree



Coq proof certificate



# Two strategies to bridge the gap



# Two strategies to bridge the gap

## 1. Deferred proof steps

# Two strategies to bridge the gap

## 1. Deferred proof steps

*delay proof step appearance*

# Two strategies to bridge the gap

## 1. Deferred proof steps

*delay proof step appearance*

like a

**continuation**

# Two strategies to bridge the gap

**1. Deferred proof steps**

**2. Proof contexts**

*delay proof step appearance*

like a

**continuation**

# Two strategies to bridge the gap

## 1. Deferred proof steps

*delay proof step appearance*

like a

**continuation**

## 2. Proof contexts

*track bookkeeping information*

# Two strategies to bridge the gap

## 1. Deferred proof steps

*delay proof step appearance*

like a

**continuation**

## 2. Proof contexts

*track bookkeeping information*

like an

**accumulator**

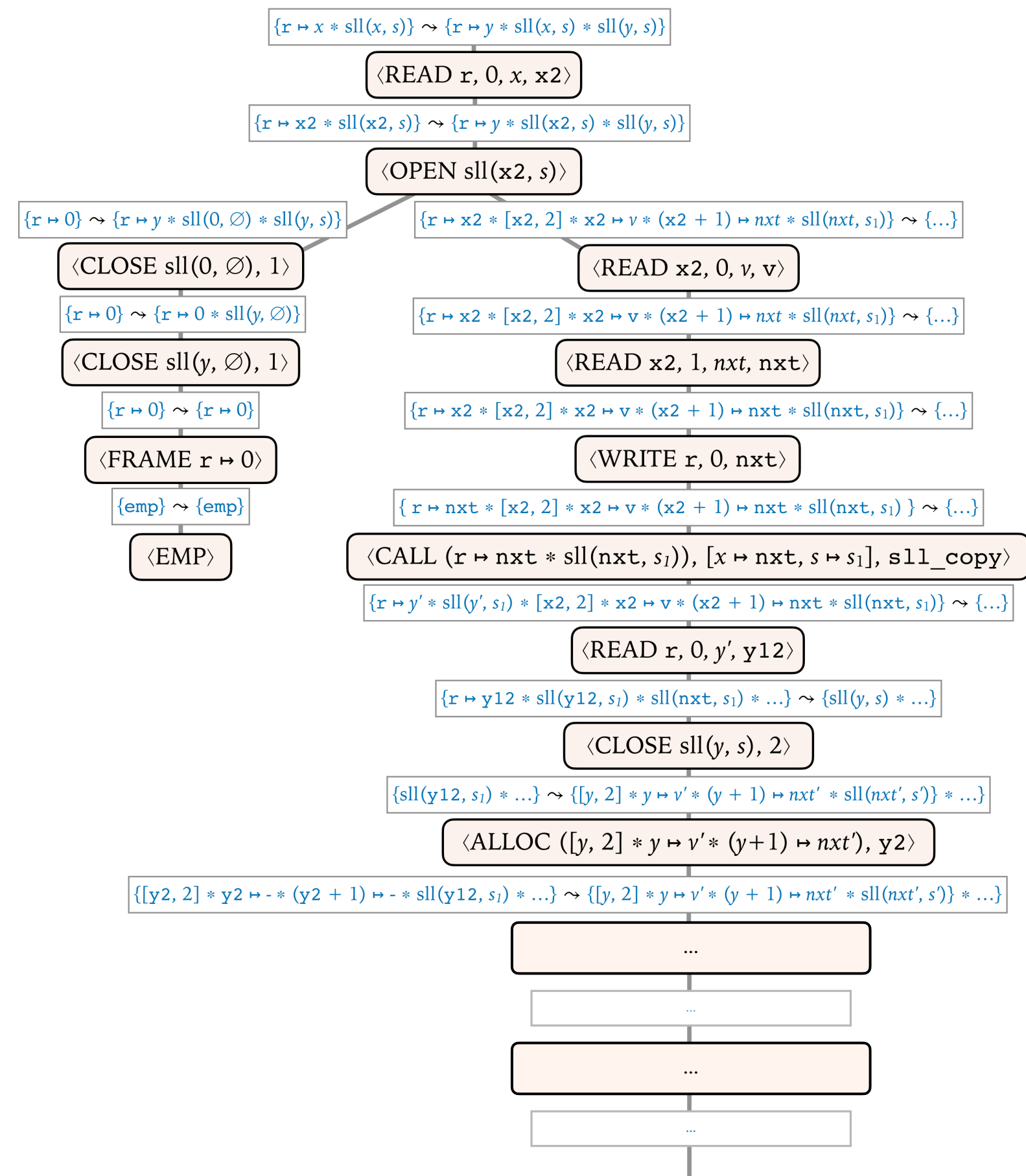
# Example with Hoare Type Theory (HTT)

**Let's certify s11\_copy**



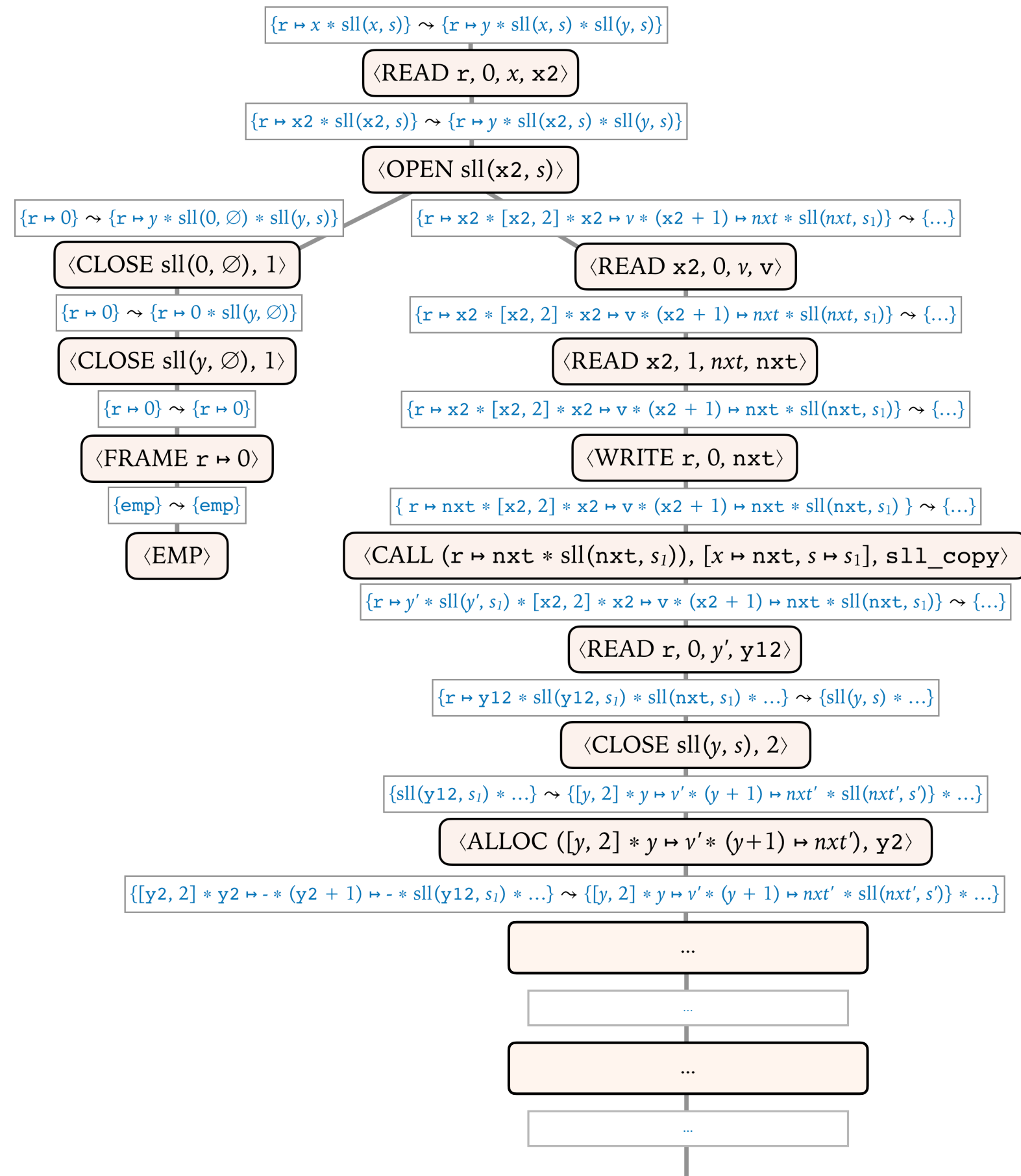
# Let's certify sll\_copy

## SuSLik proof tree



# Let's certify sll\_copy

SuSLik proof tree



Coq proof certificate (HTT)

```

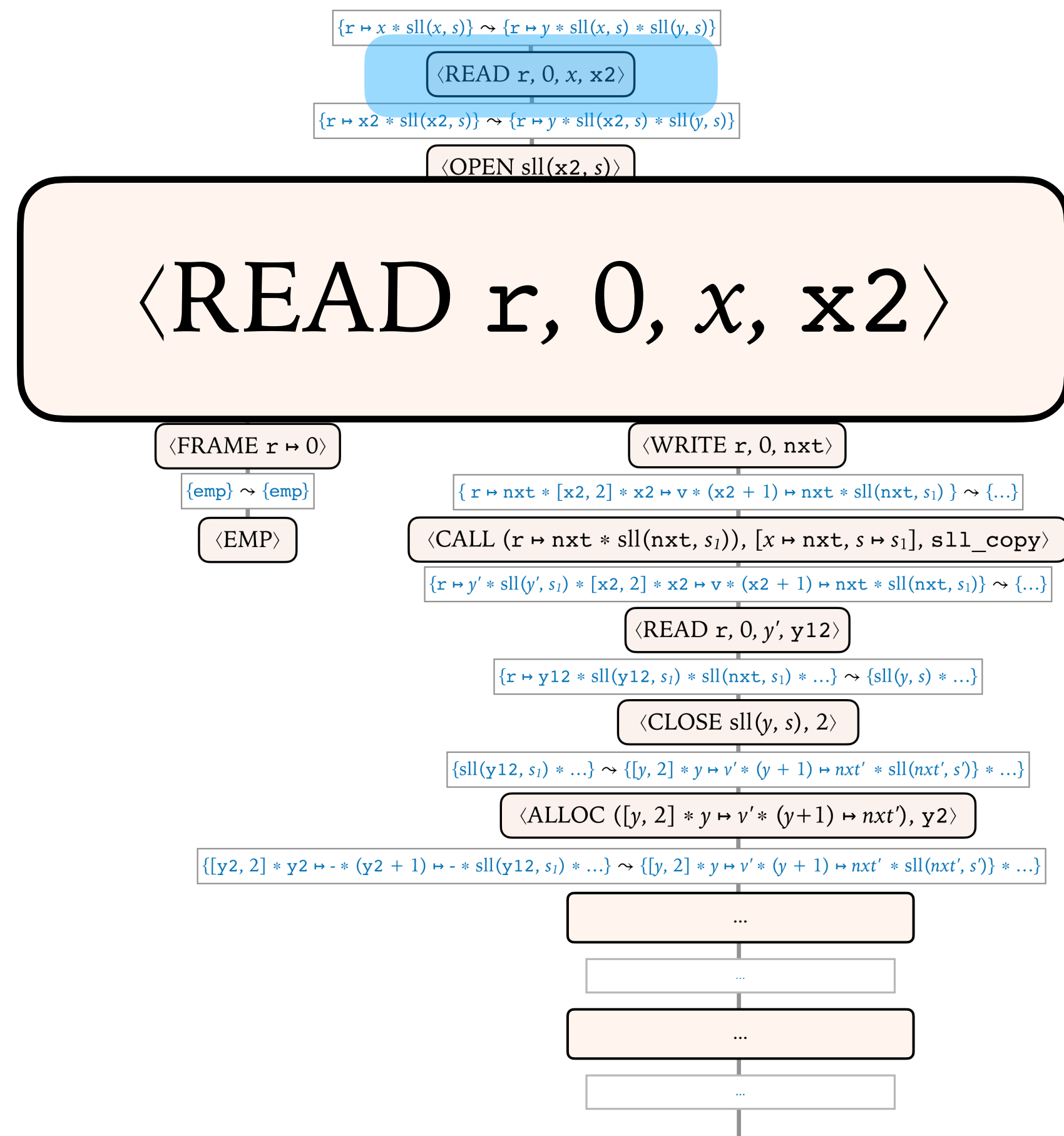
Next Obligation.
(* Initialize HTT proof context *)
apply: ghR; move=>h_self[x2 s][h'][->]Hsll _ .
(* Read *) apply: bnd_readR=>/=.
(* Open (unfold) SLL instance in the precondition *)
case: Hsll; case: ifP; move=>IfCond//_;
[move=>[?]->|move=>[v][s1][nxt][h1][?][->]H1].
(* Case: empty list (x2 = 0) *)
- move:IfCond=>/eqP->. (* substitute x2 ↦ 0 *)
  (* Emp *) apply: val_ret; ∃ null, Unit, Unit;
  (* Close (unfold) SLL instance in postcondition *)
  repeat split=>/=/; do?[hhauto|constructor 1].
(* Case: non-empty list *)
- (* Read *) apply: bnd_readR=>/=.
  (* Read *) apply: bnd_readR=>/=.
  (* Write *) apply: bnd_writeR=>/=.
  (* Call *)
  rewrite (joinC _ h1) joinA; apply: bnd_seq.
  apply: (gh_ex (nxt, s1)); apply: val_do=>/=_.
  ∃ h1; split=>/=.
  move=>h_call [y12][h11][h21][->][H2 H3]_ .
  (* Read *) apply: bnd_readR=>/=.
  (* Alloc *) apply: bnd_allocbR=>y2//=.
  (* Write *) apply: bnd_writeR=>/=.
  (* Write *) apply: bnd_writeR=>/=.
  (* Emp *)
  apply: val_ret; rewrite defPtUn0; case/andP=>?.
  ∃ y2, (x2 ↦ v • (x2+1) ↦ nxt • h11),
    (y2 ↦ v • (y2+1) ↦ y12 • h21).
  repeat split=>/=/; first by hhauto.
+ (* Close SLL instance 1 in postcondition *)
  by constructor 2=>/=; ∃ v, s1, nxt, h11.
+ (* Close SLL instance 2 in postcondition *)
  constructor 2=>/=; first by apply negbTE.
  by ∃ v, s1, y12, h21.

```

Qed.

# Let's certify sll\_copy

SuSLik proof tree



Coq proof certificate (HTT)

```

Next Obligation.
(* Initialize HTT proof context *)
apply: ghR; move=>h_self[x2 s][h'][->]Hsll _
(* Read *) apply: bnd_readR=>/=.
(* Open (unfold) SLL instance in the precondition *)
case: Hsll; case: ifP; move=>IfCond//_;
[move=>[?]->|move=>[v][s1][nxt][h1][?][->]H1].
(* Case: empty list (x2 = 0) *)

```

**apply: bnd\_readR=>//=.**

```

- (* Read *) apply: bnd_readR=>/=.
(* Read *) apply: bnd_readR=>/=.
(* Write *) apply: bnd_writeR=>/=.
(* Call *)
rewrite (joinC _ h1) joinA; apply: bnd_seq.
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∃ y2, (x2 ↦ v • (x2+1) ↦ nxt • h1),
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```

Qed.

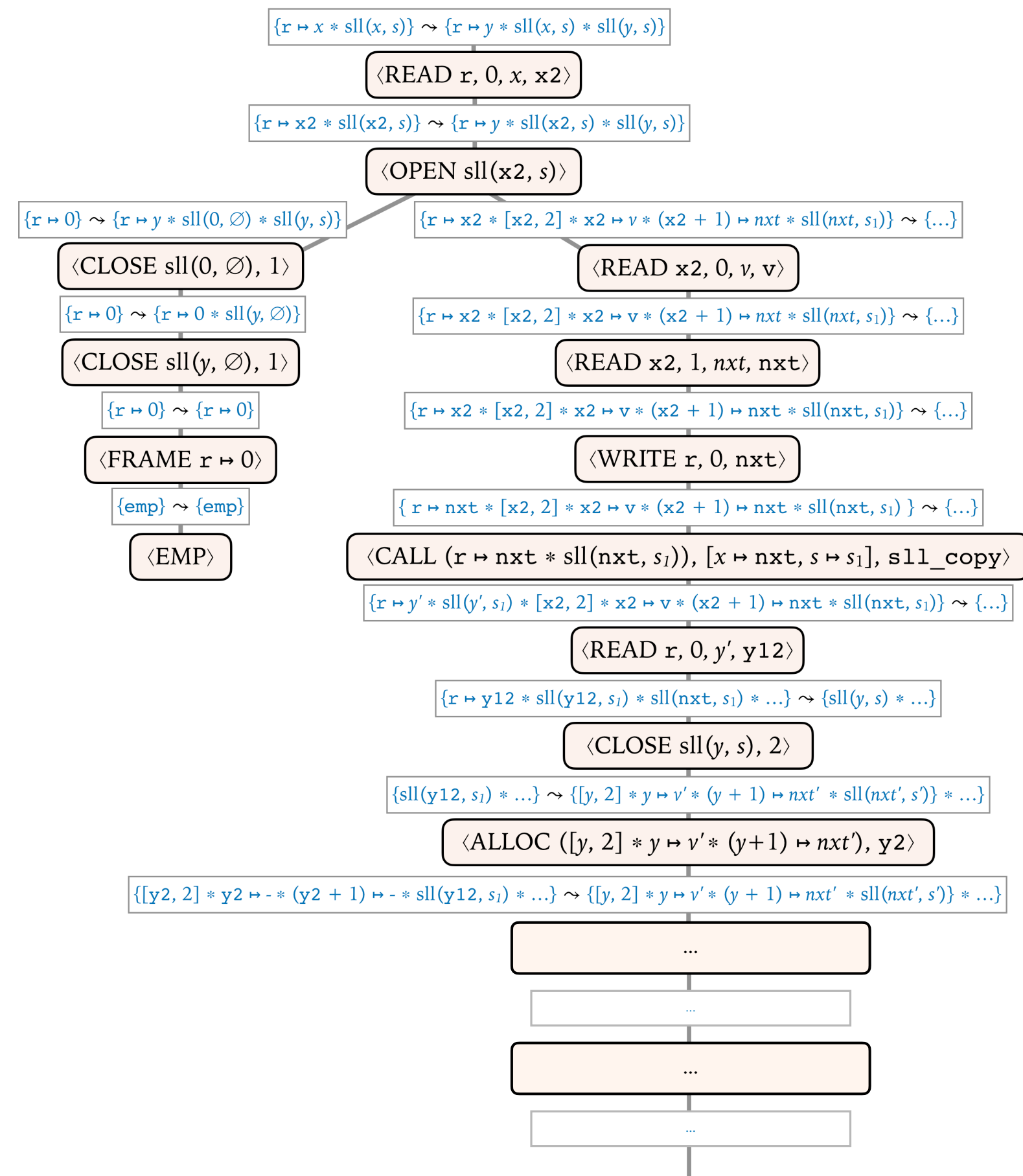
# Handling the READ rule for HTT

$$I_{htt}\langle \text{READ}, x, l, e, y \rangle \triangleq [ \text{apply: bnd\_readR}=>//=. ]$$



# Problem: out of order appearance

## SuSLik proof tree



## Coq proof certificate (HTT)

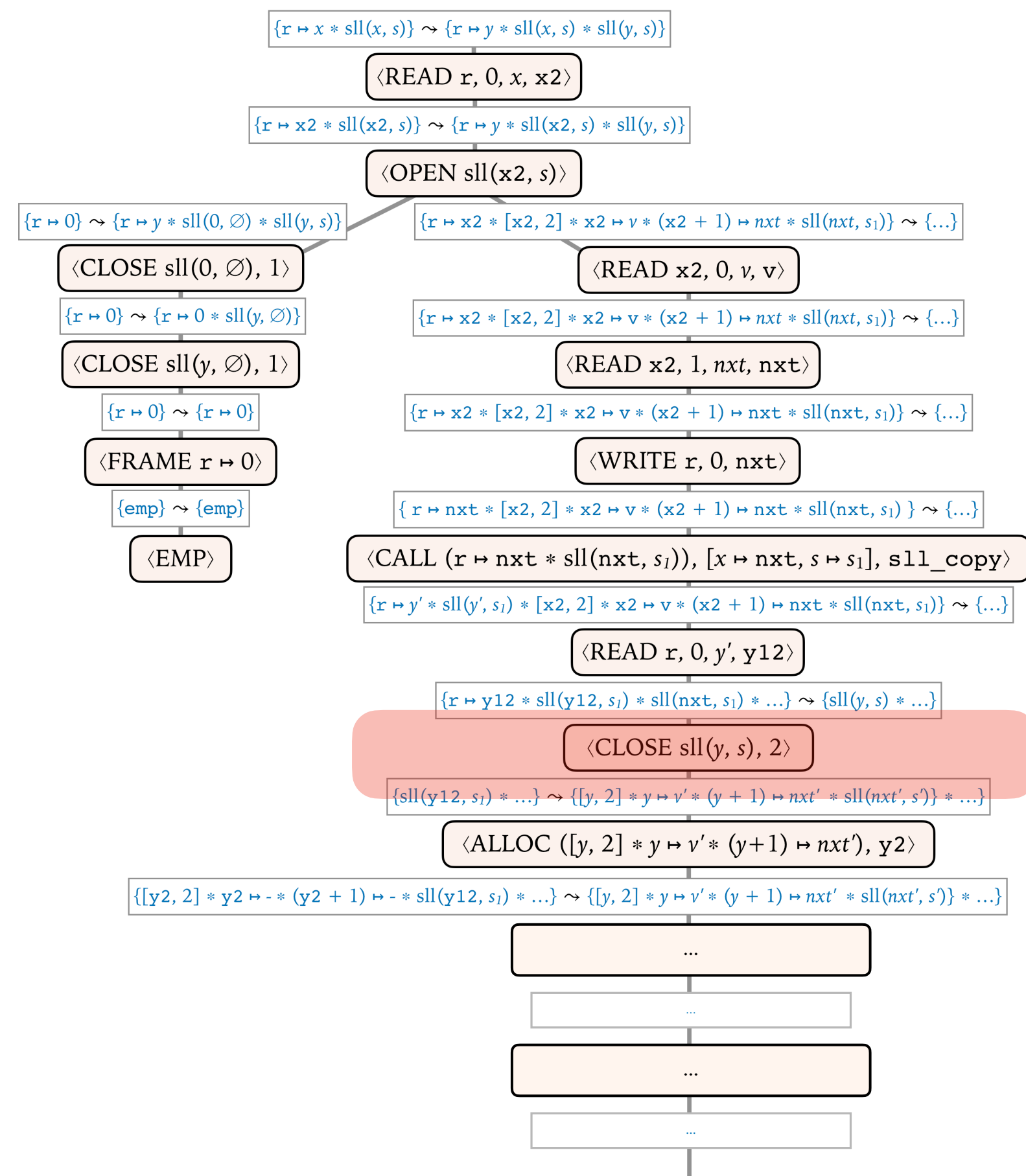
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(* Initialize HTT proof context *)
apply: ghR; move=>h_self[x2 s][h'][->]Hsll _ .
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  (* Read *) apply: bnd_readR=>//=.
  (* Write *) apply: bnd_writeR=>//=.
  (* Call *)
  rewrite (joinC _ h1) joinA; apply: bnd_seq.
  apply: (gh_ex (nxt, s1)); apply: val_do=>//=_ .
  ∃ h1; split=>//=.
  move=>h_call [y12][h11][h21][->][H2 H3]_ .
  (* Read *) apply: bnd_readR=>//=.
  (* Alloc *) apply: bnd_allocbR=>y2//=.
  (* Write *) apply: bnd_writeR=>//=.
  (* Write *) apply: bnd_writeR=>//=.
  (* Write *) apply: bnd_writeR=>//=.
  (* Emp *)
  apply: val_ret; rewrite defPtUn0; case/andP=>?.
  ∃ y2, (x2 ↦ v • (x2 + 1) ↦ nxt • h11),
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+ (* Close SLL instance 1 in postcondition *)
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  constructor 2=>//; first by apply negbTE.
  by ∃ v, s1, y12, h21.
```

Qed.

# Problem: out of order appearance

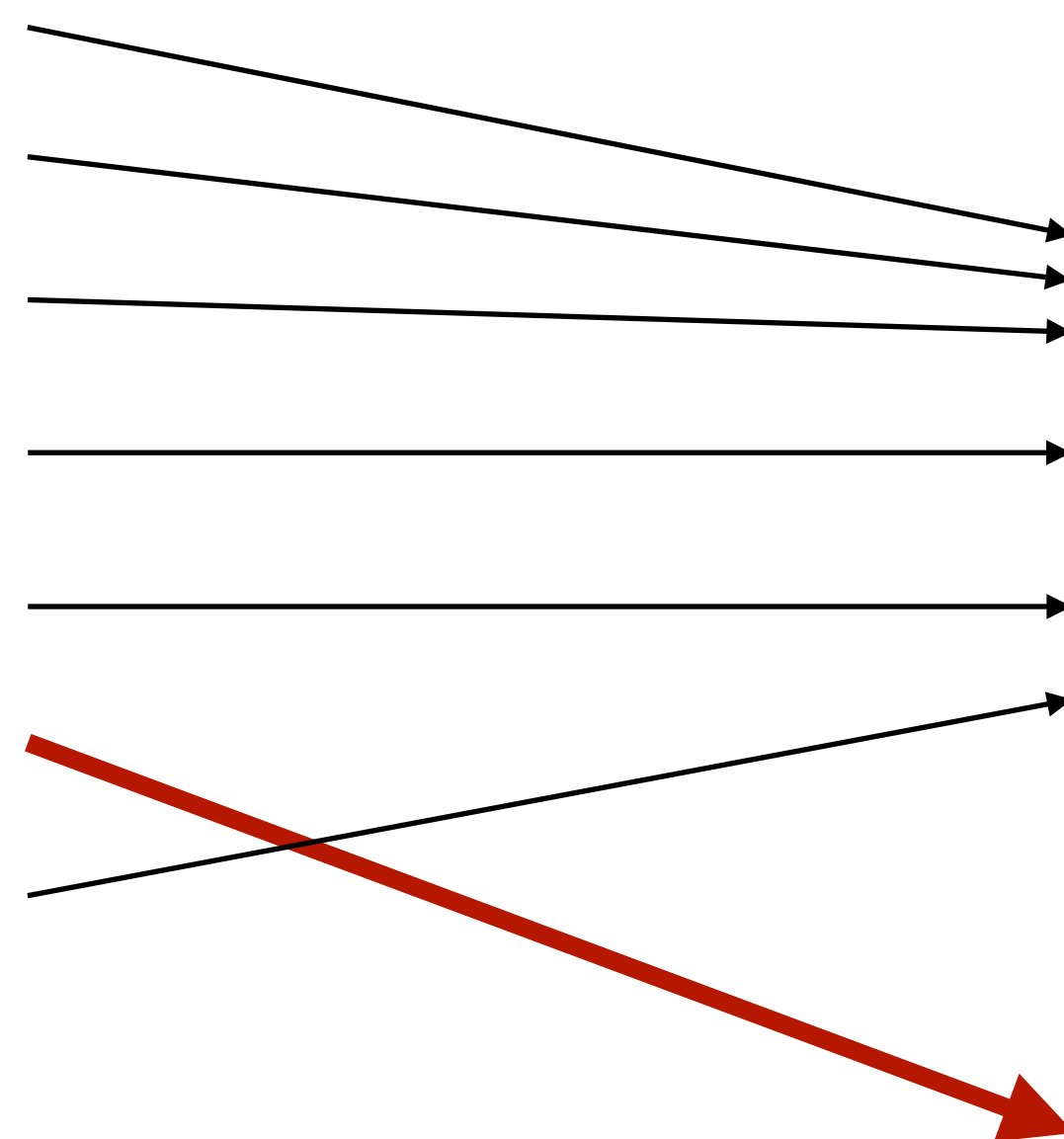
## SuSLik proof tree



## Coq proof certificate (HTT)

Next Obligation.

```
(* Initialize HTT proof context *)
apply: ghR; move=>h_self[x2 s][h'][->]Hsll ..
(* Read *) apply: bnd_readR=>/.
(* Open (unfold) SLL instance in the precondition *)
case: Hsll; case: ifP; move=>IfCond//_;
[move=>[?]->|move=>[v][s1][nxt][h1][?][->]H1].
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  (* Call *)
  rewrite (joinC _ h1) joinA; apply: bnd_seq.
  apply: (gh_ex (nxt, s1)); apply: val_do=>//=_ .
  ∃ h1; split=>//=.
  move=>h_call [y12][h11][h21][->][H2 H3]_.
  (* Read *) apply: bnd_readR=>//=.
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  (* Write *) apply: bnd_writeR=>//=.
  (* Write *) apply: bnd_writeR=>//=.
  (* Emp *)
  apply: val_ret; rewrite defPtUn0; case/andP=>?.
  ∃ y2, (x2 ↦ v • (x2+1) ↦ nxt • h11),
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  repeat split=>//; first by hhauto.
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  by constructor 2=>//; ∃ v, s1, nxt, h11.
+ (* Close SLL instance 2 in postcondition *)
  constructor 2=>//; first by apply negbTE.
  by ∃ v, s1, y12, h21.
```

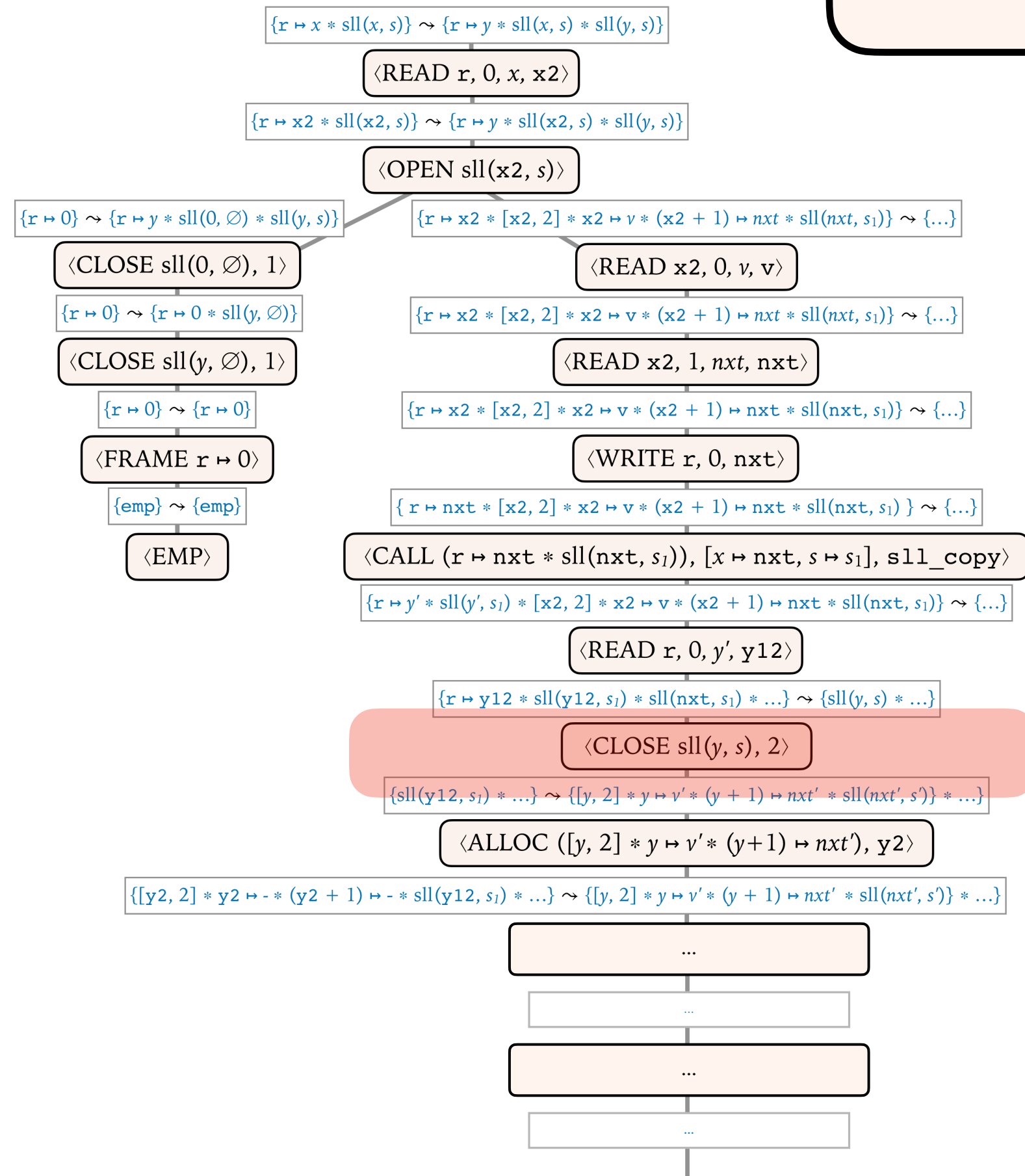




# Problem: out of order appearance

Need to defer!

SuSLik proof tree ⟨CLOSE sll(y, s), 2⟩ proof certificate (HTT)



```

...
(* Read *) apply: bnd_readR=>//=.
(* Write *) apply: bnd_writeR=>//=.
(* Call *)
rewrite (joinC _ h1) joinA; apply: bnd_seq.
apply: (gh_ex (nxt, s1)); apply: val_do=>//=..
∃ h1; split=>//=.
move=>h_call [y12][h11][h21][->][H2 H3]_.
(* Read *) apply: bnd_readR=>//=.
(* Alloc *) apply: bnd_allocbR=>y2//=.
(* Write *) apply: bnd_writeR=>//=.
(* Write *) apply: bnd_writeR=>//=.
(* Write *) apply: bnd_writeR=>//=.
(* Emp *)
apply: val_ret; rewrite defPtUn0; case/andP=>?.
∃ y2, (x2 ↦ v • (x2+1) ↦ nxt • h11),
(y2 ↦ v • (y2+1) ↦ y12 • h21).
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  constructor 2=>//=; first by apply negbTE.
  by ∃ v, s1, y12, h21.
Qed.

```

# CLOSE unfolds a postcondition predicate

$\{r \mapsto x * \text{sll}(x, s)\}$

**void** sll\_copy(**loc** r)

$\{r \mapsto y * \text{sll}(x, s) * \text{sll}(y, s)\}$



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**void** sll\_copy(**loc** r)

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$[y, 2] * y \mapsto v' * (y + 1) \mapsto \text{next}' * \text{sll}(\text{next}', s')$

constructor 2 of the sll predicate

# Recall...

# Recall...

Rules that transform the postcondition

# Recall...

Rules that transform the postcondition  
need to *delay* their insight

# Deferred proof steps to the rescue!

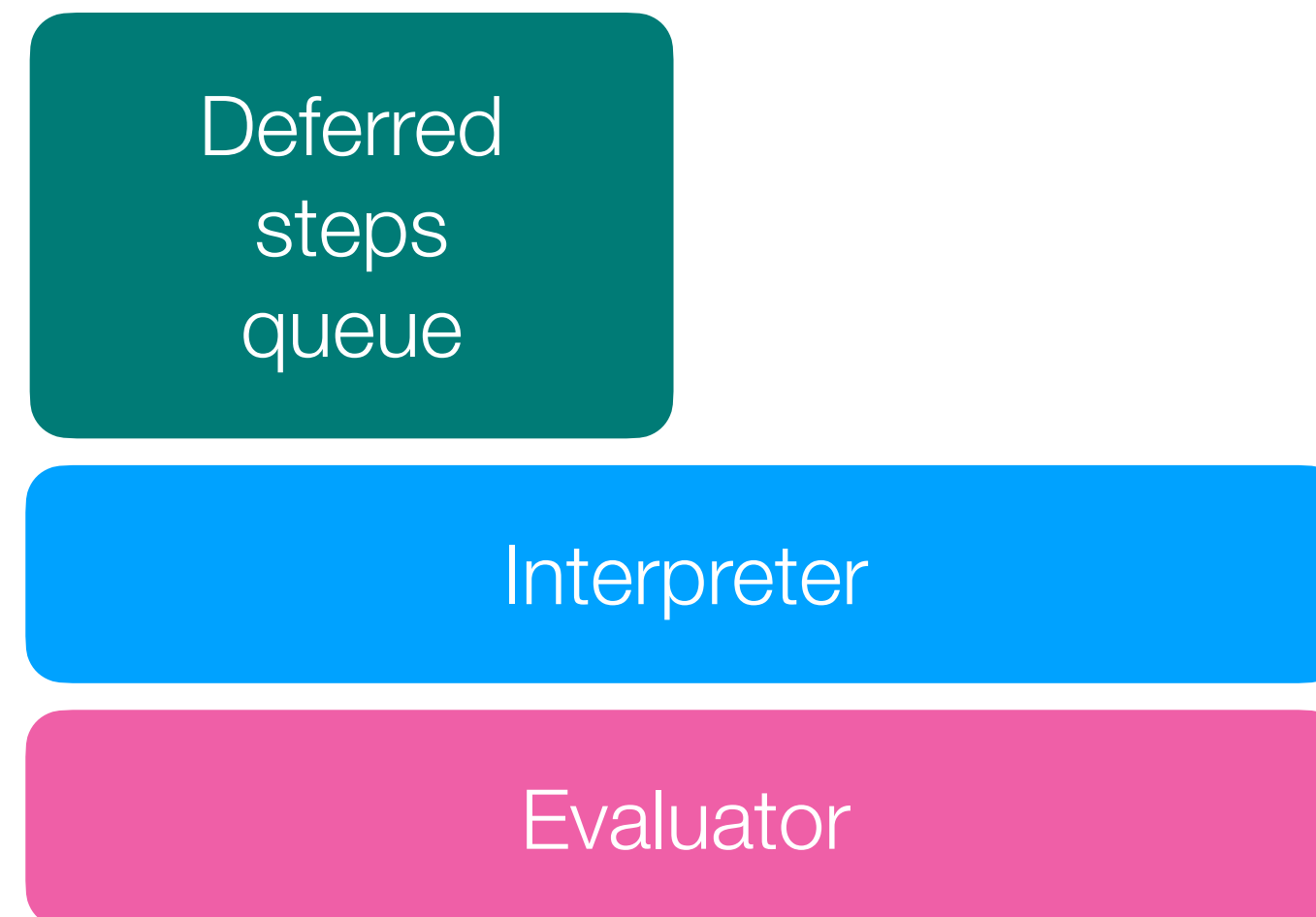
$$I_{htt} : \text{Step}_{ssl} \longrightarrow \text{Step}_{htt}$$

Interpreter

Evaluator

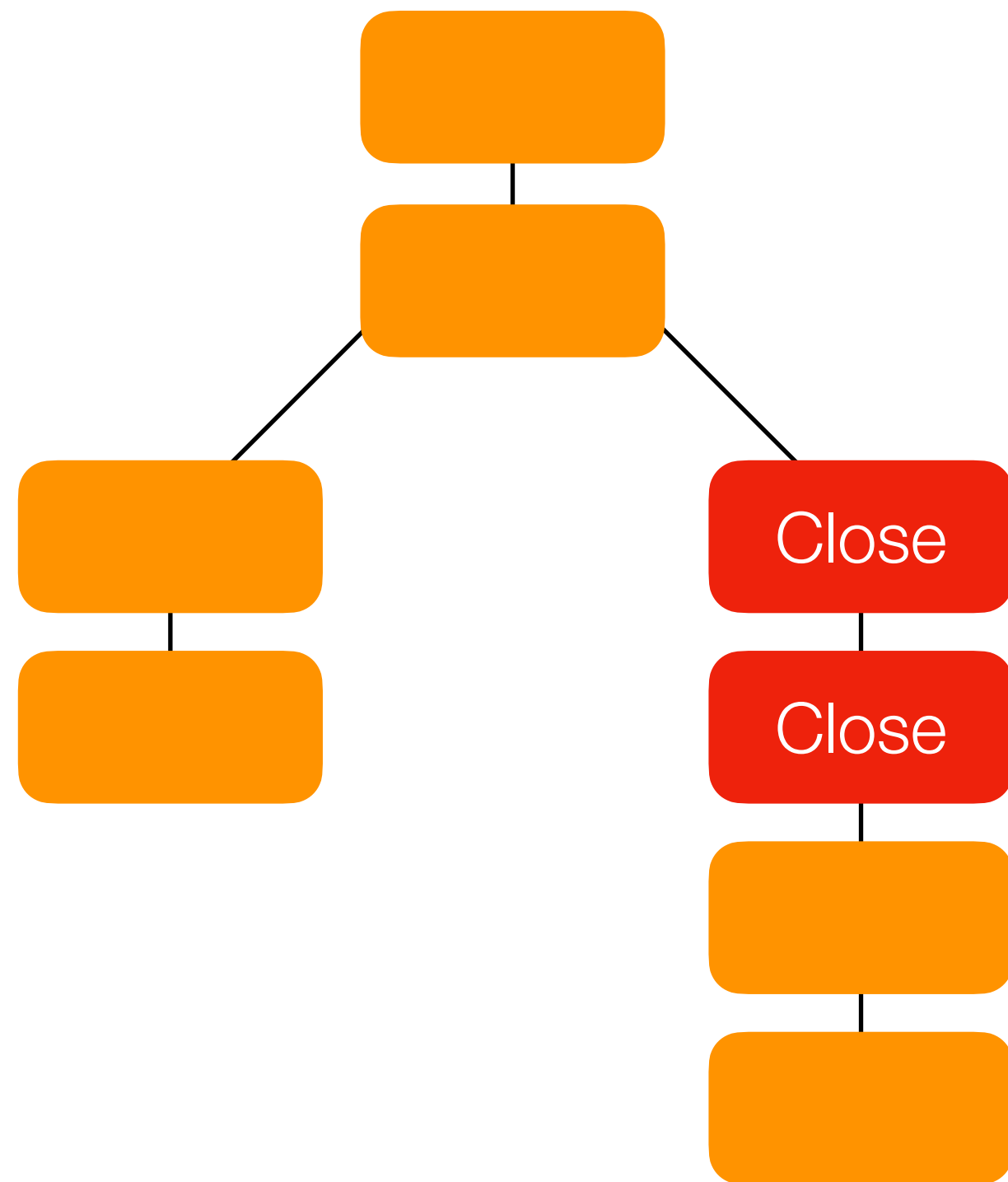
# Deferred proof steps to the rescue!

$$I_{htt} : \text{Step}_{ssl} \longrightarrow \text{Step}_{htt} \times \text{DeferredStep}_{htt}$$

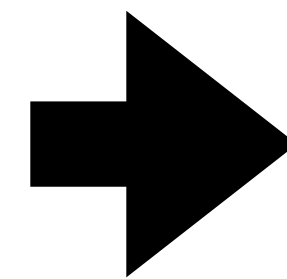


# Deferred proof steps in action

SuSLik proof tree



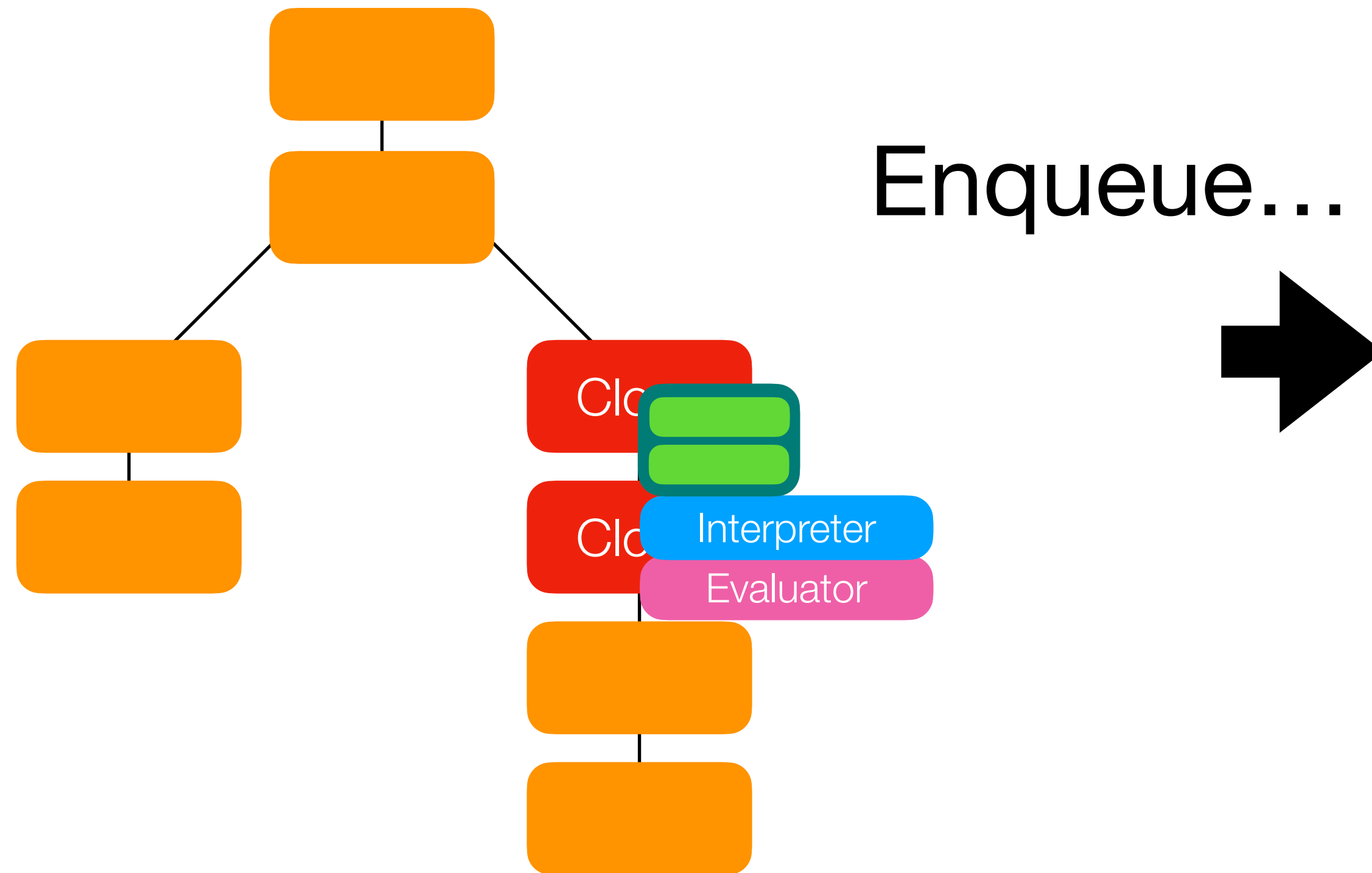
Coq proof certificate



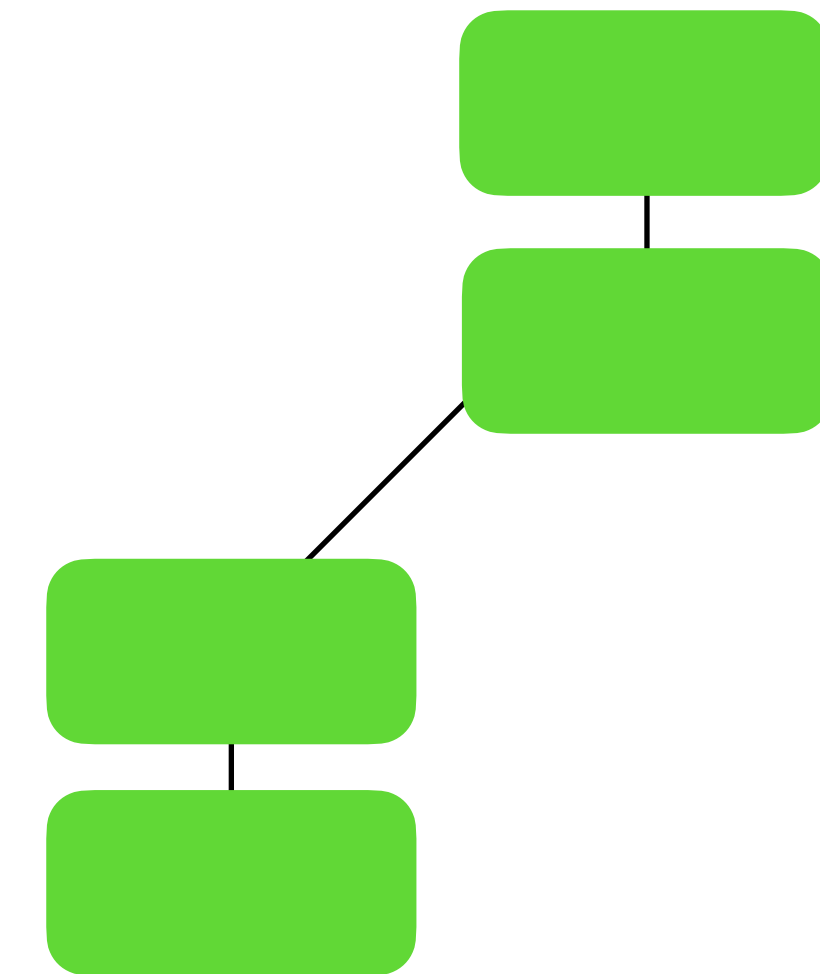


# Deferred proof steps in action

SuSLik proof tree

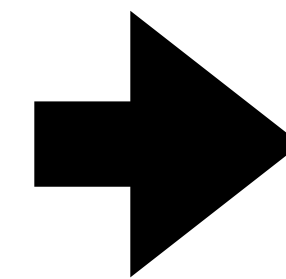
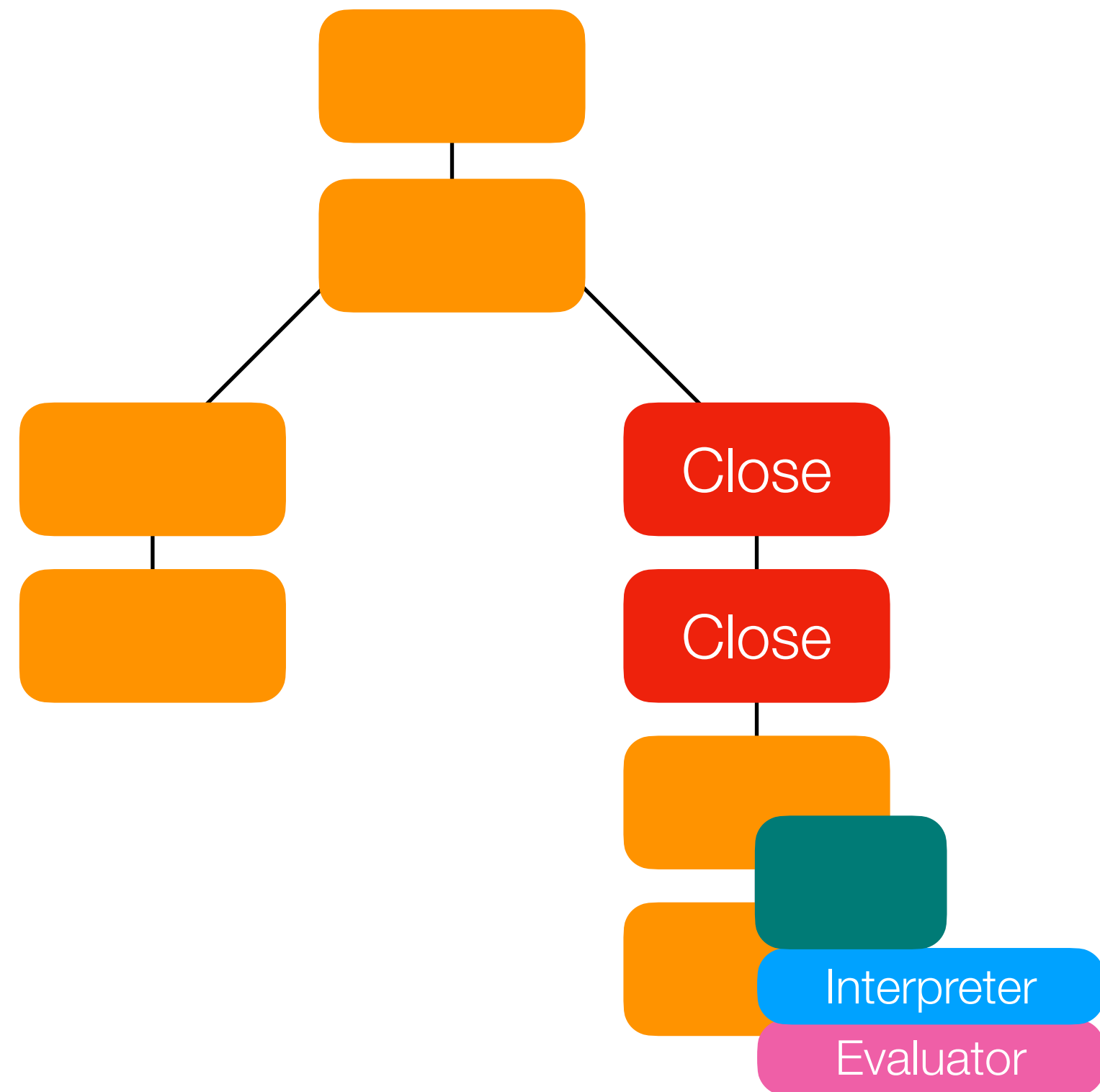


Coq proof certificate



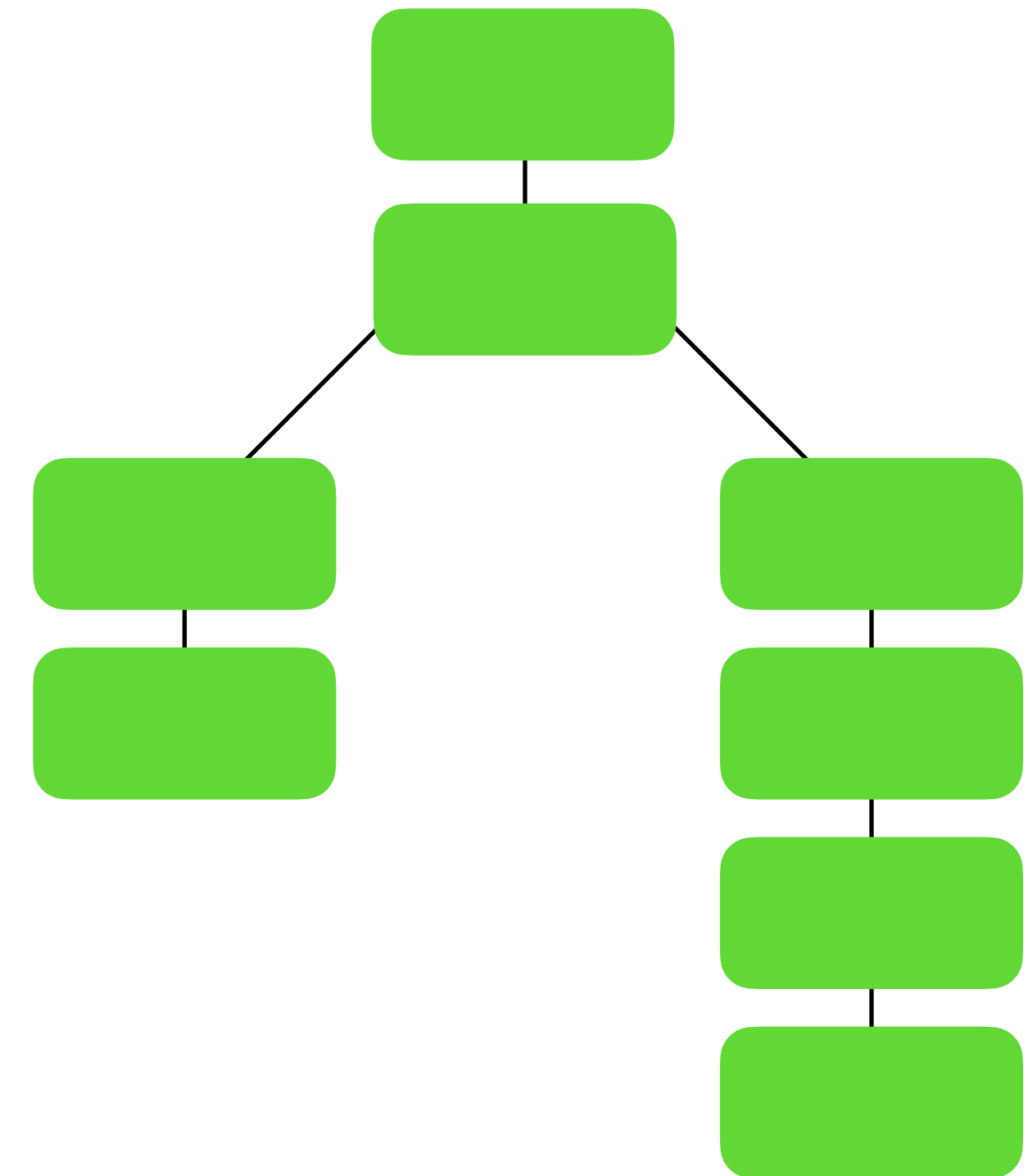
# Deferred proof steps in action

SuSLik proof tree



...release

Coq proof certificate



# Deferred proof steps in action

SuSLik proof tree

Coq proof certificate



# However...

$\{r \mapsto y12 * sll(y12, s_1) * sll(next, s_1) * \dots\} \rightsquigarrow \{sll(y, s) * \dots\}$

$\langle \text{CLOSE } sll(y, s), 2 \rangle$

$\{sll(y12, s_1) * \dots\} \rightsquigarrow \{[y, 2] * y \mapsto v' * (y + 1) \mapsto next' * sll(next', s') * \dots\}$

# However...

What we get

by constructor  $2 \Rightarrow // =$ ;  $\exists v', s', next', h11.$

# However...

What we get

by constructor  $2=>//=; \exists v', s', \text{next}', h11.$

is not what we need!

by constructor  $2=>//=; \exists v, s1, \text{next}, h11.$

# Initially, no existential values given

by constructor  $2 \Rightarrow // =$ ;  $\exists v', s', \text{next}', h11$ .

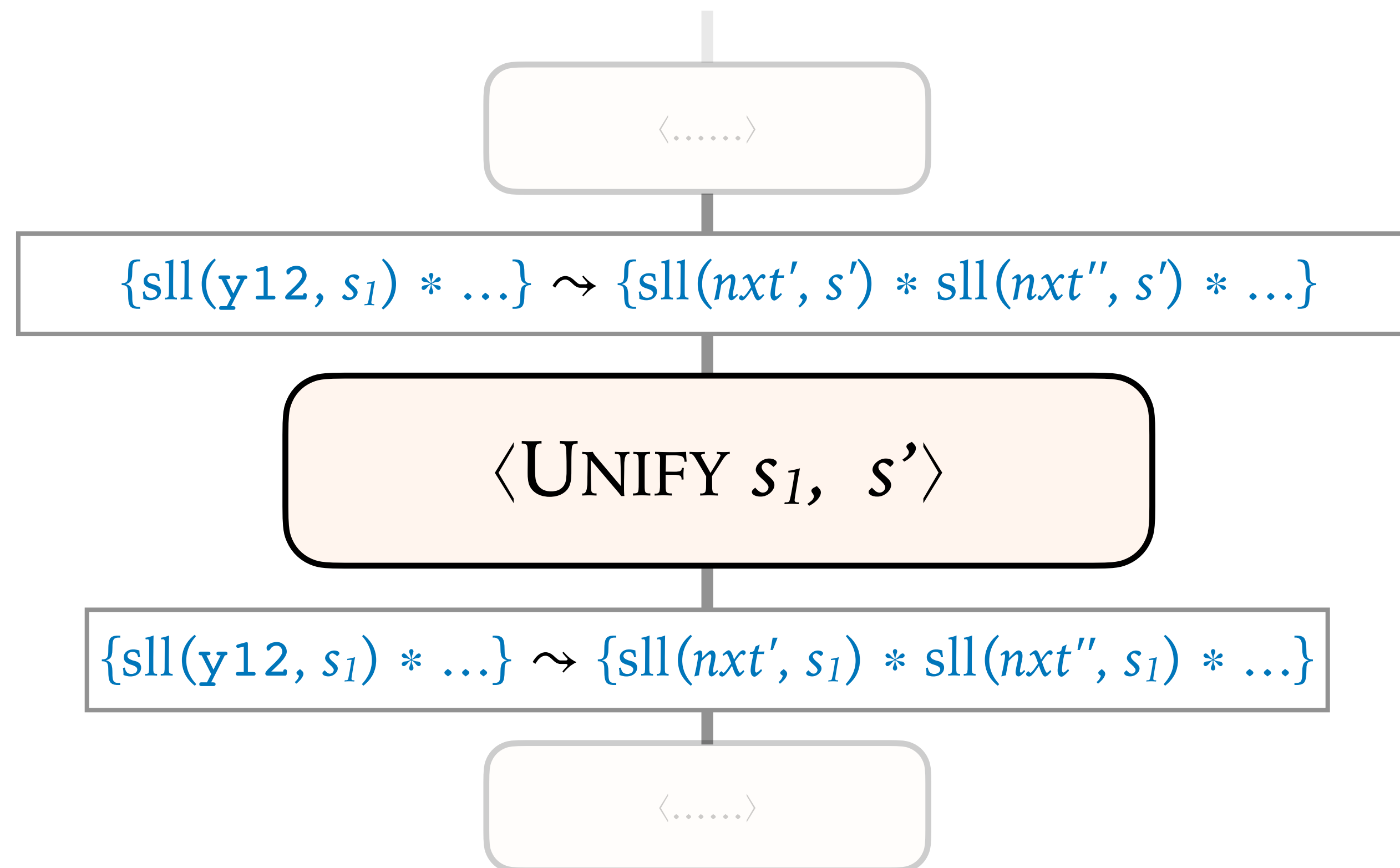
# A few nodes later, $s'$ is unified with $s_1$

by constructor  $2 \Rightarrow // =$ ;  $\exists v', s', \text{next}'$ , h11.



# A few nodes later, $s'$ is unified with $s_1$

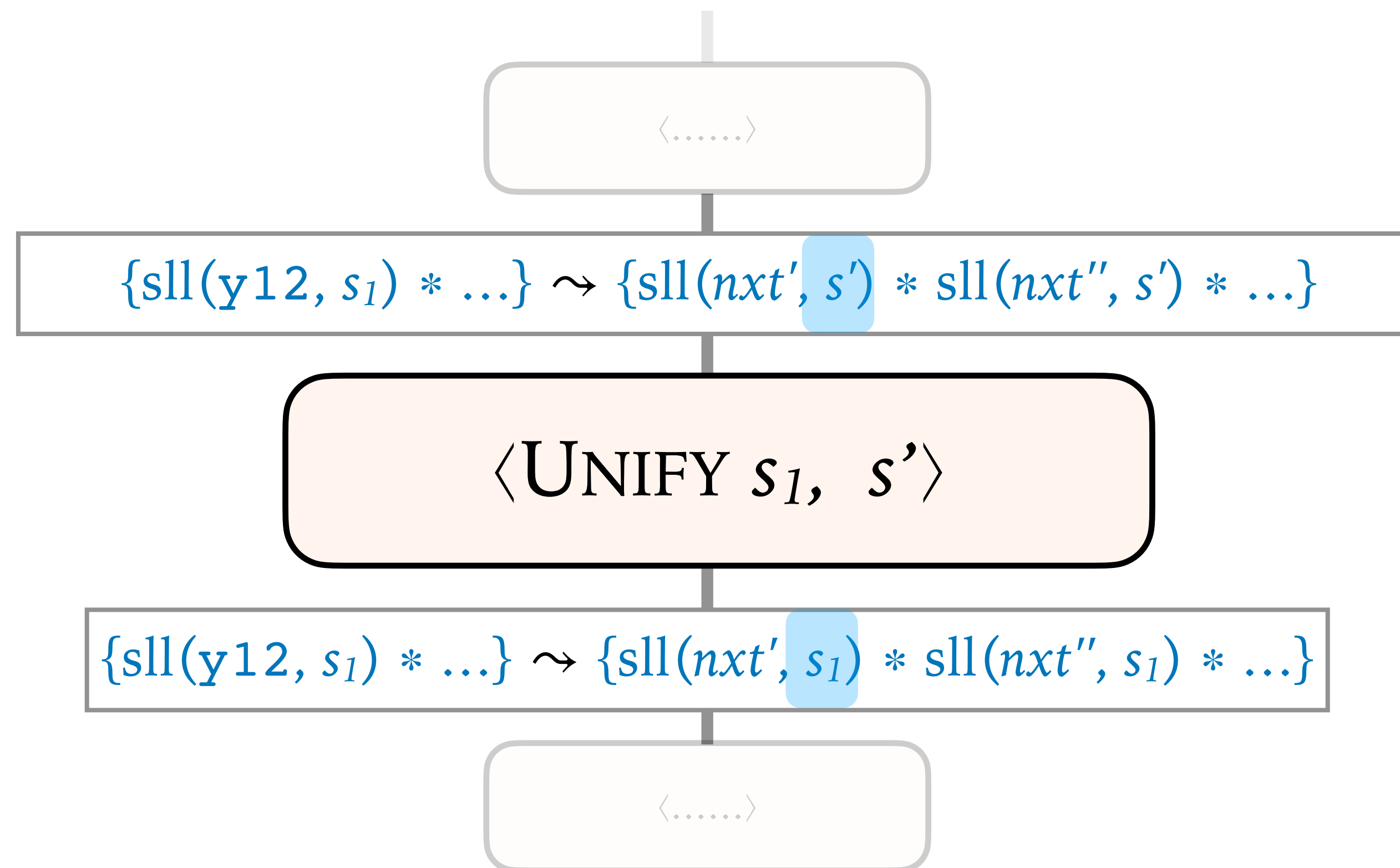
by constructor  $\Rightarrow // =$ ;  $\exists v', s', \text{next}', h11$ .



# A few nodes later, $s'$ is unified with $s_1$

by constructor  $\Rightarrow // =$ ;  $\exists v', s_1, next', h11.$

$s' \rightarrow s_1$



# Eventually, all existentials are provided

by constructor  $2 \Rightarrow // =$ ;  $\exists v', s1, \text{next}', h11$ .

$$s' \rightarrow s_1$$

# Eventually, all existentials are provided

by constructor  $2 \Rightarrow // =; \exists v, s1, \text{nxt}, h11.$

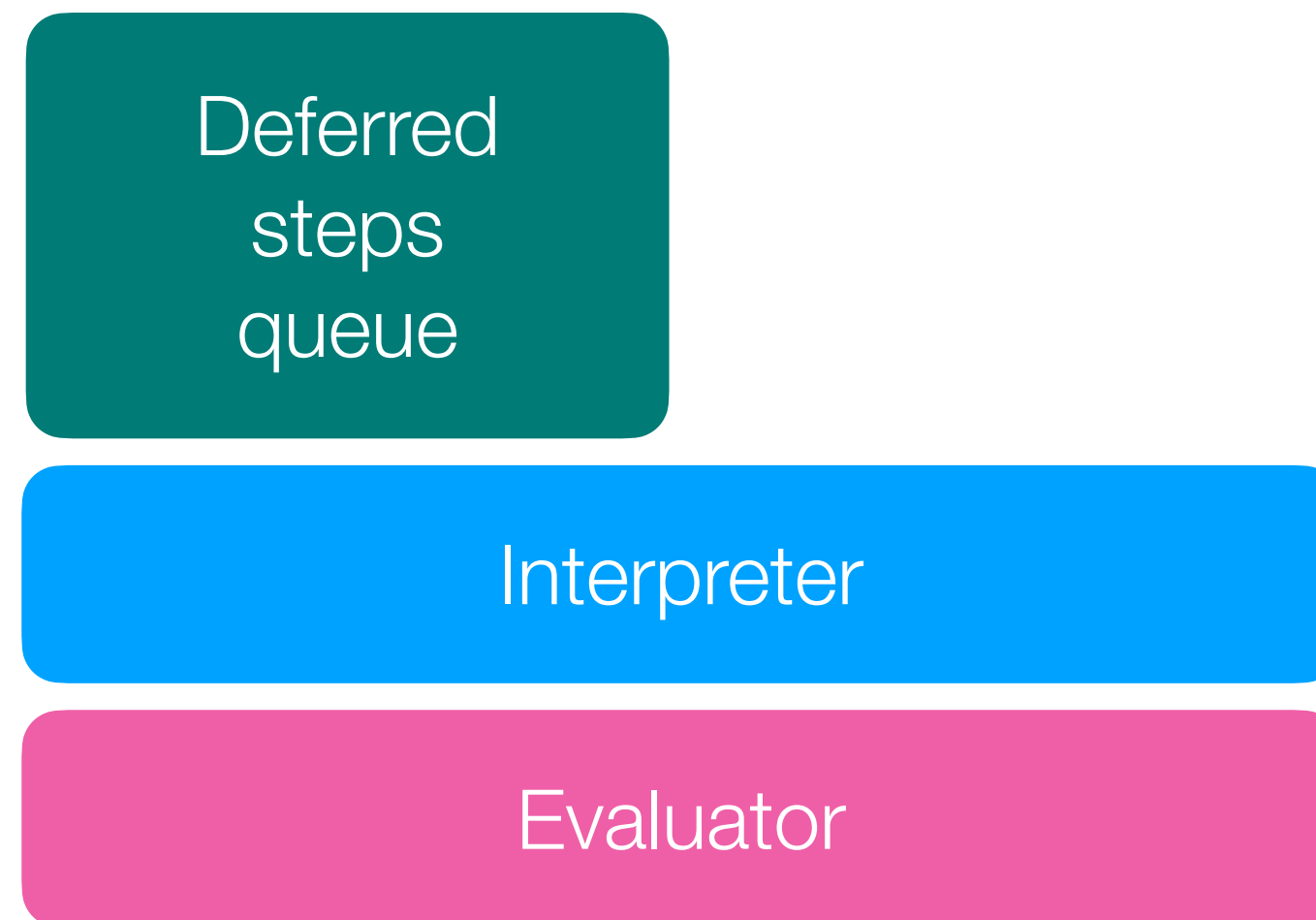
$$s' \longrightarrow s1$$

$$v' \longrightarrow v$$

$$\text{nxt}' \longrightarrow \text{nxt}$$

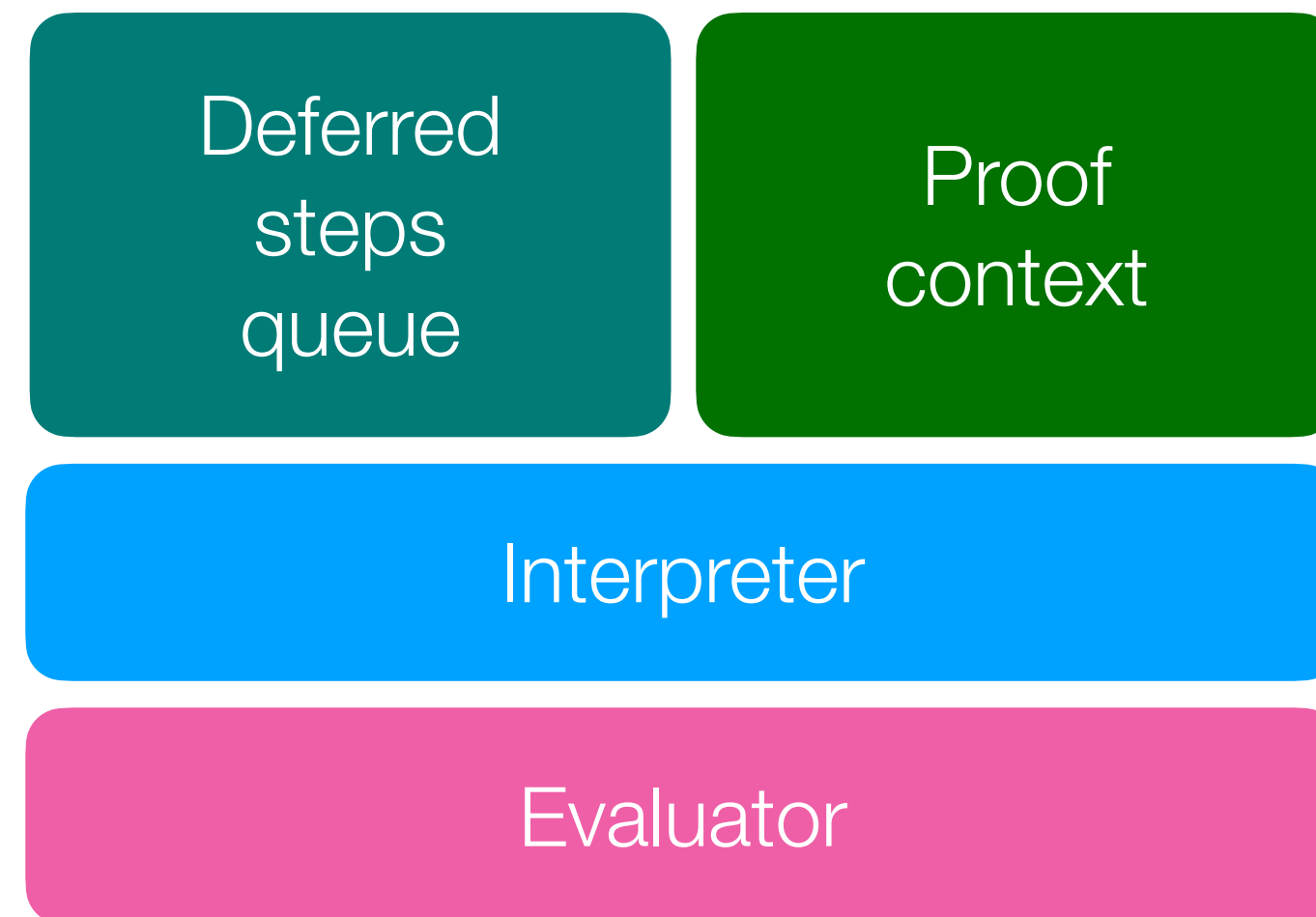
# A proof context to track substitutions

$$I_{htt} : \text{Step}_{ssl} \longrightarrow \text{Step}_{htt} \times \text{DeferredStep}_{htt}$$

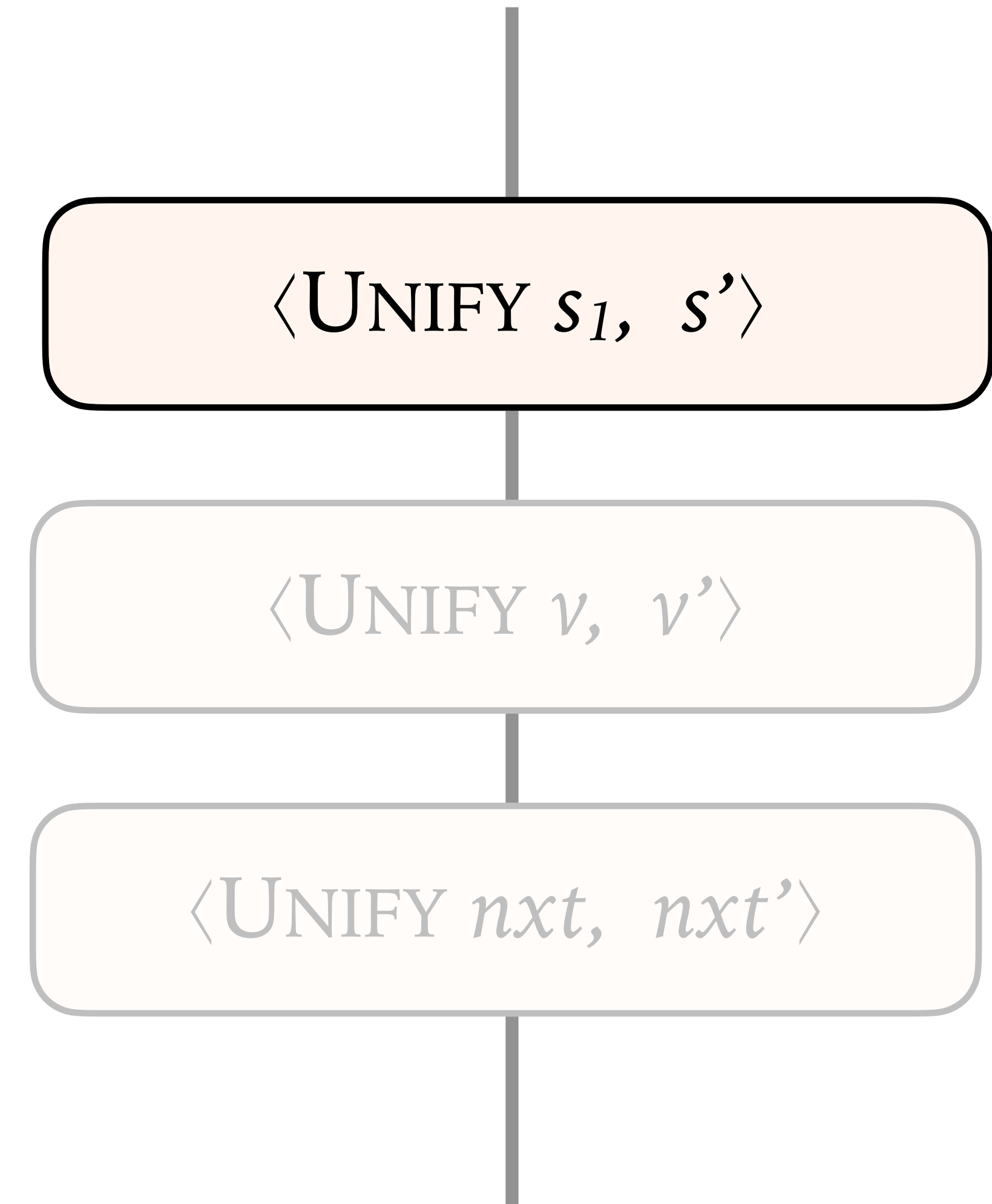
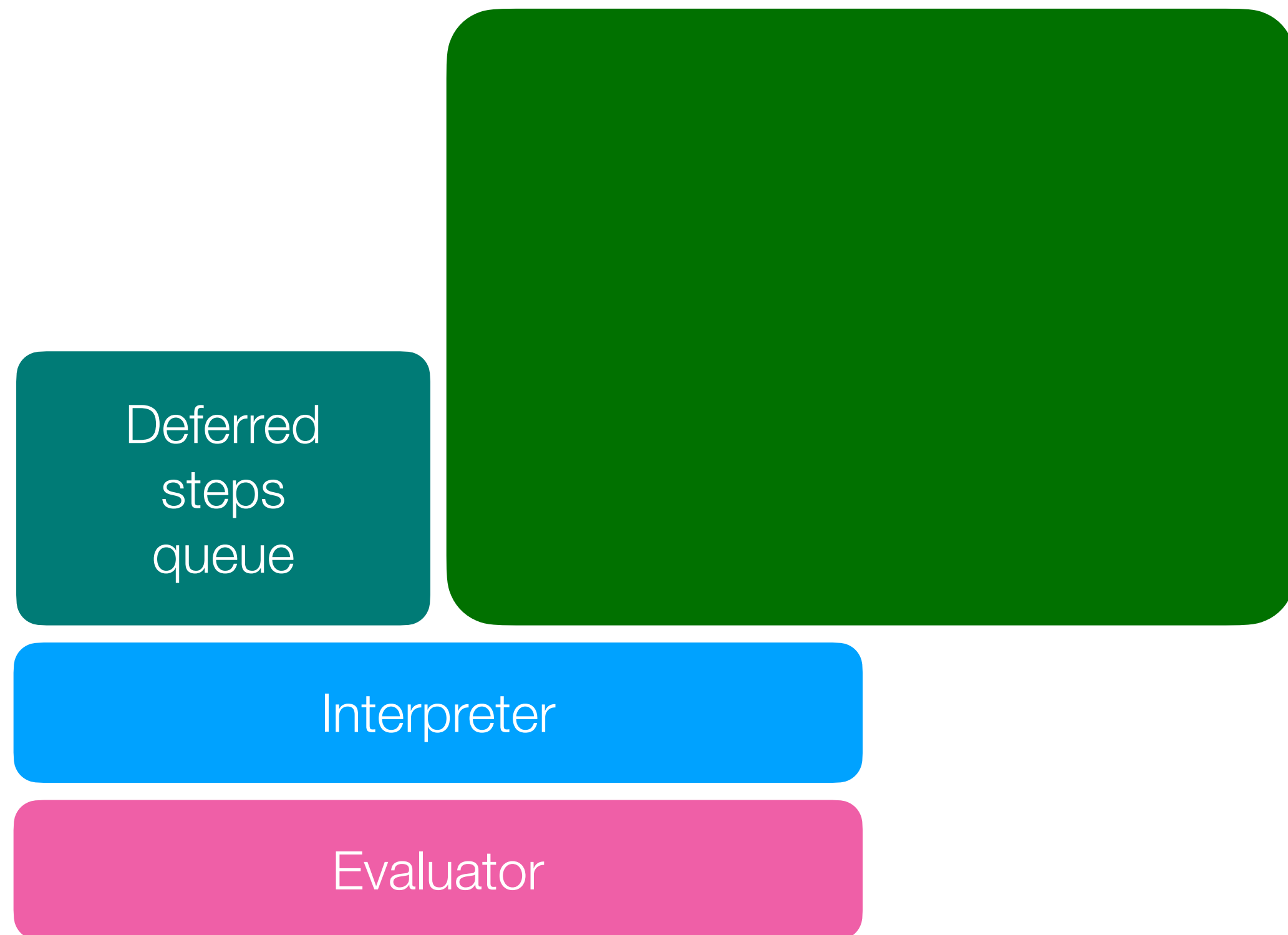


# A proof context to track substitutions

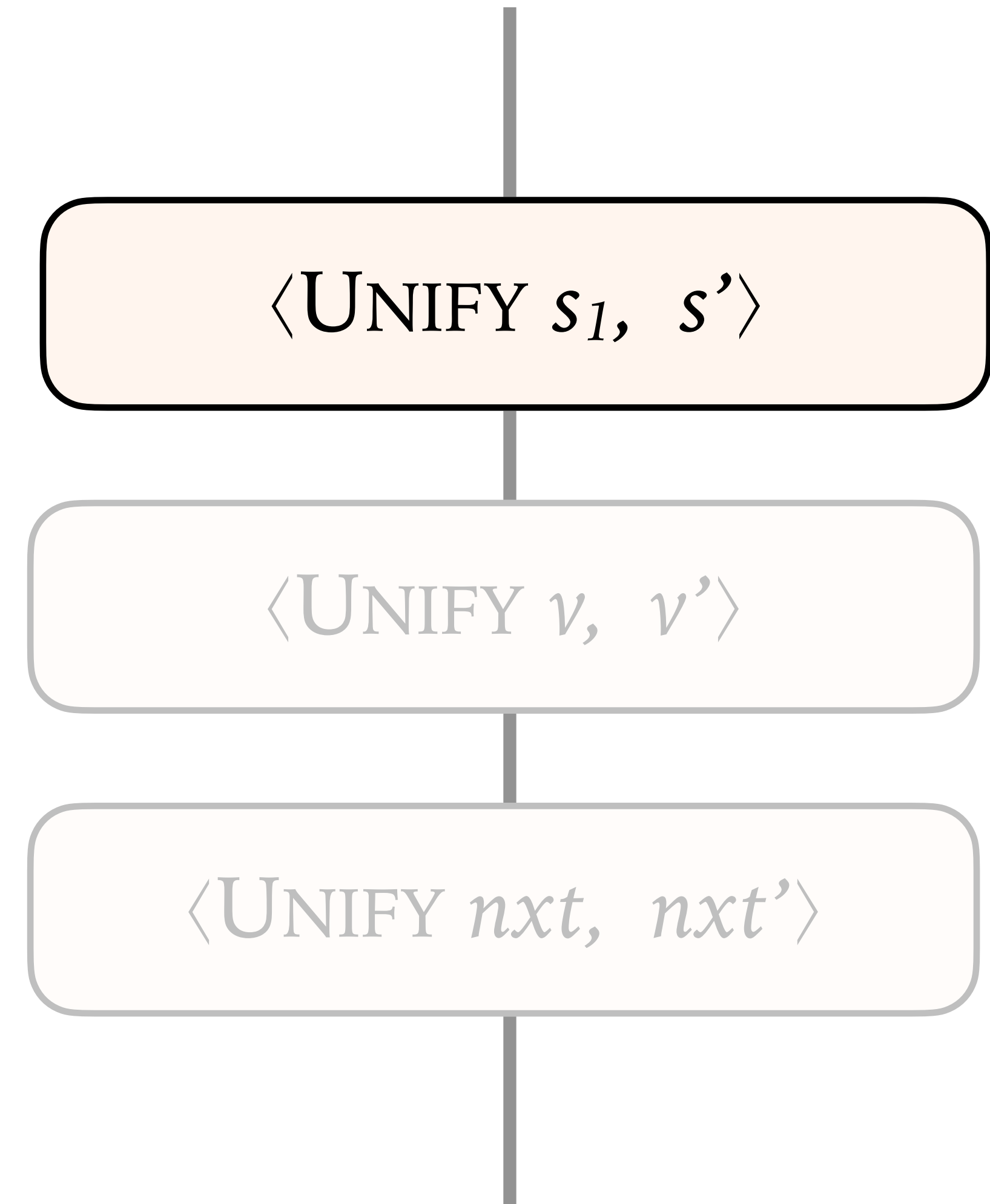
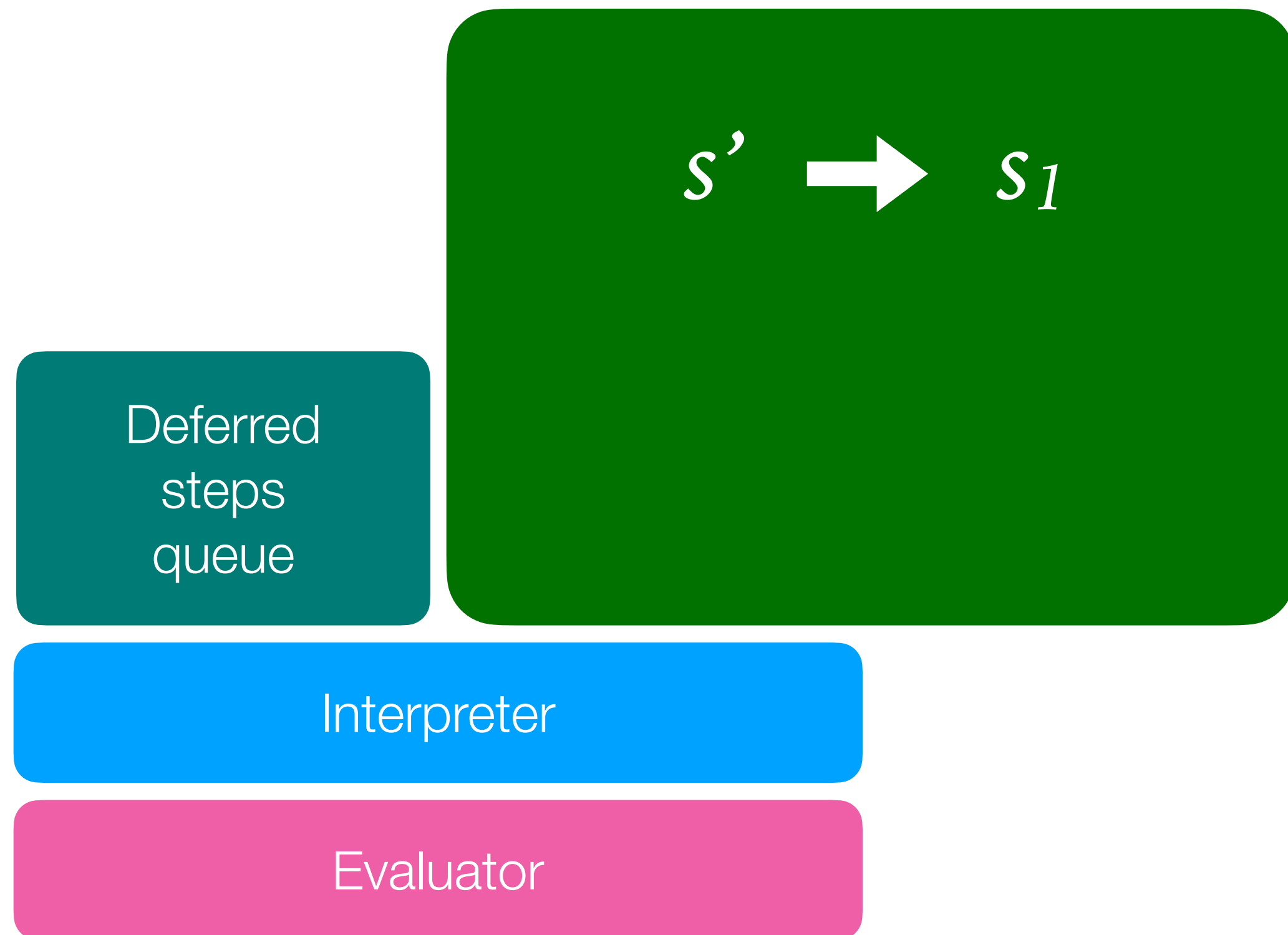
$$I_{htt} : \text{Step}_{ssl} \longrightarrow \text{Context}_{ssl} \longrightarrow \text{Step}_{htt} \times \text{Context}_{htt} \times \text{DeferredStep}_{htt}$$



# Updating the proof context

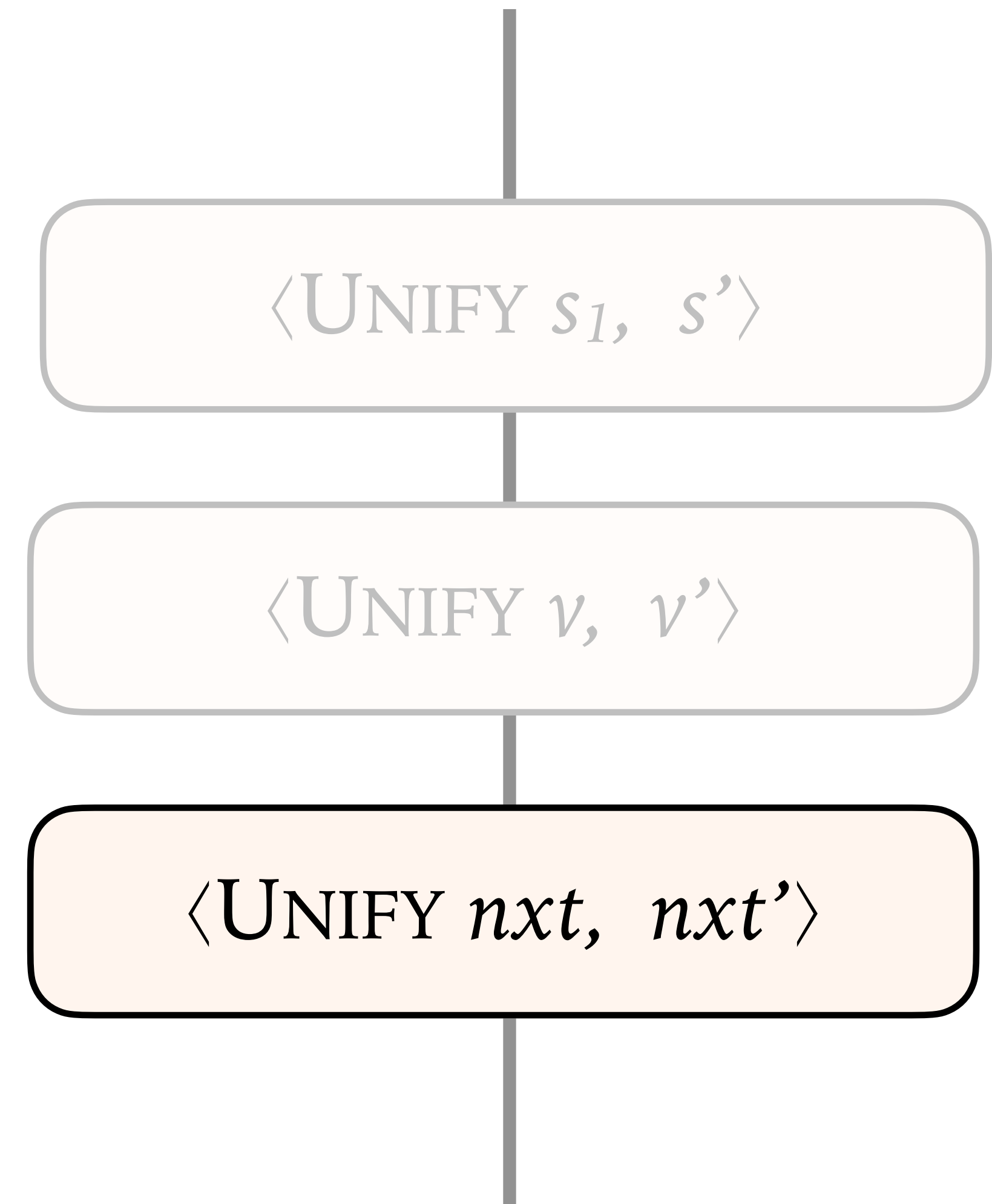
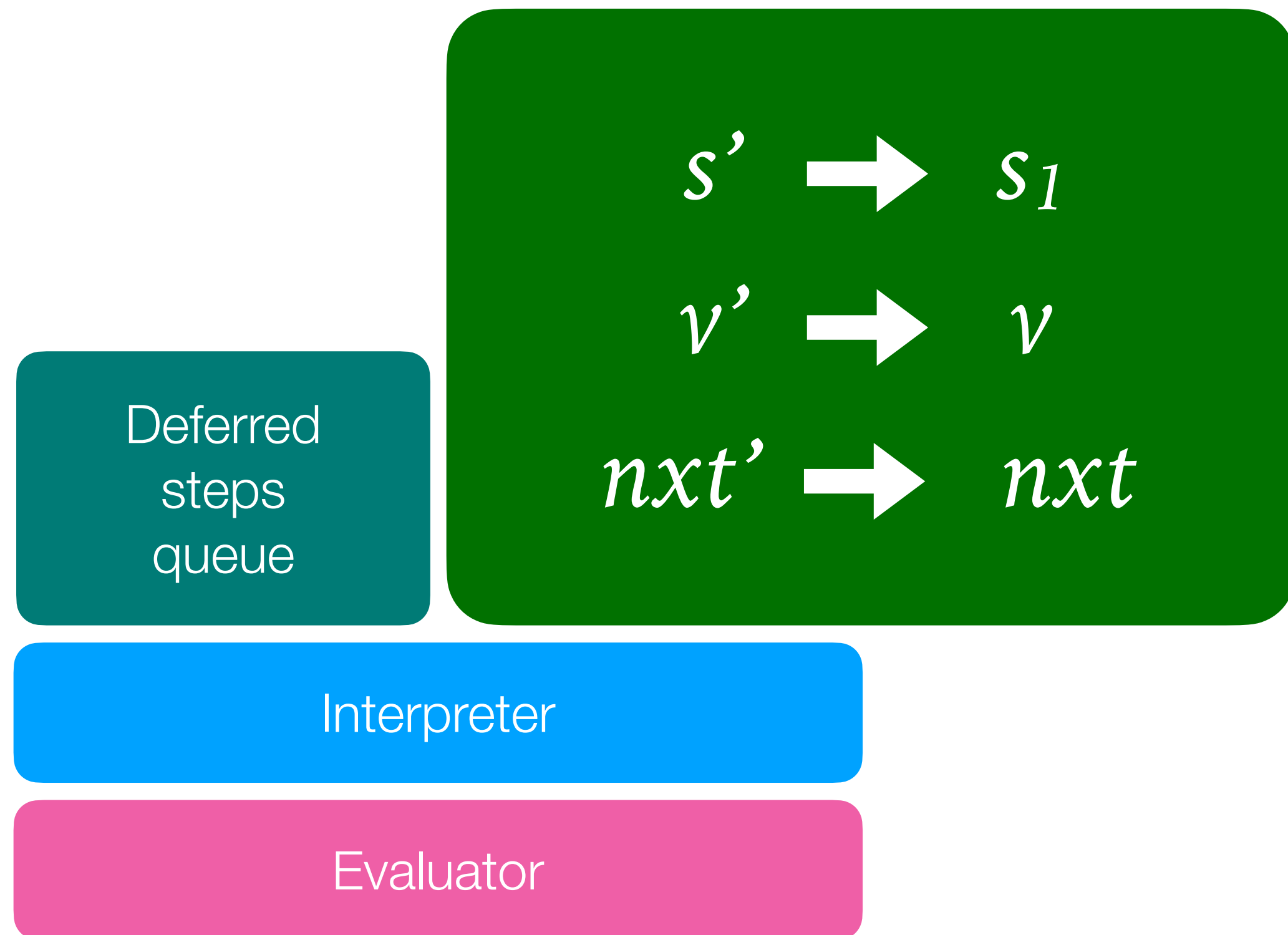


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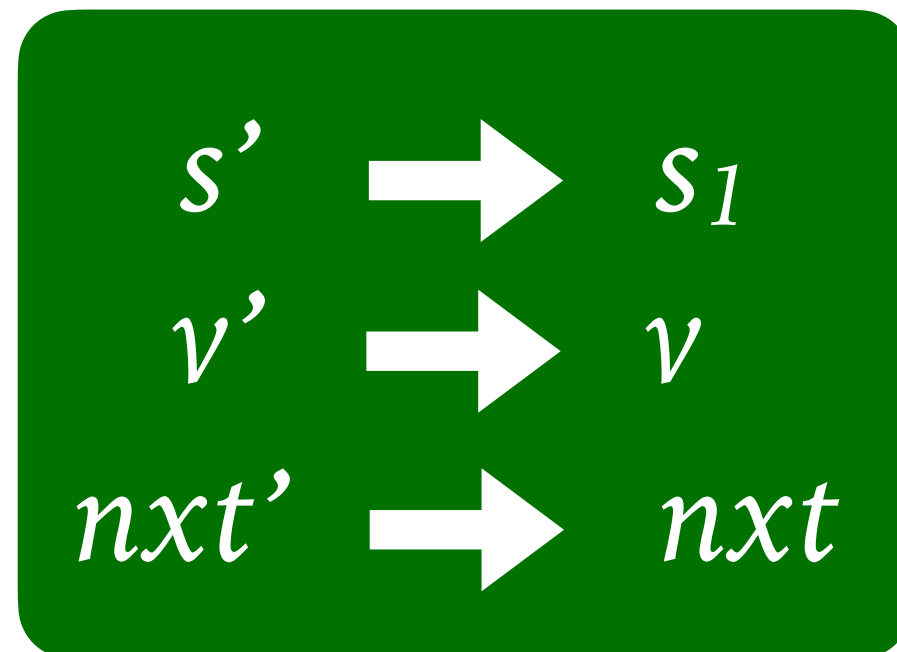




# Updating the proof context



# Using proof context information



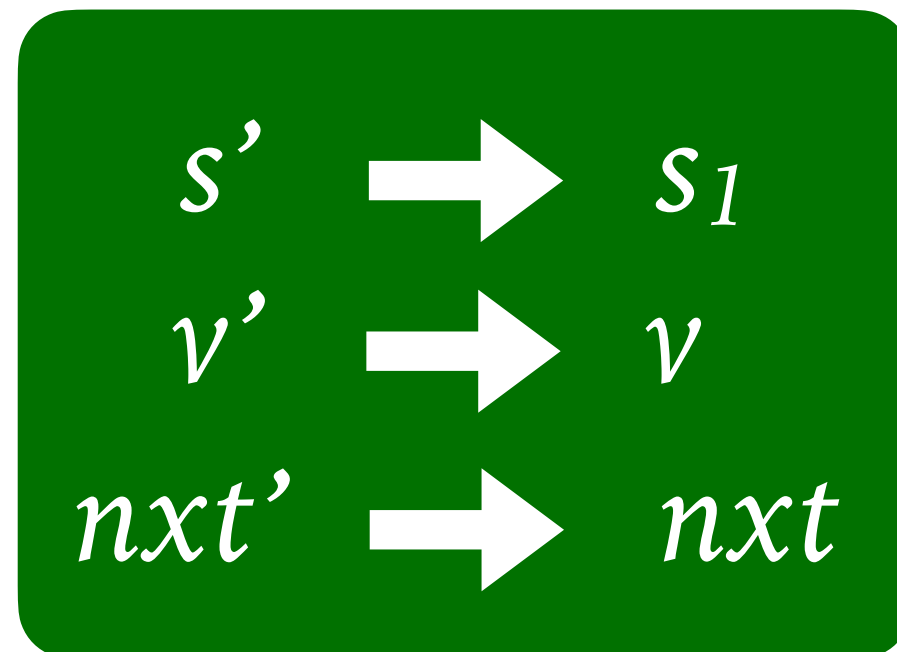
Final proof context

Deferred step computation

Proof Context

by constructor 2=>>//=;  $\exists v', s', nxt', h11.$

# Using proof context information



Final n

Parameterize with  
proof context argument

mputation

Proof Context

by constructor 2=>>//=;  $\exists v', s', nxt', h11.$

# Using proof context information

$s'$   $\rightarrow$   $s_1$   
 $v'$   $\rightarrow$   $v$   
 $nxt'$   $\rightarrow$   $nxt$

by constructor 2=>>//=;  $\exists v', s', nxt', h11.$

# The correct HTT step!

by constructor  $2=>>//=$ ;  $\exists$   $v, s1, next, h11$ .

# Two strategies to bridge the gap

## 1. Deferred proof steps

*delay proof step appearance*

like a

**continuation**

## 2. Proof contexts

*track bookkeeping information*

like an

**accumulator**

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# Research questions

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1. **Efficiency of certification, wrt. proof size and checking time**
2. **Synthesizer/verifier design choices that complicate automated certification**

# Concise proof sizes

Group	Description	Synthesis Time	HTT			IRIS			VST		
			Spec	Proofs	Time	Spec	Proofs	Time	Spec	Proofs	Time
Integers	max	<0.1	55	18	54.3	25	17	3.4	21	20	6.4
	min	<0.1	55	18	50.0	25	17	3.5	21	20	79.2
	swap2	<0.1	49	15	3.7	23	15	3.7	20	14	132.7
	swap4	<0.1	53	23	8.6	29	21	6.5	20	22	649.6
Singly-Linked Lists	length	0.6	68	100	2.8	34	53	6.9	-	-	-
	maximum	0.5	68	99	2.8	-	-	-	21	57	244.8
	minimum	0.5	68	99	2.7	-	-	-	21	57	242
	append	0.2	61	89	6.2	34	50	7.4	23	52	312.9
	copy	0.4	70	103	64.9	38	67	8.6	33	63	370.1
	two-element	0.3	57	50	2.7	28	47	7.3	34	36	171.5
	dispose singleton	<0.1	55	46	1.7	30	31	4.3	31	28	7.8
DLLs	append	2.3	74	154	7.4	51	98	18.4	24	97	594.6
	singleton	<0.1	55	37	3.1	25	33	6.2	34	27	128.3
Trees	copy	1.3	73	135	6.5	45	83	15.6	32	77	516.5
	flatten	0.2	92	138	5.9	56	75	13.5	58	76	685.7
	dispose	<0.1	58	62	2.4	34	37	5.7	31	32	10.8
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# Two challenges

Synthesizer/verifier design choices  
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- Implementation experience for the 3 target verifiers
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# Our experience with HTT

HTT

VST

IRIS

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- The simplest framework

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- Shallow embedding

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IRIS

- The simplest framework
- Shallow embedding
- No need to distinguish program and proof terms



# Our experience with VST

HTT

VST

IRIS

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HTT

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IRIS

- VST certifies real C programs

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VST

IRIS

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- Lots of custom notation used to simplify proofs

# Our experience with VST

HTT

VST

IRIS

- VST certifies real C programs
- Lots of custom notation used to simplify proofs
- Need understanding of implementation details to write tactics for proof automation

# Our experience with IRIS

HTT

VST

IRIS

# Our experience with IRIS

HTT

VST

IRIS

- Most difficult

# Our experience with IRIS

HTT

VST

IRIS

- Most difficult
- With human-oriented approach, proofs need to manage lots of goal/hypothesis information

# Our experience with IRIS

HTT

VST

IRIS



# Our experience with IRIS

HTT

VST

IRIS

- Alternative, “SuSLiK-style” approach relies on heap unification to avoid the trouble

# Our experience with IRIS

HTT

VST

IRIS

- Alternative, “SuSLIK-style” approach relies on heap unification to avoid the trouble
- But IRIS’s heap unification tactics are fragile

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# SUSLIK solves pure assertions with SMT

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*pure assertions*

$$\vdash \Phi \Rightarrow \Psi$$

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Synthesis



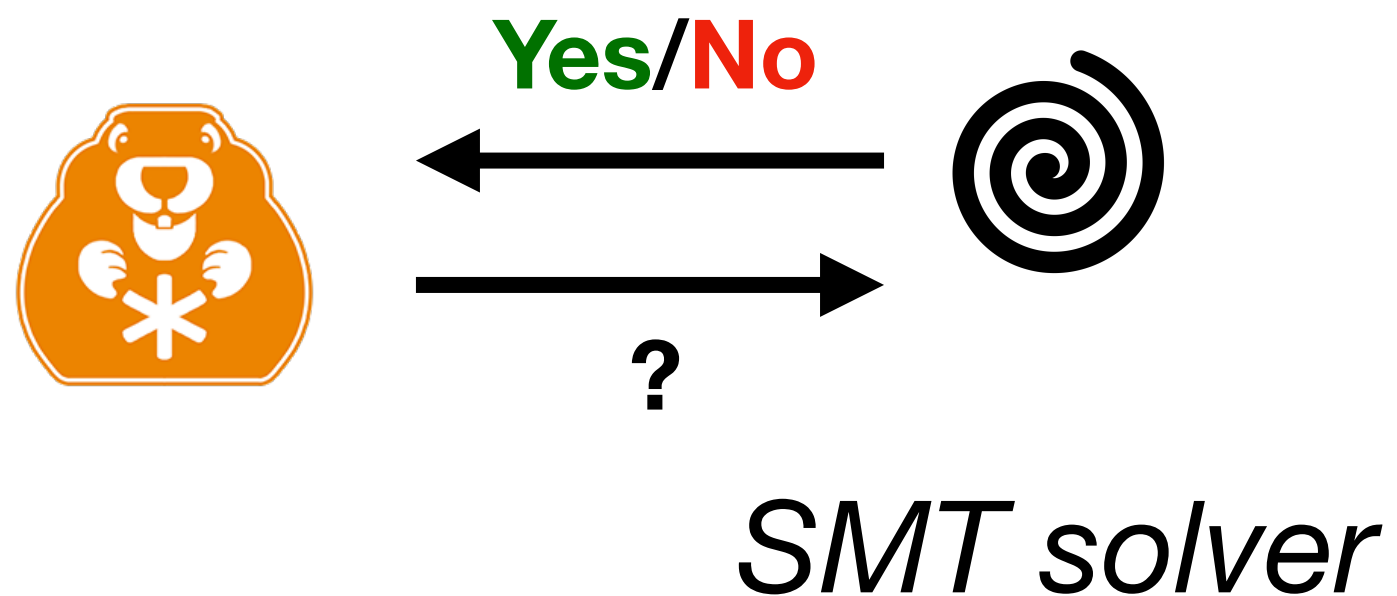
*SMT solver*

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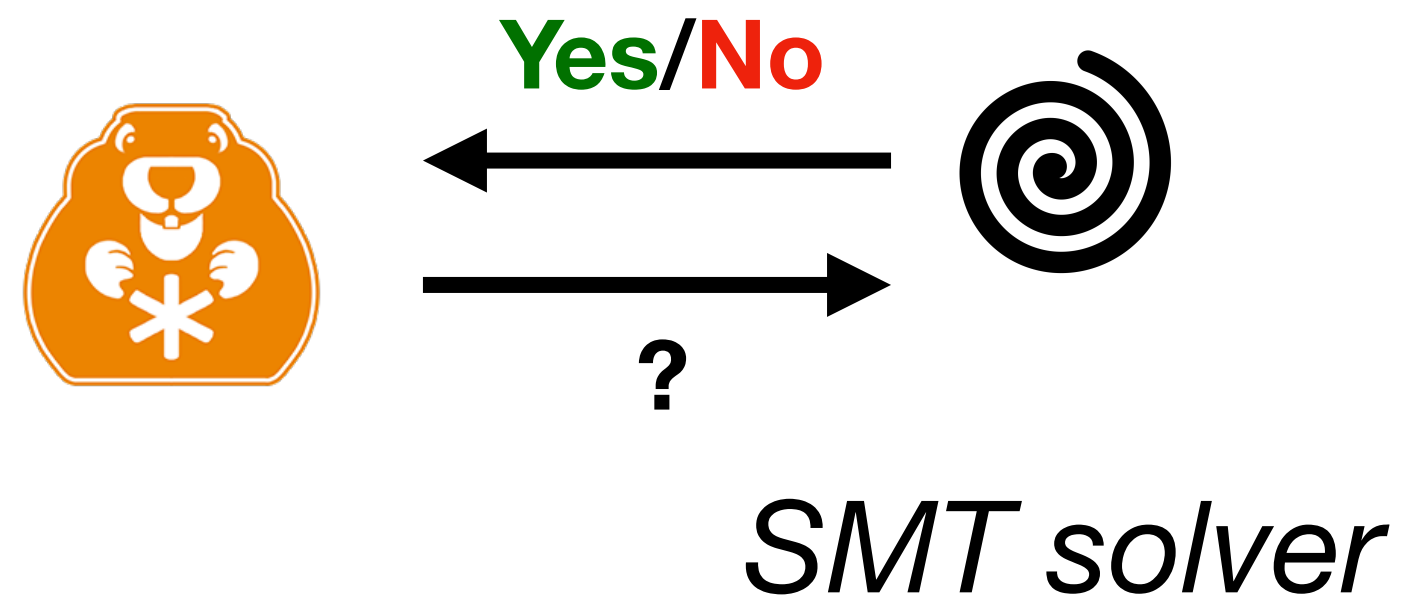


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*pure assertions*

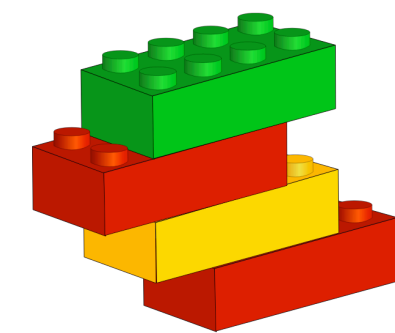
$$\vdash \Phi \Rightarrow \Psi$$

Synthesis



Verification

HTT



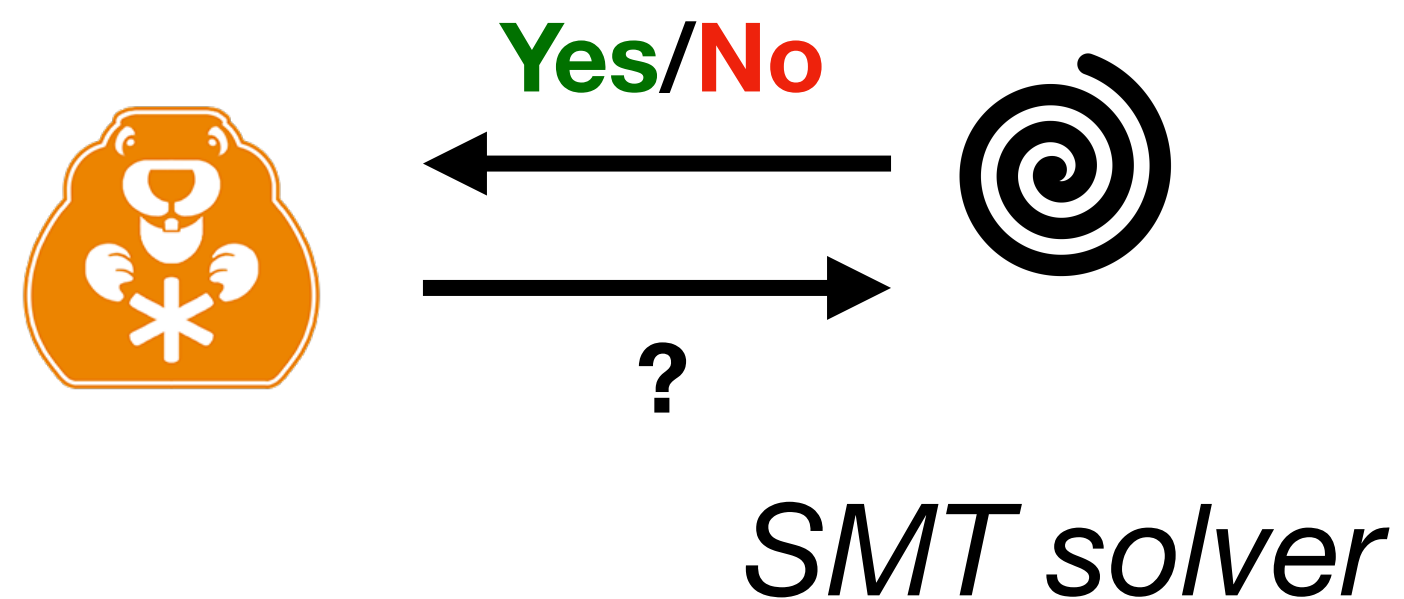
*constructive proof*

# SUSLIK solves pure assertions with SMT

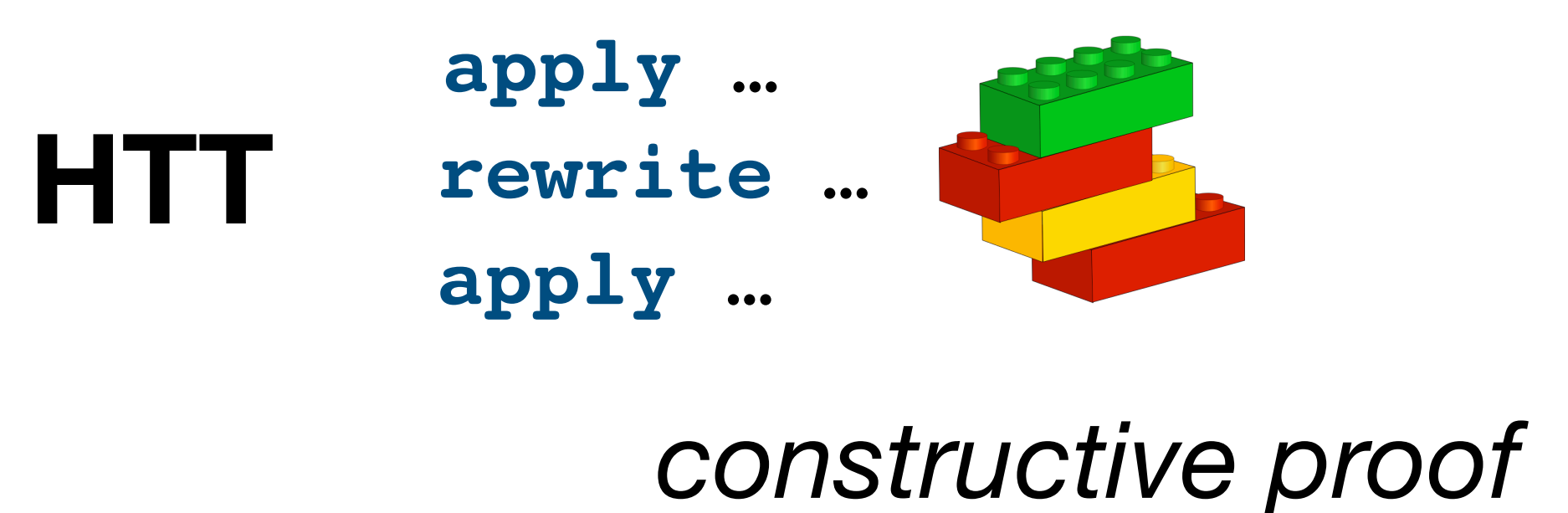
*pure assertions*

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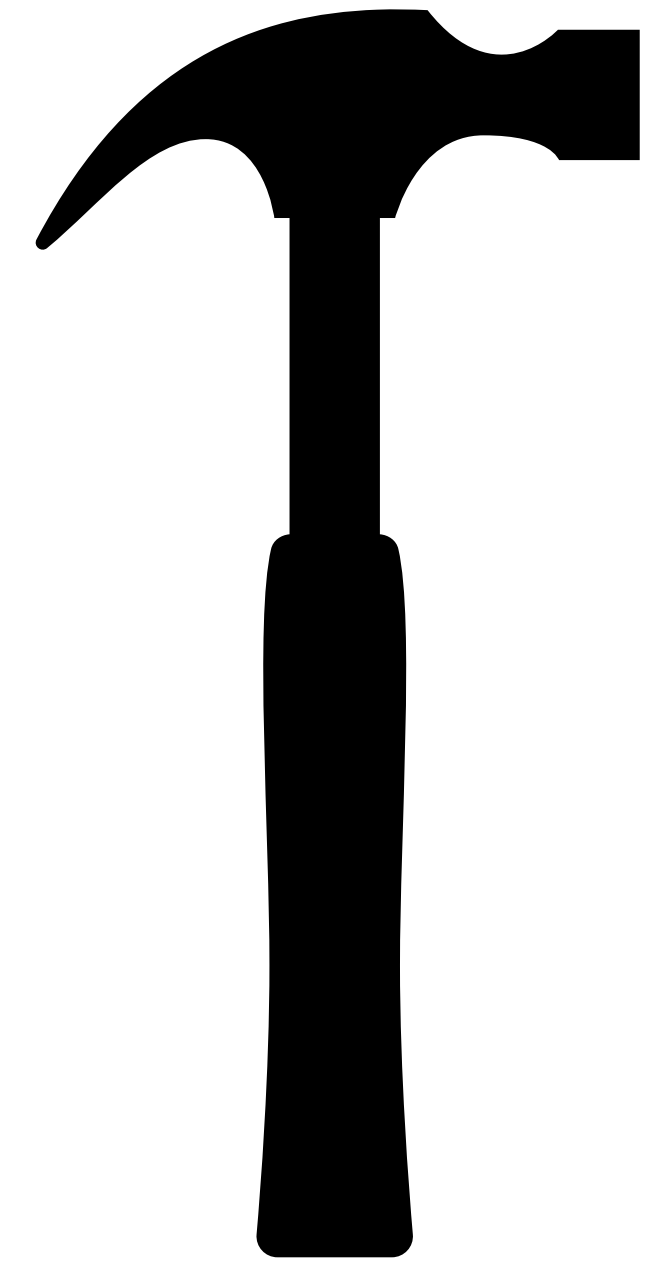
Synthesis



Verification

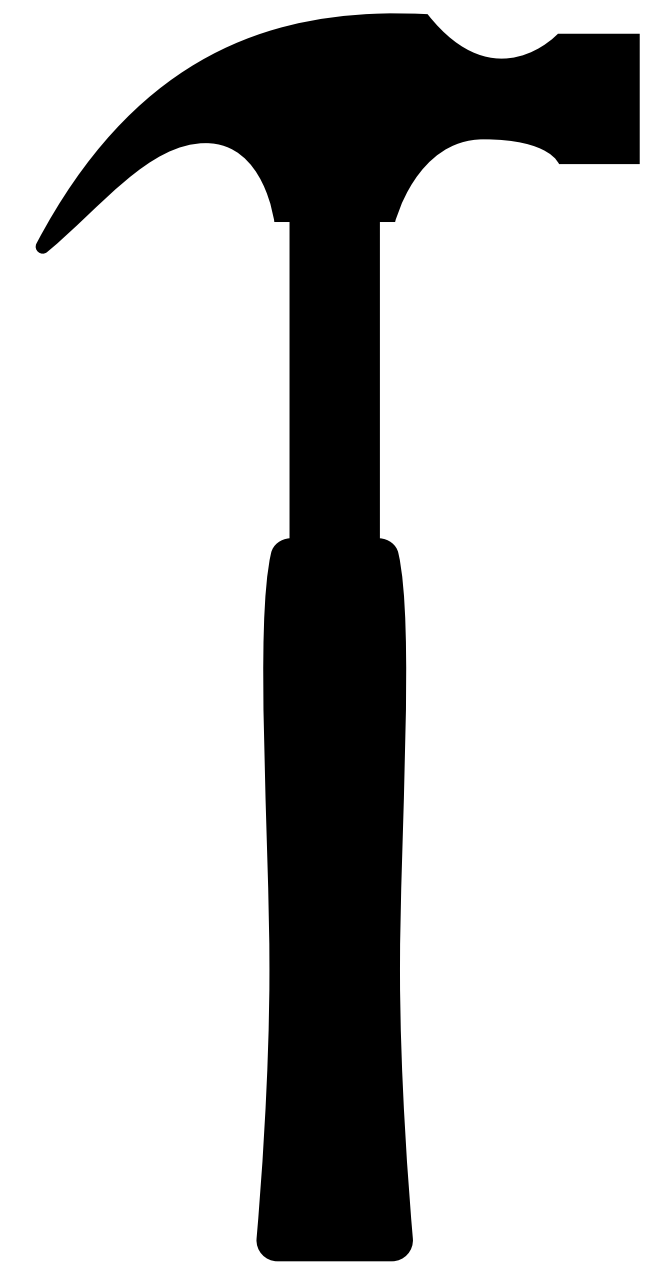


# Solution: certified solvers (hammers)



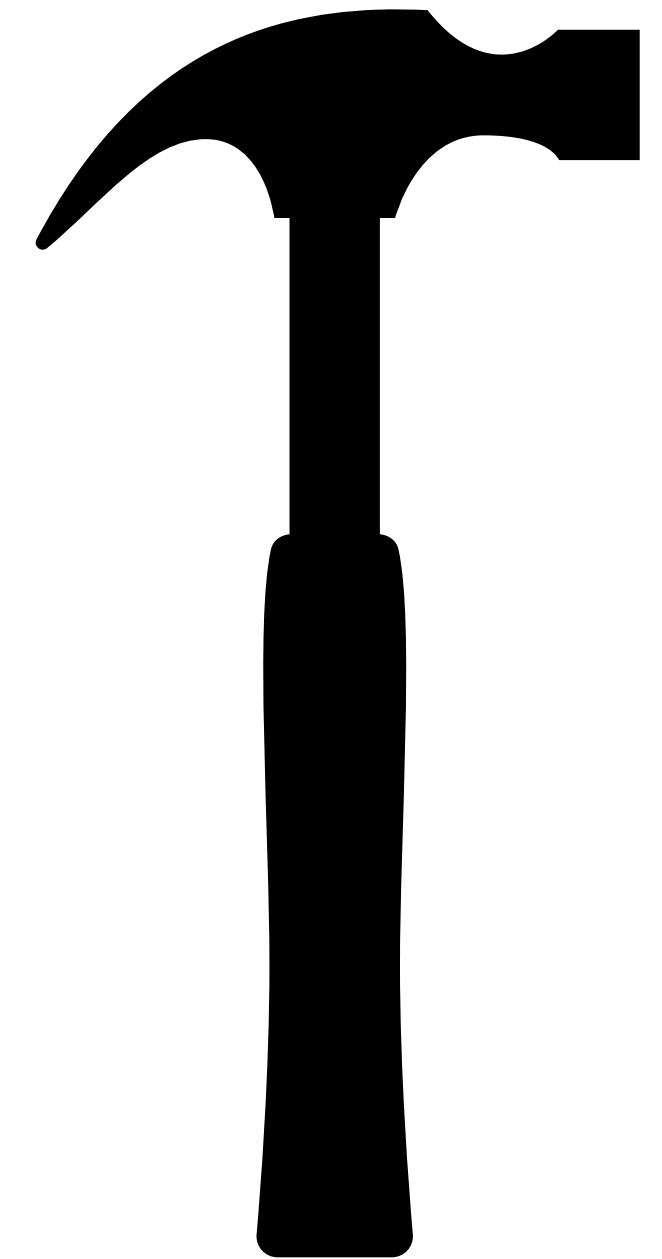
# Solution: certified solvers (hammers)

- Single-line commands



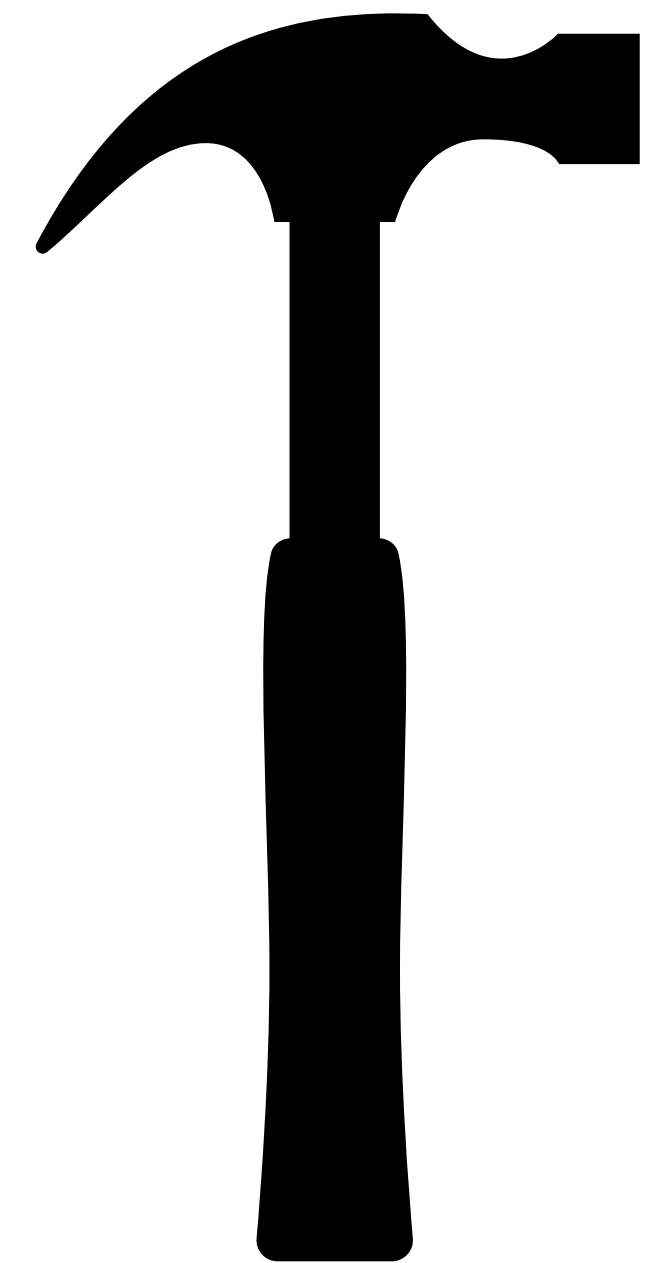
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# Solution: certified solvers (hammers)

- Single-line commands
- Powerful proof automation
- Advanced ATP-guided proof search on available lemmas



# Hammer time!

```
Lemma pure_example k2 vx2 lo1x :  
  vx2 <= lo1x -> 0 <= vx2 -> vx2 <= 7 ->  
  0 <= k2 -> ¬(vx2 <= k2) -> k2 <= 7 ->  
  k2 <= (if vx2 <= lo1x then vx2 else lo1x).
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# Hammer time!

Capture and extract entailments into lemmas

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# Hammer time!

Prove extracted lemma with CoqHAMMER<sup>2</sup>

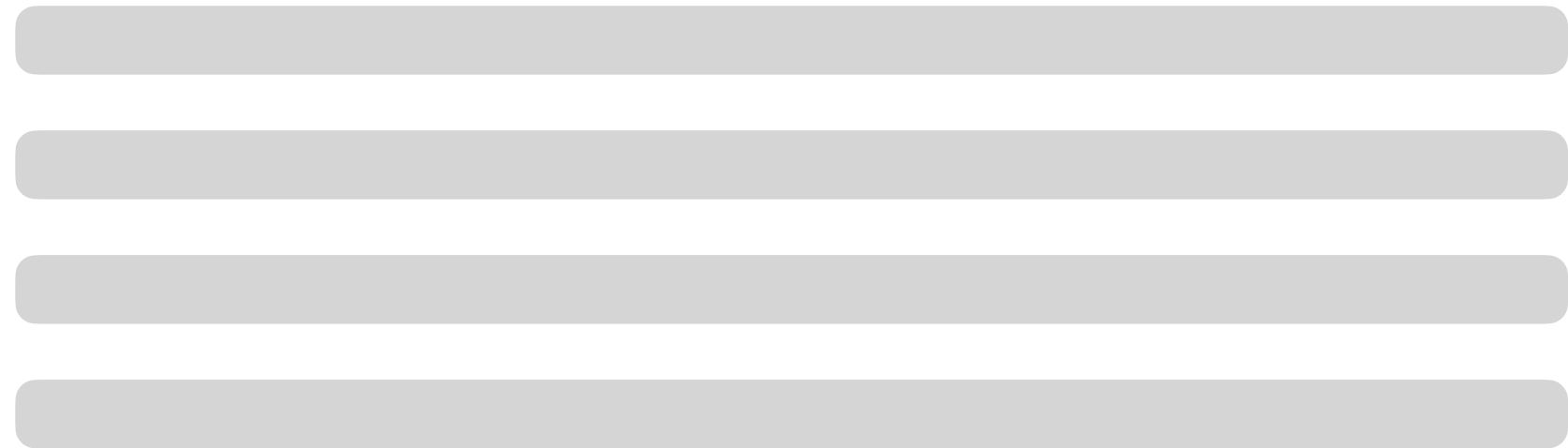
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```
Proof. intros. hammer. Qed.
```

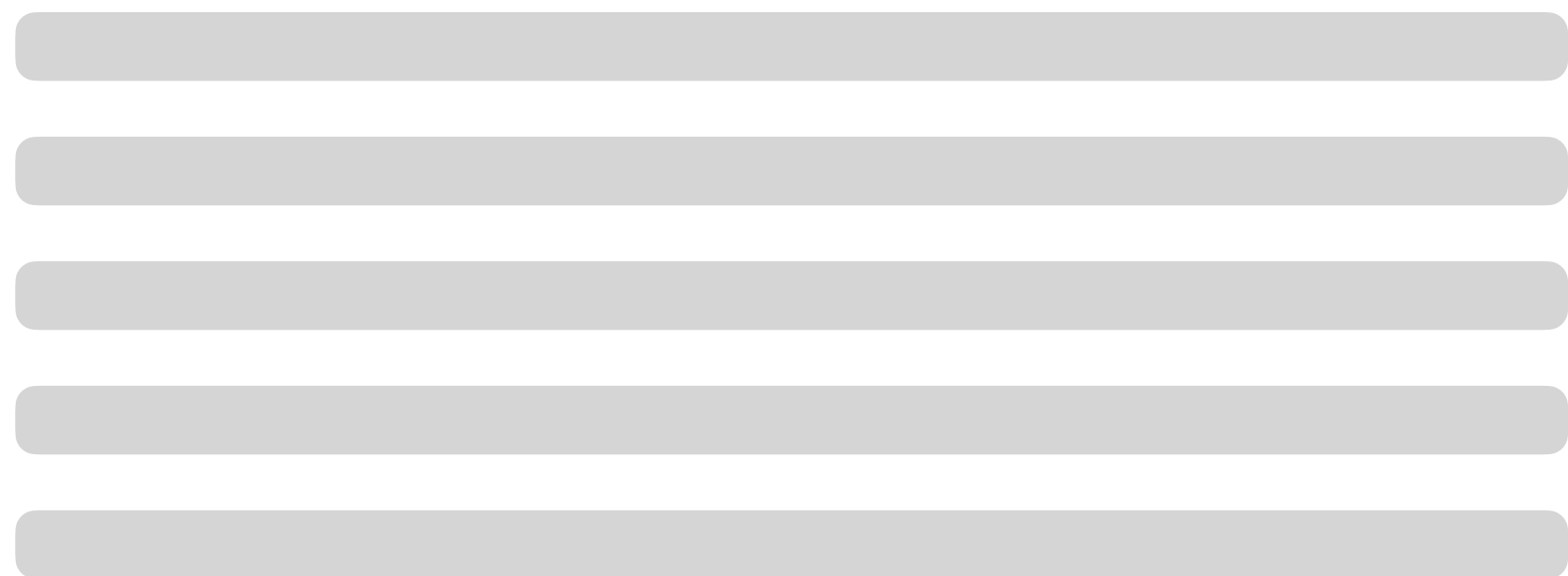
<sup>2</sup> Czajka and Kaliszyk '18

# Lemma becomes usable for automation

## Main proof



???

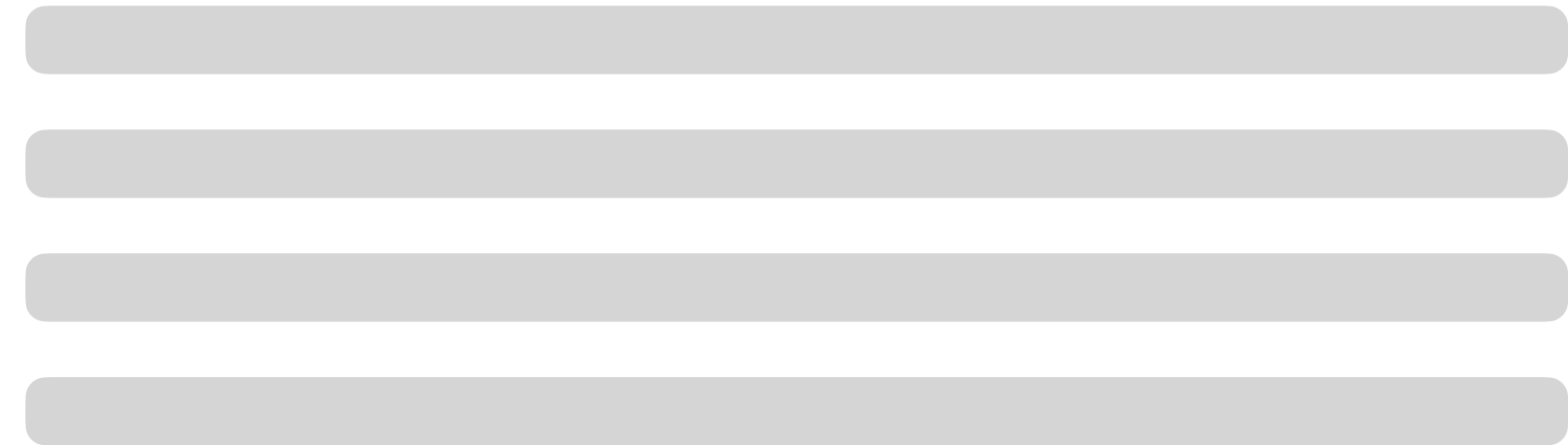


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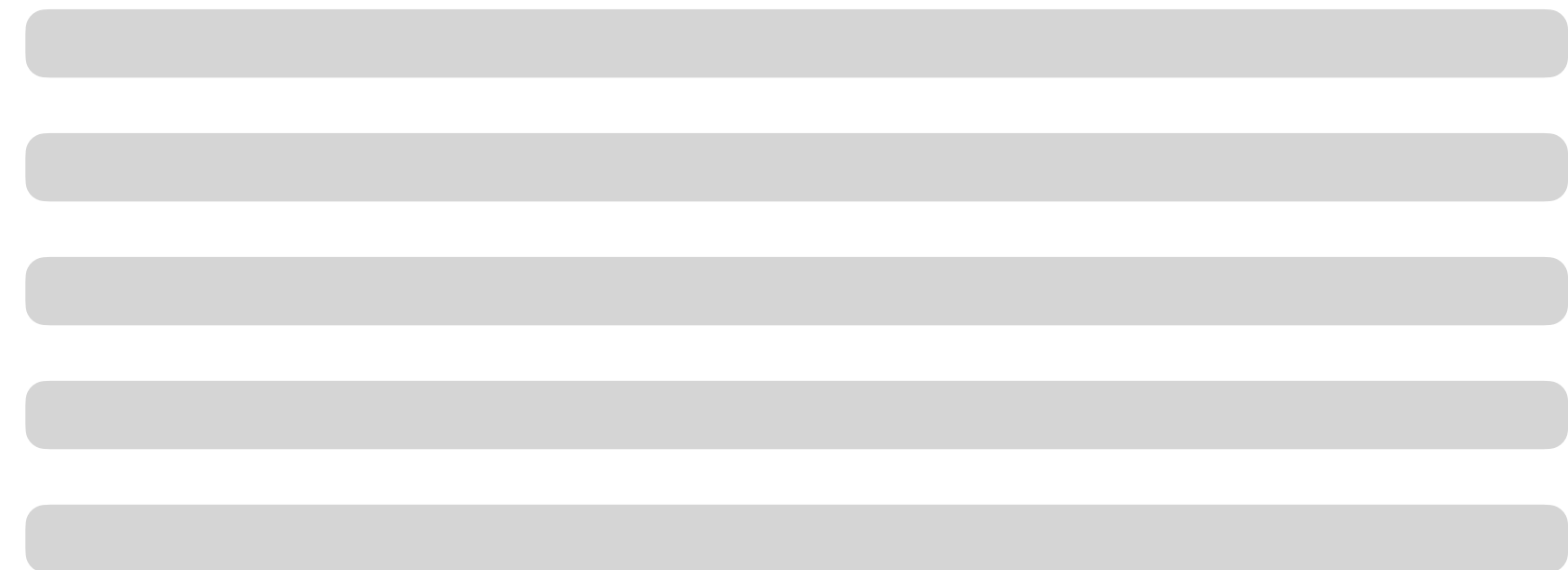
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# Some advanced benchmarks need manual help with auxiliary lemmas

Group	Program	Synt. time	Coq time	Lemmas	Manual
Doubly- Linked Lists	copy	8.7	22.1	7	4
	two-element	0.6	11.6	3	3
	from-sll	1.2	18.2	5	2
Binary Search Trees	find-smallest	2.9	12.2	7	1
	insert	33.8	72.9	15	6
	rotate-left	6.3	16.9	4	2
	rmv-root-left	3.4	26.5	6	2
	rmv-root-right	34.7	25.1	6	2
Sorted Lists	rotate-right	5.6	15.9	4	2
	insertion-sort	1.8	10.7	7	0
	insert-sort-free	0.6	9.5	5	0
	insert	9.7	26.8	18	8
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Future work:  
a middle ground between  
**automation and interactivity?**

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# We addressed a fundamental gap

**synthesis**  **verification**



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*Fully certified program synthesis!*